

**NOTES ON CHARACTERISTIC  $p$  COMMUTATIVE ALGEBRA**  
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1.  $F$ -SIGNATURE CONTINUED

**1.1. Positivity of  $F$ -signature.** Our next goal is to explain when the  $F$ -signature is positive. It is obviously zero if  $R$  is not  $F$ -split. First we need a lemma.

**Lemma 1.1.** *With notation as in the beginning of the section  $\bigcap_e I_e = 0$  if and only if  $R$  is strongly  $F$ -regular.*

*Proof.* Exercise! □

**Theorem 1.2.**  $s(R) > 0$  if and only if  $R$  is strongly  $F$ -regular.

*Proof.* We suppose that the residue field is perfect for simplicity.

Suppose first that  $\bigcap_e I_e \neq 0$  (ie, that  $R$  is not strongly  $F$ -regular) that  $0 \neq c \in \bigcap_e I_e$ . Since  $\mathfrak{m}^{[p^e]} \subseteq I_e$ , we see that

$$\ell_R(R/I_e) \leq \ell_R\left(\frac{R}{\langle c \rangle + \mathfrak{m}^{[p^e]}}\right) \leq Cp^{e(d-1)}.$$

Therefore

$$s(R) = \lim_{e \rightarrow \infty} \frac{1}{p^{ed}} \ell_R(R/I_e) = 0.$$

Now assume that  $R$  is strongly  $F$ -regular. Without loss of generality we may assume that  $(R, \mathfrak{m}, k)$  is complete. The Cohen-Gabber-Structure Theorem says that we can find  $A = k[[x_1, \dots, x_n]] \subseteq R$  a finite *separable* extension (Noether normalization for complete rings). Furthermore, we can choose  $0 \neq c \in A$  such that

$$c \cdot R^{1/p^e} \subseteq R[A^{1/p^e}] \cong R \otimes_A A^{1/p^e}$$

for all  $e$ . In other words,  $c \cdot F_*^e R \subseteq R[F_*^e A]$ . Now, since  $R$  is strongly  $F$ -regular, we can find  $\phi \in \text{Hom}_R(R^{1/p^{e_c}}, R)$  for some  $e_c > 0$  such  $\phi(c^{1/p^{e_c}}) = 1$ . We will show that  $s(R) \geq 1/p^{e_c d} > 0$ .

Now, the  $p^e$ th roots of the monomials  $\mathbf{x}^\alpha$ ,  $\mathbf{0} \leq \alpha \leq \mathbf{p}^e - \mathbf{1}$  for a basis for  $A^{1/p^e}$  over  $A$ . Let  $p_\alpha$  be the projection so that  $p_\alpha(\mathbf{x}^{\alpha/p^e}) = 1$  and  $p_\beta(\mathbf{x}^{\beta/p^e}) = 0$  for  $\beta \neq \alpha$ . We form the compositions

$$\pi_\alpha : R^{1/p^e} \xrightarrow{\cdot c} R \otimes_A A^{1/p^e} \xrightarrow{R \otimes \pi_\alpha} R.$$

Note  $\pi_\alpha(\mathbf{x}^{\alpha/p^e}) = p_\alpha(c \mathbf{x}^{\alpha/p^e}) = c$ . Now we post compose with  $\phi$  and we obtain  $\phi_\alpha = \phi \circ (\pi_\alpha)^{1/p^{e_c}}$  which sends  $\mathbf{x}^{\alpha/p^{e+e_c}} \mapsto 1$  and  $\mathbf{x}^{\beta/p^{e+e_c}} \mapsto 0$  for  $\beta \neq \alpha$ . Taking the direct sum of these maps gives a surjection

$$(\oplus \phi_\alpha) : R^{1/p^{e+e_c}} \rightarrow R^{\oplus p^{e_c d}}.$$

Hence  $s(R) \geq 1/p^{e_c d}$ . □

*Question 1.3.* If one starts in characteristic zero with  $R_{\mathbb{C}}$ , can one find a lower bound on  $F$ -signatures of the mod  $p$  reductions  $s(R_p)$ ? Better yet, it would be better to find a geometric interpretation of

$$\lim_{p \rightarrow \infty} s(R_p)$$

(say in the case that  $R$  is essentially of finite type over  $\mathbb{Q}$ ).

We spent the rest of the class with student presentations.

## REFERENCES