

**NOTES ON CHARACTERISTIC  $p$  COMMUTATIVE ALGEBRA**  
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0.1.  **$F$ -splitting's implications for local cohomology continued.** Last time we proved the following.

**Corollary 0.1.** *Suppose that Frobenius acts injective only  $H_I^i(R)$  for some  $I \subseteq R$  and  $i > 0$ . Further suppose that  $J \cdot H_I^i(R) = 0$ , then also  $\sqrt{J} \cdot H_I^i(R) = 0$ . In particular, in an  $F$ -injective local ring  $(R, \mathfrak{m}, k)$ , if  $H_{\mathfrak{m}}^i(R)$  has finite length then  $H_{\mathfrak{m}}^i(R)$  is a  $k$ -vector space.*

There are ways to weaken the Cohen-Macaulay condition which appear in the commutative algebra literature. We give only the definitions that are convenient for our purposes.

**Definition 0.2.** A Noetherian  $d$ -dimensional local ring  $(R, \mathfrak{m}, k)$  is called *quasi-Buchsbaum* if each  $H_{\mathfrak{m}}^i(R)$  is a finite dimension  $k$ -vector space for each  $i < \dim R$ . It is called *quasi-Buchsbaum* if when we consider an exact triangle

$$K^{\bullet} \rightarrow \mathbf{R}\Gamma_{\mathfrak{m}}(R) \rightarrow H_{\mathfrak{m}}^d(R)[-d] \xrightarrow{+1}$$

then  $K^{\bullet} \in D^b(k)$ .<sup>1</sup> In particular, since every short exact sequence of  $K$  vector spaces is split,  $K^{\bullet}$  is quasi-isomorphic to the direct sum of its cohomologies (appropriately shifted).

It was known that for  $F$ -pure rings, being quasi-Buchsbaum implies the Buchsbaum condition [GO83], but it had been an open question popularized by S. Takagi whether this implication also holds for  $F$ -injective rings. This was shown recently to be the case by L. Ma [Ma15]. We give a proof of this now due to B. Bhatt, L. Ma and the author which can be found in [BMS16]. We first need a lemma.

**Proposition 0.3.** *Let  $A \rightarrow B$  be a surjection of Noetherian rings with induced surjection  $A^{\infty} \rightarrow B^{\infty}$ . Let  $K^{\bullet} \in D^b(A^{\infty})$  be a complex such that each  $h^i(K^{\bullet})$  is a  $B^{\infty}$ -module. Then  $K^{\bullet} \simeq K^{\bullet} \otimes_{A^{\infty}}^{\mathbf{L}} B^{\infty}$  via the canonical map, and thus  $K^{\bullet}$  comes from  $D^b(B^{\infty})$  via the forgetful functor  $D^b(B^{\infty}) \rightarrow D^b(A^{\infty})$ .*

*Proof.* We must check that the canonical map  $K^{\bullet} \rightarrow K^{\bullet} \otimes_{A^{\infty}}^{\mathbf{L}} B$  is an isomorphism for  $K^{\bullet}$  as above. We first prove the result for  $K = M[0]$  being a  $B$ -module  $M$  placed in degree 0. But then  $K^{\bullet} \otimes_{A^{\infty}}^{\mathbf{L}} B^{\infty} \simeq M[0] \otimes_{B^{\infty}}^{\mathbf{L}} (B^{\infty} \otimes_{A^{\infty}}^{\mathbf{L}} B^{\infty})$ , so the claim follows from ??.

For the general case, we induct on the maximum length  $l = j - i$  such that  $h^j(K^{\bullet}) \neq 0$  and  $h^i(K^{\bullet}) \neq 0$ . We have already handled the base case since a complex that has cohomologies only in degree zero is quasi-isomorphic to a module viewed as a complex in that degree. Next suppose that the result is true in the case of  $\leq l$  and consider a complex  $K^{\bullet}$  where  $h^j(K^{\bullet}) \neq 0$  and  $h^i(K^{\bullet}) \neq 0$  where  $l + 1 = j - i$ .

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<sup>1</sup>Note  $H_{\mathfrak{m}}^d(R)$  is the top cohomology of  $\mathbf{R}\Gamma_{\mathfrak{m}}(R)$  and so there always exists such a map.

