

Day 10 (26 July 2024)

Last time:  $f: [0,1) \rightarrow [0,1)$  expanding,  $f(0)=0$   
 $\hookrightarrow$  coding intervals of length  $\lambda$  are the continuity domains:



- The interval  $[0,1)$  is a disjoint union of the  $I_k$ 's with no overlaps:  $\leftarrow$  "union with no overlap"  
 $[0,1) = I_0 \sqcup I_1 \sqcup I_2 \sqcup I_3$
- Each  $x$  has an itinerary: Define  $a_n$  to be the number such that  $f^n(x) \in I_{a_n}$ .
- $w(x) = \text{word associated to } x$   
 $= 1201343\dots$

Lemma Let  $w = a_1 \dots a_\ell$  denote a fixed word. Then  
 $\{x \in [0,1) : f^{n-1}(x) \in I_{a_n}\}$   
 $= g_{a_1}(g_{a_2}(\dots(g_{a_n}([0,1))\dots)) =: I_w.$

Observations:

- $\text{length}(I_w) \leq \lambda^{-\ell}$  where  $\ell = \text{length}(w)$ .
- if  $w$  is a prefix of  $v$  (that is,  $v$  starts with  $w$ ) then  $I_v \subseteq I_w$ . This allows us to construct a nested interval property!
- if  $w$  is an infinite word and  $w_n$  is its truncation up to length  $n$ :  $w_n = a_1 a_2 \dots a_n$ , then the righthand endpoint moves unless the added digit is  $k-1$ . proof on next page  $\otimes$

Proof of  $\otimes$ : Let  $I_{a_1, \dots, a_{n+1}} \in [a, b]$   
 $\stackrel{||}{=} g_{a_1}(g_{a_2}(\dots(g_{a_{n+1}}([0, 1])) \dots))$ .  
 Then  $b = g_{a_1}(g_{a_2}(\dots(g_{a_{n+1}}(1)) \dots))$ . If the  
 righthand endpoint doesn't move, then  
 $g_{a_1}(g_{a_2}(\dots(g_{a_{n+1}}(1)) \dots))$   
 $= g_{a_1}(g_{a_2}(\dots(g_{a_n}(1)) \dots))$ .

Applying  $f$  to both sides  $n$  times yields  
 $g_{a_{n+1}}(1) = 1$ .

Hence, since  $g_{a_{n+1}}([0, 1]) = I_{a_{n+1}}$ ,  $1$  is in the  
 (limits of the) image  $\Rightarrow I_{a_{n+1}}$  is the last  
 coding interval  $\Rightarrow a_{n+1} = k-1$ .

END OF RECAP.

Now we finish the theorem of the week. We start  
 by defining the conjugacy: If  $x \in [0, 1]$ , let

$$w(x) = a_1 a_2 \dots a_n \dots$$

be the infinite code of  $x$ . Define

$$h(x) := \sum_{i=1}^{\infty} \frac{a_i}{k^i}$$

where  $k = \deg(f)$ . We want to check that

- $h$  satisfies the conjugacy equation with  $E_k$
- $h$  is bijective
- $h$  is increasing

Conjugacy:  $h(f(x)) = E_k(h(x))$

$\nwarrow$  Claim:

$$\begin{aligned} E_k(h(x)) &= E_k\left(\sum_{i=1}^{\infty} \frac{a_i}{k^i}\right) \\ &= k \sum_{i=1}^{\infty} \frac{a_i}{k^i} - \lfloor k \sum_{i=1}^{\infty} \frac{a_i}{k^i} \rfloor \\ &= \left( \sum_{i=1}^{\infty} \frac{a_i}{k^{i-1}} \right) - \lfloor \text{ " " } \rfloor \\ &= \left( \sum_{i=2}^{\infty} \frac{a_i}{k^{i-1}} + a_1 \right) - a_1 \\ &= \sum_{i=1}^{\infty} \frac{a_{i+1}}{k^i} \end{aligned}$$

$$\begin{aligned} &= \sum_{i=1}^{\infty} (a_i / k^{i-1}) \\ &= a_1 + (a_2/k) + (a_3/k^2) + \dots \\ &< a_1 + (k-1) \sum_{i=1}^{\infty} (1/k^i) \\ &= a_1 + 1. \end{aligned}$$

