

# Singularities in characteristic zero and singularities in characteristic $p$

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University of Michigan

Special Lecture

# Outline

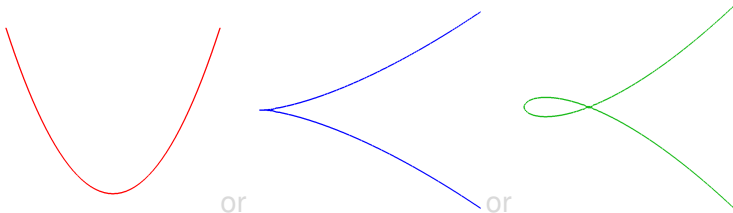
- 1 Singularities on algebraic varieties
  - Algebraic varieties
  - Singularities
- 2 Types of singularities in characteristic zero
  - Resolution of singularities
  - Classifying singularities using resolutions
- 3 Singularities in characteristic  $p > 0$ 
  - Definitions
  - Characteristic 0 vs characteristic  $p > 0$  singularities

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# Affine algebraic varieties

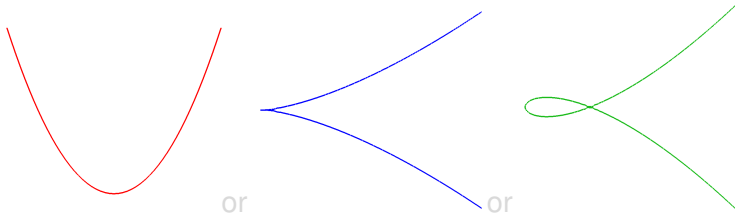
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  - It is a subset of  $\mathbb{C}^n$  which is the vanishing set of some collection of polynomial equations.
  - In the examples of this talk, I'll only consider varieties defined by a single equation (hypersurfaces).
- For example, in  $\mathbb{C}^2$  one might consider  $y - x^2$  or  $y^2 - x^3$  or  $y^2 - x^2(x - 1)$ .



- Of course, these are two dimensional objects really, we only plotted their real points.

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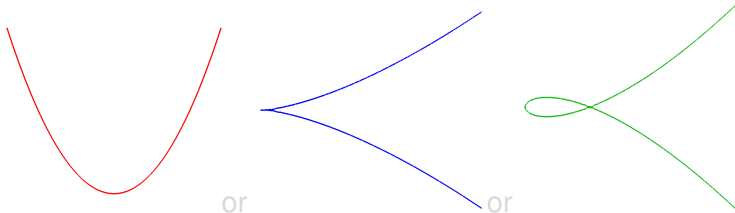
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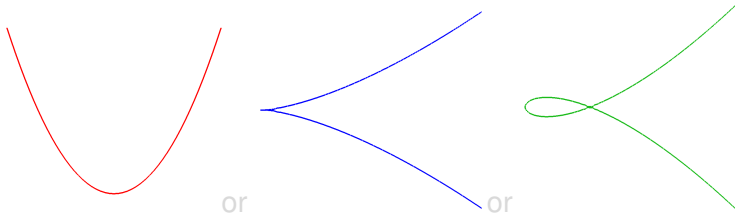
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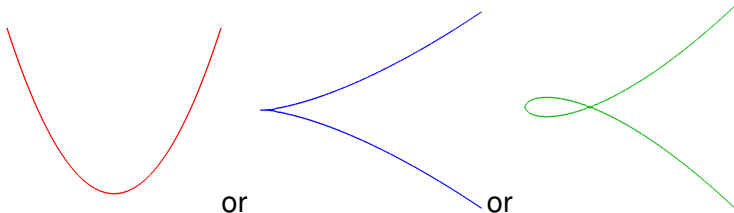
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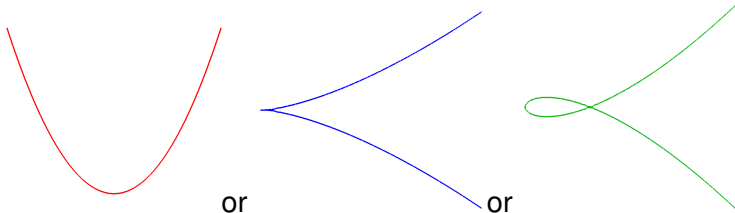
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# Higher dimensional examples I

- In  $\mathbb{C}^3$  one might consider a quadric cone,  $x^2 + y^2 - z^2$ .



- Or a cone over a cubic,  $y^2z - x(x - z)(x + z)$ .



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# Generalizations

- These examples are not compact (they are affine). Often one studies “projective” algebraic varieties (which are compact).
  - Projective algebraic varieties are simply several affine algebraic varieties glued together (on large open patches) in such a way that they embed algebraically as a closed subset of  $\mathbb{P}_{\mathbb{C}}^n$ .
- We also work over other fields besides  $\mathbb{C}$ . In particular, sometimes we work over fields of characteristic  $p > 0$ .
  - There won't be any positive characteristic drawings.