Consider the exact triangle

$$h^i(K^\bullet)[-i] \rightarrow K^\bullet \rightarrow C^\bullet \xrightarrow{+1}$$

$C^\bullet$  is just the truncation of  $K^\bullet$  at the  $i$ th spot and so the inductive hypothesis implies that  $C^\bullet \simeq_{\text{qis}} C^\bullet \otimes_{A^\infty}^L B^\infty$ . Thus we have

$$\begin{array}{ccccccc} h^i(K^\bullet)[-i] & \longrightarrow & K^\bullet & \longrightarrow & C^\bullet & \xrightarrow{+1} & \\ \sim \downarrow & & \downarrow & & \sim \downarrow & & \\ h^i(K^\bullet)[-i] \otimes_{A^\infty}^L B^\infty & \longrightarrow & K^\bullet \otimes_{A^\infty}^L B^\infty & \longrightarrow & C^\bullet \otimes_{A^\infty}^L B^\infty & \xrightarrow{+1} & \end{array}$$

The the vertical maps on the ends are quasi-isomorphisms and thus so is the map in the middle which proves the proposition.  $\square$

**Theorem 0.4.** *Let  $(R, \mathfrak{m}, k)$  be a local  $d$ -dimensional  $F$ -injective ring of characteristic  $p > 0$  such that  $H_{\mathfrak{m}}^i(R)$  has finite length for  $i < d$ . Fix  $K^\bullet \in D(R)$  as the  $< d$ -truncation of  $\mathbf{R}\Gamma_{\mathfrak{m}}(R)$ . Then  $K^\bullet \in D^b(k)$  and hence  $R$  is Buchsbaum.*

*Proof.* We prove this only in the case when  $k$  is perfect (for simplicity) where we see that  $R^\infty/\mathfrak{m}^\infty = k$ . For the general case (with a proof along the same lines), see [BMS16].

Note  $K^\bullet$  still has a Frobenius map  $F : K^\bullet \rightarrow K^\bullet$  which is injective on its cohomologies (which are the  $H_{\mathfrak{m}}^i(R)$ ). But since the cohomologies have finite length, and so are finite dimensional  $k$ -vector spaces by Corollary 0.1, the Frobenius map is bijective on the cohomologies of  $K^\bullet$ .

Define  $K_\infty^\bullet$  to be the  $< d$ -truncation of  $\mathbf{R}\Gamma_{\mathfrak{m}}(R^\infty)$  and note that

$$h^i(K_\infty^\bullet) = \varinjlim_e F_*^e h^i(K^\bullet) = h^i(K^\bullet)$$

where the second to last equality follows from the fact that Frobenius acts bijectively on the cohomology of  $h^i(K^\bullet)$ . It follows that the canonical map  $K^\bullet \rightarrow K_\infty^\bullet$  is a quasi-isomorphism. But now  $K^\bullet \simeq_{\text{qis}} K_\infty^\bullet \simeq_{\text{qis}} K_\infty^\bullet \otimes_{R^\infty} k$ , which shows that  $K^\bullet$  is quasi-isomorphic to a complex of  $k$ -vector spaces, as claimed.  $\square$

**0.2. Serre's conditions and Hartog's Phenomenon.** Suppose that  $S$  has dimension  $n$  and depth  $m$ . If  $\mathfrak{q}$  is an ideal of height say  $n - 1$ , it is possible that  $\text{depth}_{S_{\mathfrak{q}}} S_{\mathfrak{q}} = m$  and it is also possible that  $\text{depth}_{S_{\mathfrak{q}}} S_{\mathfrak{q}}$  has depth  $m - 1$ . For example, consider  $R$  from ?? and set  $S = R[w]$ . It is not difficult to see that  $S$  has depth 2 at the origin (since if you mod out by  $w$  you get back  $R$ , which has depth 1). However, if one localizes at the prime ideal  $\langle x, y, u, v \rangle$ , you obtain a ring of depth 1 (you essentially get  $R$  with enlarged base field  $k$  to  $k(w)$ ). On the other hand, localizing at  $\langle x, y, u, w \rangle$  inverts  $v$  and so kills  $x$  and  $y$  and thus produces  $k(v)[u, w]_{u, w}$ , a ring of depth 2.

Because of this unpredictable behavior of depth under localization, we have the following definition.

**Definition 0.5.** A finitely generated module  $M$  over a Noetherian ring  $R$  is said to satisfy  $\mathbf{S}_n$  if for every prime  $\mathfrak{q} \in \text{Spec } R$ ,  $\text{depth } M_{\mathfrak{q}} \geq \min\{n, \dim R_{\mathfrak{q}}\}$ .<sup>2</sup>

In particular, an  $\mathbf{S}_n$ -module is Cohen-Macaulay in codimension  $n$  and has depth  $\geq n$  elsewhere.

<sup>2</sup>In some published work,  $\dim R_{\mathfrak{q}}$  is replaced by  $\dim M_{\mathfrak{q}}$  in this definition.

## REFERENCES

- [BMS16] B. BHATT, L. MA, AND K. SCHWEDE: *The dualizing complex of  $F$ -injective and Du Bois singularities*, arXiv:1512.05374.
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- [Ma15] L. MA:  *$F$ -injectivity and Buchsbaum singularities*, Math. Ann. **362** (2015), no. 1-2, 25–42. 3343868