Strict inequality  
 due to  $\otimes$

If  $a_1 \dots a_n \dots$  is the code of  $x$ , the code of  $f(x)$  is  $a_2 \dots a_n \dots$ . Indeed,  
 $f^{n-1}(x) \in I_{a_n} = f^n(x) \in I_{a_{n+1}}$ ,  
 so  $f^{n-1}(f(x)) \in I_{a_{n+1}}$ . ("f shifts by 1")  
 Now what is  $h(f(x))$ ? Well,

$$h(f(x)) = \sum_{i=1}^{\infty} \frac{a_{i+1}}{k^i}$$

because we are simply shifting by 1.  
 Since we've shown that  $h(f(x)) = E_k(h(x))$ ,  
 $h$  satisfies the conjugacy equation.

Bijection: Claim -  $h$  is bijective

- surjectivity: Let  $y \in [0, 1)$  and let  $a_k$  be the itinerary of  $y$  under  $E_k$ . By  $\textcircled{8}$ ,  $a_k$  does not end in all  $(k-1)$ 's. Let  $w_n$  denote the truncation  $w_n = a_1 a_2 \dots a_n$ , and  $I_{w_n}$  denote the corresp. interval for  $f$ . Since the righthand endpoint moves infinitely often,  $\exists!$  (there exists unique)  $x$  such that  $x \in I_{w_n} \forall n$ . Then  

$$h(x) = \sum_{i=1}^{\infty} \frac{a_i}{k^i} = y.$$
 (uniqueness not actually necessary here, though we achieve it)

- injectivity: Suppose  $h(x) = h(y)$ . We will show that  $x = y$ . Since  $h(x) = h(y)$ ,

$$\sum_{i=1}^{\infty} \frac{a_i}{k^i} = \sum_{i=1}^{\infty} \frac{b_i}{k^i}$$

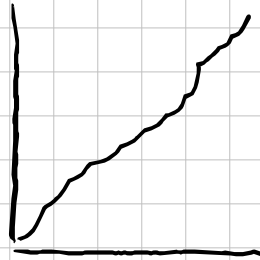
where  $a_1 \dots a_n \dots$  and  $b_1 \dots b_n \dots$  are the codes of  $x$  and  $y$ , respectively.

Exercise: Base  $k$  digit expansions are unique so long as they don't terminate in all  $(k-1)$ 's. Hence,  $a_i = b_i \forall i$  and so  $x, y \in I_{w_n} \forall n$  and so  $|x - y| \leq k^{-n} \forall n \in \mathbb{N}$  and so  $|x - y| = 0$  and so  $x = y$ .

Increasing: Claim -  $h$  is increasing  
Sketch  $\rightsquigarrow h$  looks something like

We want to show

- $h$  increasing
- $h(0) = 0$
- $\lim_{x \rightarrow 1^-} h(x) = 1$



Exercise:  
formalize this!

increasing: Take  $x \neq y$ . Then  $\exists n$  such that  $a_n \neq b_n$  while  $a_m = b_m \forall m < n$ , where  $a_1, \dots, a_n, \dots$  and  $b_1, \dots, b_n, \dots$  are the codings of  $x$  and  $y$ . Since the intervals are ordered like  $\#s$ , if  $x < y$  then  $a_n < b_n$  and so  $h(x) < h(y)$

$h(0) = 0$  : This is just a computation. Since  $f(0) = 0$ , the code of 0 is  $0000\dots$

$\lim_{x \rightarrow 1^-} h(x) = 1$  : The coding intervals whose endpoints  $x \rightarrow 1^-$  are 1 have only  $(k-1)$ 's by  $\otimes$ . Hence, if  $x \rightarrow 1^-$  then its code begins with longer strings of  $(k-1)$ 's. By the definition of  $h$ ,  $h(x) \rightarrow 1$ .