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# Relation with algebra

- If one is studying a complex affine variety  $X$  defined by an equation  $f(x_1, \dots, x_n) = 0$ , the ring

$$R = \mathbb{C}[x_1, \dots, x_n]/(f(x_1, \dots, x_n))$$

carries the same information as  $X$  (although it doesn't record the embedding  $X \subseteq \mathbb{C}^n$ ).

- The points of the variety correspond to the maximal ideals of the ring  $R$ .
- Therefore, one can study the algebraic variety  $X$  by studying the ring  $R$ .
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# This talk is about singularities... so

- What is a singularity?
- On a complex variety, a point  $Q$  is *smooth* if “very locally”, that point looks the same as a point of  $\mathbb{C}^d$ .
- A point is *singular* if it is not smooth.
- Alternately, if  $X$  is defined by a single equation  $f(x_1, \dots, x_n) = 0$ , then a point  $Q$  is singular if  $f(Q) = 0$  and  $\partial f / \partial x_i(Q) = 0$  for each  $i = 1, \dots, n$ .
  - This description works also when working over other fields.
  - One can do something similar for non-hypersurfaces.
- All the examples we’ve looked at so far (except the parabola) have an “isolated singularity” at the origin.

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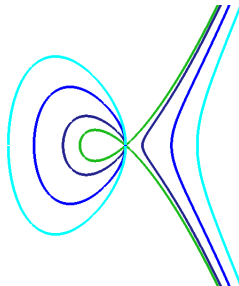
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Perhaps you are only interested in smooth varieties?

- Singularities show up as limits of smooth varieties.

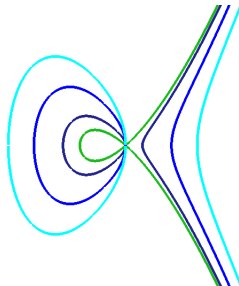


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  - (moduli spaces are algebraic varieties whose points parameterize something. For example, points can correspond to isomorphism classes of certain varieties).

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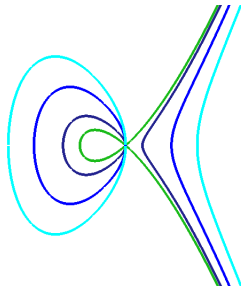


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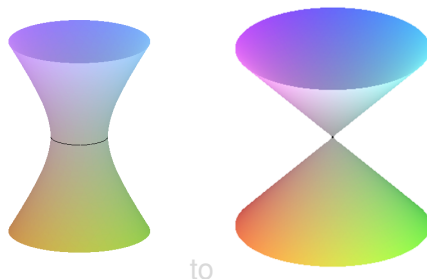
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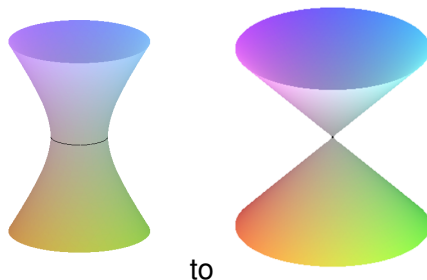
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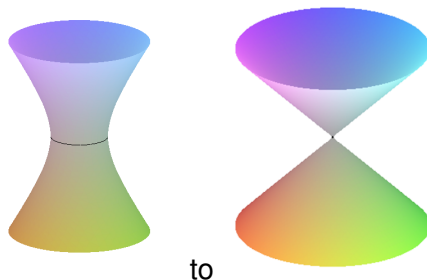
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# What is a resolution of singularities?

- Suppose you are given a singular variety  $X$ .
- A *resolution of singularities* is a map of algebraic varieties  $\pi : \tilde{X} \rightarrow X$  that satisfies the following properties:
  - $\tilde{X}$  is smooth.
  - $\pi$  is “birational” (this means it is an isomorphism outside of a small closed subset of  $X$ , usually the singular locus of  $X$ )
  - $\pi$  is “proper” (in particular, this implies that the pre-image of a point is compact)

Because of this,  $\tilde{X}$  is usually not affine, even when  $X$  is.

  - We also usually require that the pre-image of the singular locus looks like “coordinate hyperplanes”, sufficiently locally.
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# Why resolve singularities?

- A resolution of singularities takes your variety  $X$  and constructs a “smooth variety”  $\tilde{X}$  that is very closely related to  $X$ .
  - $\tilde{X}$  and  $X$  are “birational”.
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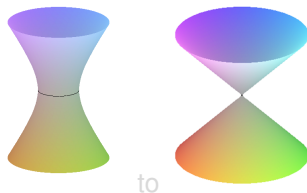
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# Why resolve singularities?

