

**NOTES ON CHARACTERISTIC  $p$  COMMUTATIVE ALGEBRA**  
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1. A QUICK INTRODUCTION TO  $\mathbb{Q}$ -WEIL DIVISORS

**Setting 1.1.** Throughout this section  $R$  is a normal Noetherian domain.

We first state some facts about  $\mathbf{S}_2$  and reflexive modules.

**Definition 1.2.** A finitely generated  $R$ -module  $M$  is called *reflexive* if the canonical map  $M \mapsto \text{Hom}_R(\text{Hom}_R(M, R), R)$  is an isomorphism. Given  $M$ , the *reflexification* of  $M$  is simply  $\text{Hom}_R(\text{Hom}_R(M, R), R) =: M^{\vee\vee}$ .

**Exercise 1.1.** Show that for any finitely generated module  $M$ ,  $\text{Hom}_R(M, R)$  is reflexive.

**Lemma 1.3.** *A finitely generated  $R$ -module  $M$  is reflexive if and only if it is  $\mathbf{S}_2$ .*

*Proof.* We leave at as an exercise, see [Har94] for details. □

**Example 1.4.** If  $M$  is a torsion-free  $R$ -module of rank-1 (meaning that  $M \otimes_R K(R) \cong K(R)$ ), then because  $M$  is torsion free, the canonical map  $M \rightarrow M \otimes_R K(R)$  is injective. Thus we can embed  $M \subseteq K(R)$ . In this case, we see that  $\text{Hom}_R(M, R) \cong R :_{K(R)} M$ . Indeed, any such  $a \in R :_{K(R)} M$  yields a homomorphism by multiplication. Conversely, given any  $\phi \in \text{Hom}_R(M, R) \subseteq \text{Hom}_{K(R)}(M \otimes_R K(R), K(R))$  and then our identification  $M \otimes_R K(R) \cong K(R)$  lets us identify  $\phi$  with multiplication by some element of  $K(R)$ .

In this case,  $M^{\vee\vee}$ , the reflexification of  $M$ , can be viewed as  $R :_{K(R)} (R :_{K(R)} M)$ . This is a subset of  $K(R)$  that obviously contains  $M$ .

**Definition 1.5.** A Weil divisor  $D = \sum a_i D_i$  on  $\text{Spec } R$  (or on  $R$ ) is a finite formal  $\mathbb{Z}$ -sum of distinct height one prime ideals  $D_i$ . A  $\mathbb{Q}$ -(Weil-)divisor  $D = \sum a_i D_i$  on  $\text{Spec } R$  is a finite formal  $\mathbb{Q}$ -sum of distinct height one prime ideals  $D_i$ . In either case, the divisor is called *effective* if all the  $a_i \geq 0$ .

Given any  $0 \neq g \in K(R)$ , we define  $\text{div}(g) = \sum v_{D_i}(g) D_i$  where  $v_{D_i}(g)$  is the value of  $g$  with respect to the discrete valuation  $v_{D_i}$  which one obtains after localizing  $R$  at  $D_i$ .

Associated to any Weil divisor  $D$  is a reflexive fractional ideal<sup>1</sup>  $R(D)$  (frequently denoted in the sheaf theory language as  $\mathcal{O}_{\text{Spec } R}(D)$ ). In particular, if  $D = \sum a_i D_i$  then  $R(D)$  is the subset of  $K(R)$  that have poles of order at most  $a_i$  at  $D_i$  whenever  $a_i > 0$  and have zeros of order at least  $|a_i|$  at  $D_i$  whenever  $a_i < 0$ . Explicitly,

$$R(D) = \{g \in K(R) \mid \text{div}(g) + D \geq 0\}.$$

**Exercise 1.2.** Using the definition, show that  $R(D)$  is reflexive, or equivalently that it is  $\mathbf{S}_2$ .

Let's say what this is explicitly in some special cases.

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<sup>1</sup>A fractional ideal is by definition a finitely generated submodule of  $K(R)$ .

- (i) If  $D = 0$ , then  $R(D) = R$ .
- (ii) If  $D = D_i$  is a single prime ideal, then  $R(D) := R :_{K(R)} D$ .
- (iii) If  $D = -D_i$  is the negative of a single prime, then  $R(D) = D_i$ .
- (iv) If  $D = -nD_i$  (for  $n \geq 0$ ) is the negative of a single divisor, then  $R(D) = (D_i^n)^{\vee\vee}$ .
- (v) If  $D = -\sum a_i D_i$  (for  $a_i \geq 0$ ), then  $R(D) = (\prod D_i^{a_i})^{\vee\vee}$ .
- (vi) If  $D \geq 0$  (is effective) then  $R(D) = R :_{K(R)} R(-D)$ .
- (vii) If  $D = A + B$ , then  $R(D) = (R(A) \cdot R(B))^{\vee\vee}$ .
- (viii) For any  $D$ ,  $R(-D) = R :_{K(R)} R(D)$ .
- (ix) If  $D = A - B$  then  $R(D) \cong \text{Hom}_R(R(B), R(A)) \cong R(A) :_{K(R)} R(B)$ .
- (x) For any  $0 \neq f, g \in K(R)$ ,  $-\text{div}(g) = \text{div}(1/g)$  and also  $\text{div}(f \cdot g) = \text{div}(f) + \text{div}(g)$ .
- (xi)  $R(\text{div}(g)) = \frac{1}{g} \cdot R$ .

