

Theorem Let $R_\alpha: \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$ be an irrational rotation.

Day 15

Then the first return map on $[0, \alpha)$ is conjugated to R_γ , where $\gamma = G(\alpha)$ and

$$G(\alpha) = \frac{1}{\alpha} - \left\lfloor \frac{1}{\alpha} \right\rfloor$$

G is called the Gauss map.

It can be defined

$$G: [0, 1) \setminus \mathbb{Q} \rightarrow [0, 1) \setminus \mathbb{Q}$$

$$y \mapsto \frac{1}{y} - \left\lfloor \frac{1}{y} \right\rfloor$$

R_γ is a rotation on $[0, 1)$

conjugated?

$$\begin{array}{ccc} [0, 1) & \xrightarrow{R_\gamma} & [0, 1) \\ \downarrow H & & \downarrow H \\ [0, \alpha) & \xrightarrow{F} & [0, \alpha) \end{array}$$

Proof of the theorem

Define $n = \left\lfloor \frac{1}{\alpha} \right\rfloor$. Since α is irrational, so is $\frac{1}{\alpha}$, and $n < \frac{1}{\alpha} < n+1$.

Hence, $n < \frac{1}{\alpha} \Rightarrow n\alpha < 1$.

Let $\beta = 1 - n\alpha > 0$.

Observe also $(n+1)\alpha > 1$

Claim: If F is the first return map

$$F: [0, \alpha) \rightarrow [0, \alpha),$$

then

$$F(x) = \begin{cases} R_\alpha^{n+1}(x), & 0 \leq x < \beta, \\ R_\alpha^n(x), & \beta \leq x < \alpha. \end{cases}$$

Proof of claim: ♥ If $\beta \leq x < \alpha$, then

$$R_\alpha^n([x]) = [x + n\alpha]$$

Since $x \geq \beta$, then $x + n\alpha \geq \beta + n\alpha$
but $\beta = 1 - n\alpha > 0$

So $x + n\alpha \geq \beta + n\alpha = (1 - n\alpha) + n\alpha = 1$
then $x + n\alpha - 1 \geq 0$

Since $x < \alpha$, then $x + n\alpha < \alpha + n\alpha$
 $< \alpha + 1$

So $x + n\alpha - 1 < \alpha$

Conclusion: $0 < x + n\alpha - 1 < \alpha$

$$\& R^n([x]) = [x + n\alpha - 1] = [x + n\alpha] \in [0, \alpha).$$

♥ If $0 \leq x < \beta$, then

$$R_\alpha^{n+1}([x]) = [x + (n+1)\alpha]$$

Since $x \geq 0$, then $x + (n+1)\alpha \geq 0 + (n+1)\alpha \geq 0 + 1$

Since $x < \beta$, then $x + (n+1)\alpha < \beta + (n+1)\alpha$
but $\beta = 1 - n\alpha > 0$

So $x + (n+1)\alpha < (1 - n\alpha) + (n+1)\alpha$
 $< 1 + \alpha.$

Therefore

$$1 \leq x + (n+1)\alpha < 1 + \alpha$$

in conclusion,

$$0 \leq x + (n+1)\alpha - 1 < \alpha$$

$$\& R^{n+1}([x]) = [x + (n+1)\alpha - 1] \\ = [x + (n+1)\alpha] \in [0, \alpha)$$



(Continuing with the proof of the theorem)

Define $H: [0, 1) \rightarrow [0, 1)$ by $H(x) = \alpha x$

Note that:

$$R_{-\gamma}(x) = \begin{cases} x - \gamma + 1, & 0 \leq x < \gamma, \\ x - \gamma, & \gamma \leq x < 1. \end{cases}$$

$$\begin{array}{ccc} [0, 1) & \xrightarrow{R_{-\gamma}} & [0, 1) \\ H \downarrow & & \downarrow H \\ [0, \alpha) & \xrightarrow{F} & [0, \alpha) \end{array}$$

It suffices to prove that $H(R_{-\gamma}(x)) = F(H(x))$ for all x

Proof of this:

