

**NOTES ON CHARACTERISTIC  $p$  COMMUTATIVE ALGEBRA**  
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1. FROBENIUS SPLITTINGS AND DIVISORS CONTINUED

Recall we work in the following setting.

**Setting 1.1.** Suppose  $R$  is as above in this section. Suppose we have a dualizing complex  $\omega_R^\bullet$  such that  $\mathbf{R}\mathrm{Hom}_R(F_*^e R, \omega_R^\bullet) \cong \omega_{F_*^e R}^\bullet$  (this always exists if  $R$  is local or of finite type over a field, it probably also follows that such a dualizing complex exists in general by some unpublished work). We fix this dualizing complex forever more. Notice that if  $\omega_R$  is the associated canonical module, the  $\mathrm{Hom}_R(F_*^e R, \omega_R) \cong \omega_{F_*^e R} \cong F_*^e \omega_R$  (note we don't need to worry about the derived Hom's all the modules are reflexive and they are certainly isomorphic in codimension 1 where  $R$  is regular). We fix  $K_R$  to be a canonical divisor associated to this canonical module.

Also recall the following from last time.

**Lemma 1.2.** *With notation above,  $F_*^e R((1-p^e)K_R) \cong \mathrm{Hom}_R(F_*^e R, R)$ . In particular, if  $R$  is local and quasi-Gorenstein, then  $\mathrm{Hom}_R(F_*^e R, R) \cong F_*^e R$  as  $F_*^e R$ -modules.*

**Corollary 1.3.** *Every nonzero map  $\phi \in \mathrm{Hom}_R(F_*^e R, R)$  determines an effective Weil divisor  $D_\phi \sim (1-p^e)K_R$ . Furthermore, two maps  $\phi, \phi'$  determine the same divisor if and only if they are the same up to pre-multiplication by a unit of  $F_*^e R$ .*

**Corollary 1.4.** *Suppose  $R$  is a normal Noetherian  $F$ -finite domain. There exists a  $\phi \in \mathrm{Hom}_R(F_*^e R, R)$  which generates the Hom-set as an  $F_*^e R$ -module if and only if  $(1-p^e)K_R \sim 0$ . In the case that  $R$  is local, such a  $\phi$  exists for some  $e > 0$  if and only if  $R$  is  $\mathbb{Q}$ -Gorenstein with index not divisible by  $p > 0$ .*

In many cases you want this divisor to be in some sense independent of the characteristic, or more generally, independent of self-composition. In particular, you'd like the divisor corresponding to  $\phi \circ F_*^e \phi$  to be the same as the divisor corresponding to  $\phi$ . We can accomplish this by normalizing our divisor.

**Definition 1.5.** For any nonzero  $\phi \in \mathrm{Hom}_R(F_*^e R, R)$  we define  $\Delta_\phi := \frac{1}{p^e-1} D_\phi$ . Note that  $K_R + \Delta_\phi \sim_{\mathbb{Q}} 0$ .

**Lemma 1.6.** *If  $\Phi \in \mathrm{Hom}_R(F_*^e R, R)$  generates the module (as an  $F_*^e R$ -module), then  $\Delta_\Phi = 0 = D_\Phi$ . Furthermore, if we write  $\phi(F_*^e \underline{\phantom{x}}) = \psi(F_*^e(r \cdot \underline{\phantom{x}}))$  for some  $r \in R$ , then  $D_\phi = D_\psi + \mathrm{div}_R(r)$  and so  $\Delta_\phi = \Delta_\psi + \frac{1}{p^e-1} \mathrm{div}_R(r)$ .*

*Proof.* Left as an exercise to the reader.  $\square$

**Lemma 1.7.** *For any map  $\phi$  and any integer  $n$  form  $\phi^n := \phi \circ (F_*^e \phi) \circ (F_*^{2e} \phi) \circ \cdots \circ (F_*^{(n-1)e} \phi) \in \mathrm{Hom}_R(F_*^{ne} R, R)$ . Then  $\Delta_{\phi^n} = \Delta_\phi$ .*

*Proof.* This statement may be verified in codimension 1 since divisors are defined in codimension 1. Thus we localize  $R$  at a height one prime to obtain the  $(R, \mathfrak{m} = \langle r \rangle)$  is a DVR (remember,  $R$  was normal). Since regular rings are Gorenstein, we choose  $\Phi \in \text{Hom}_R(F_*^e R, R)$  generating the Hom set as an  $F_*^e R$ -module. Then we can write  $\phi(F_*^e \underline{\phantom{x}}) = \Phi(F_*^e u r^n \underline{\phantom{x}})$  for some integer  $n > 0$  and unit  $u \in R$ . Note that in this case,  $D_\phi = n \text{ div}(r)$  and so  $\Delta_\phi = \frac{n}{p^{e-1}} \text{ div}(r)$ . It follows that

$$\phi^2(F_*^{2e} \underline{\phantom{x}}) = \Phi^2(F_*^{2e} (u r^n)^{1+p^e} \underline{\phantom{x}})$$

and so  $\Delta_{\phi^2} = \frac{n(1+p^e)}{p^{2e-1}} \text{ div}(r) = \frac{n}{p^{e-1}} \text{ div}(r) = \Delta_\phi$ . More generally

$$\phi^n(F_*^{ne} \underline{\phantom{x}}) = \Phi^n(F_*^{ne} (u r^n)^{1+p^e+\dots+p^{(n-1)e}} \underline{\phantom{x}})$$

and thus  $\Delta_{\phi^n} = \frac{n(1+p^e+\dots+p^{(n-1)e})}{p^{ne-1}} \text{ div}(r) = \frac{n}{p^{e-1}} \text{ div}(r) = \Delta_\phi$   $\square$

**Exercise 1.1.** Suppose that  $0 \neq \phi \in \text{Hom}_R(F_*^e R, R)$  and  $0 \neq \psi \in \text{Hom}_R(F_*^d R, R)$ . Find a formula for  $\Delta_{\phi \circ F_*^e \psi}$  in terms of  $\Delta_\phi$  and  $\Delta_\psi$ .

Putting together what we know now, we have a bijection

$$\left\{ \begin{array}{l} \mathbb{Q}\text{-divisors } \Delta \text{ such that} \\ K_R + \Delta \sim_{\mathbb{Q}} 0 \\ \text{with trivializing index}^1 \text{ not} \\ \text{divisible by } p \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} \text{Nonzero} \\ \phi \in \text{Hom}_R(F_*^e R, R) \end{array} \right\} / \sim$$

where the equivalence on the right is generated by self-composition and pre-multiplication by units.

Suppose that  $\Delta = \Delta_\phi$  is such that  $\phi \in \text{Hom}_R(F_*^e R, R)$  satisfies  $\phi(F_*^e I) \subseteq I$ . For simplicity assume that  $R/I$  is normal, then  $\phi$  induces a map  $\phi_{R/I} : F_*^e(R/I) \rightarrow R/I$  which, if this is not the zero map, induces a divisor  $\Delta_{R/I}$ . In particular for every such  $\Delta_\phi$  with  $K_R + \Delta_\phi \sim_{\mathbb{Q}} 0$  we obtain a canonical  $\Delta_{R/I}$  with  $K_{R/I} + \Delta_{R/I} \sim_{\mathbb{Q}} 0$ . This canonical way of associating a divisor on a subscheme is an analog of Kawamata's subadjunction theorem in the characteristic zero world [Kaw98].

## REFERENCES

[Kaw98] Y. KAWAMATA: *Subadjunction of log canonical divisors. II*, Amer. J. Math. **120** (1998), no. 5, 893–899. MR1646046 (2000d:14020)