**Definition 1.6** (Cartier divisors). A Weil divisor  $D$  is called *Cartier* if  $R(D)$  is projective (locally free). A  $(\mathbb{Q})$ -divisor  $D$  is called  $\mathbb{Q}$ -*Cartier* if there exists an integer  $n > 0$  such that  $nD$  is Cartier.

**Example 1.7.** In  $k[x^2, xy, y^2]$  the ideal  $Q = \langle x^2, xy \rangle$  corresponds to a prime divisor  $D$  but  $D$  is not Cartier (since it is not generated by a single element locally). However,  $D$  is  $\mathbb{Q}$ -Cartier since  $R(-2D) = \langle x^2 \rangle$  (and hence  $R(2D) = \frac{1}{x^2}R$ ).

**Definition 1.8** (Linear equivalence). Two Weil divisors  $D_1, D_2$  are said to be *linearly equivalent* if  $D_1 - D_2 = \text{div}(g)$  for some  $0 \neq g \in K(R)$ . In this case we write  $D_1 \sim D_2$ . If  $D_1, D_2$  are  $\mathbb{Q}$ -divisors, we say that they are  $\mathbb{Q}$ -*linearly equivalent* if there exists an integer  $n > 0$  such that  $nD_1$  and  $nD_2$  are linearly equivalent Weil divisors.

**Example 1.9.** Working in  $k[x^2, xy, y^2]$  set  $D_1$  to be the prime divisor  $\langle x^2, xy \rangle$  and  $D_2$  to be the prime divisor  $\langle xy, y^2 \rangle$ , then  $D_1 - D_2 = \text{div}(x/y)$  and so  $D_1 \sim D_2$ .

**Lemma 1.10.** *Two divisors  $D_1$  and  $D_2$  are linearly equivalent if and only if there is an (abstract) isomorphism  $R(D_1) \cong R(D_2)$ .*

*Proof.* Suppose first that  $D_1$  and  $D_2$  are linearly equivalent, and so  $D_1 - \text{div}(g) = D_2$  for some  $0 \neq g \in K(R)$ . We claim that

$$R(D_1) \cdot g = R(D_2).$$

Choose  $f \in R(D_1)$ . Then  $\text{div}(f) + D_1 \geq 0$ . It follows that  $\text{div}(f \cdot g) + D_1 = \text{div}(f) + D_1 + \text{div}(g) \geq \text{div}(g)$ . Thus  $\text{div}(f \cdot g) + D_1 - \text{div}(g) = \text{div}(f \cdot g) + D_2 \geq 0$  and so  $f \cdot g \in R(D_2)$ . Conversely, if  $h \in R(D_2)$  then  $\text{div}(h) + D_2 \geq 0$  and so  $0 \leq \text{div}(h) + D_1 - \text{div}(g) = \text{div}(h/g) + D_1$  which implies that  $h/g \in R(D_1)$  and so  $h \in R(D_1) \cdot g$  as desired.

Conversely, suppose that  $R(D_1) \cong R(D_2)$  and so  $\text{Hom}_R(R(D_1), R(D_2)) \cong R$ . Since we have  $R(D_1), R(D_2) \subseteq K(R)$  we see that  $R(D_2) :_{K(R)} R(D_1) = h \cdot R$  for some  $h \in K(R)$ . We see that  $h \cdot R(D_1) = R(D_2)$  and so an argument similar to the one above shows that  $D_1 - D_2 = \text{div}(h)$ .  $\square$

**Lemma 1.11.** *If  $D$  is a divisor on  $R$ , then every  $g \in R(D)$  determines an effective divisor  $D_g \sim D$ , explicitly  $D_g := D + \text{div}(g)$ . Furthermore  $h \in R(D)$  determines the same divisor as  $g$  if and only if  $h$  and  $g$  are associates in  $R$  (unit multiplies).*

## REFERENCES

[Har94] R. HARTSHORNE: *Generalized divisors on Gorenstein schemes*, Proceedings of Conference on Algebraic Geometry and Ring Theory in honor of Michael Artin, Part III (Antwerp, 1992), vol. 8, 1994, pp. 287–339. MR1291023 (95k:14008)