Case 1 For $0 \leq x < \gamma$, we want to prove $H(R_{-\gamma}(x)) = F(H(x))$

computation:

$$\text{LHS} = H(x - \gamma + 1) =$$

$$= \alpha(x - \gamma + 1)$$

$$= \alpha x - \alpha\gamma + \alpha$$

$$= \alpha x - \alpha \left(\frac{1}{\alpha} - \left\lfloor \frac{1}{\alpha} \right\rfloor \right) + \alpha$$

$$= \alpha x - 1 + \left\lfloor \frac{1}{\alpha} \right\rfloor \alpha + \alpha$$

$$= \alpha x - 1 + n\alpha + \alpha$$

$$= \alpha x - 1 + (n+1)\alpha = \alpha x + (n+1)\alpha - 1$$

RHS = $F(\alpha x)$, but $0 \leq \alpha x < \alpha \gamma = \alpha \left(\frac{1}{\alpha} - \frac{1}{n+1} \right)$
 So $\alpha x < 1 - n\alpha = \beta$

then $F(\alpha x) = \alpha x + (n+1)\alpha - 1$

Since LHS = RHS we are done with the case 1.

Case 2. Exercise For $\gamma \leq x < 1$, we want to prove $H(R_{-\gamma}(x)) = F(H(x))$



Remark: Observe that if $\beta = 1 - n\alpha$
 solve for α ?

$$n\alpha + \beta = 1$$

$$\alpha \left(n + \frac{\beta}{\alpha} \right) = 1$$

$$\alpha = \frac{1}{n + \frac{\beta}{\alpha}}, \quad \frac{\beta}{\alpha} = \frac{1}{\alpha} - n = G(\alpha)$$

$$\alpha = \frac{1}{n + G(\alpha)}$$

Definition

$$n_i = \lfloor \frac{1}{G^{i+1}(\alpha)} \rfloor$$

Exercise Prove by induction, if $\alpha \in (0, 1) \setminus \mathbb{Q}$
 i.e. $\alpha \in (0, 1)$ & $\alpha \notin \mathbb{Q}$.

$$\text{Then } \alpha = \frac{1}{n_1 + \frac{1}{n_2 + \frac{1}{n_3 + \frac{1}{\dots + \frac{1}{n_k + G^k(\alpha)}}}}}$$

Definition Iterational number

$$\frac{p_k}{q_k} = \frac{1}{n_1 + \frac{1}{n_2 + \frac{1}{\ddots + \frac{1}{n_{k-1} + \frac{1}{n_k}}}}}$$

are called the convergents of α .

Exercise:
Review this

Theorem $\frac{p_k}{q_k} \rightarrow \alpha$ as $k \rightarrow \infty$

Theorem \rightarrow we won't prove this. \checkmark
Let $\alpha \in (0, 1) \setminus \mathbb{Q}$, and $\frac{p_k}{q_k}$ be
a convergent of α . For any fraction $\frac{m}{n}$ such
that $0 < n < q_k$, then

$$|q_k \alpha - p_k| < |n \alpha - m|$$

Moral: q_k are the times for rotations of
0 by α , when we get closer than
we have ever been.

Theorem: (Dirichlet Approximation Theorem)

Let $\alpha \in [0, 1) \setminus \mathbb{Q}$. There exists infinitely
many fractions $\frac{p}{q}$ such that

$$|q\alpha - p| < \frac{1}{q} \quad (\Leftrightarrow) \quad \left| \alpha - \frac{p}{q} \right| < \frac{1}{q^2}$$

Proof: Fix an integer n , and divide $[0, 1)$
into n equal pieces

$$[0, 1) = [0, \frac{1}{n}) \cup [\frac{1}{n}, \frac{2}{n}) \cup \dots \cup [\frac{n-1}{n}, 1)$$

Let $A = \{[0], R([0]), \dots, R^n([0])\}$

These are $n+1$ elements of A .

Since there are n intervals, there exists two number $0 \leq k < l \leq n$ such that $R^k([0])$ and $R^l([0])$ both belong to the same interval.

Hence, $|k\alpha - l\alpha - p| < \frac{1}{n}$ for some p

if $q = k - l$, then

$$|q\alpha - p| < \frac{1}{n}$$

and $1 \leq q \leq n$

Thus $|q\alpha - p| < \frac{1}{n} \leq \frac{1}{q}$

Exercise: Conclude the Dirichlet approx Theorem