- A resolution of singularities takes your variety  $X$  and constructs a “smooth variety”  $\tilde{X}$  that is very closely related to  $X$ .
  - $\tilde{X}$  and  $X$  are “birational”.
- The “properness” of the resolution implies that if  $X$  was compact, then  $\tilde{X}$  is also compact.
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# How do you resolve singularities?

- You perform several *blow-ups*.
  - A blow-up is an “un-contraction” of a closed subset.
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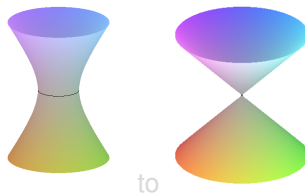


## Theorem (Hironaka)

*In characteristic zero, if you do enough blow-ups at “smooth centers”, in the right order, you will construct a resolution of singularities*

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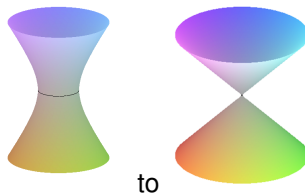


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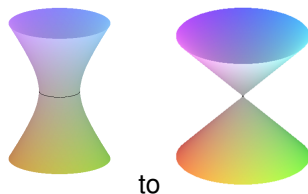


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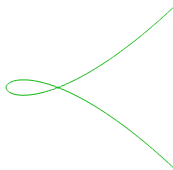


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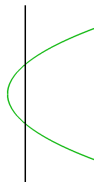
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## Example with curves

- We will blow-up points in  $\mathbb{C}^2$  and see what it does to curves.
- A blow-up at a point on  $\mathbb{C}^2$  turns every different tangent direction (discounting sign) at  $Q$  into its own point. It replaces  $Q$  by a copy of  $\mathbb{P}^1_{\mathbb{C}} = \text{“The Riemann sphere”}$ .
- What happens to curves on the plane?
- This separation of tangent directions means that nodes become separated.



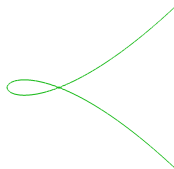
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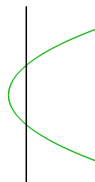
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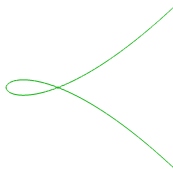
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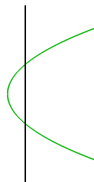
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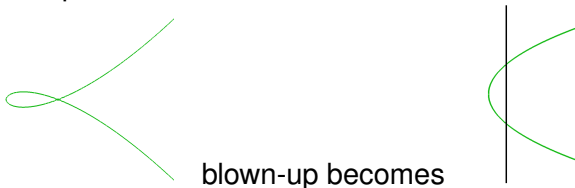
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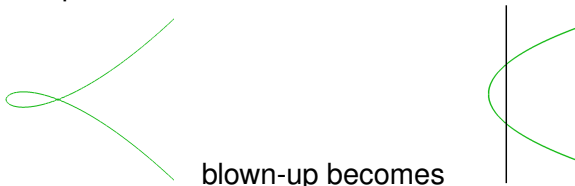
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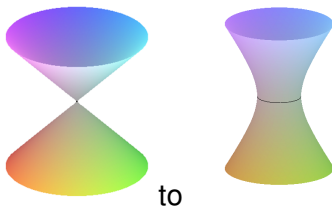
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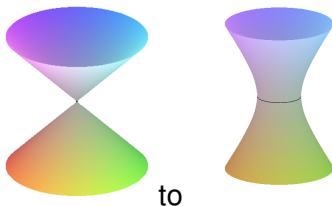
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- When we do the blow-up at the origin, all the different tangent directions get separated.
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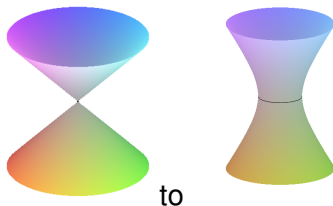
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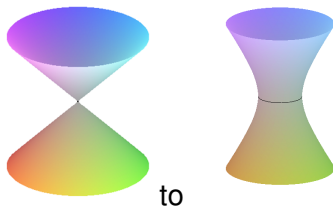
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# How can we classify singularities with resolutions?

- All the examples we've seen so far can be resolved by one blow-up at a single point. However, there are many singularities that require more work to resolve.
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  - You can do something like this for surfaces (surface = 2 complex dimensions).
- However, in higher dimensions this becomes difficult (and also much harder to visualize). There are also different “minimal” ways to resolve the same singularity.
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- The goal of the minimal model program is to take a “birational equivalence class” of varieties and find a good minimal representative of that class. In particular, one contracts certain closed subvarieties in order to get new varieties with “mild” singularities.
- What does mild mean? One compares the sheaf of “top dimensional differentials” on  $X$  (naively extended over the singular locus) with the top differentials of its resolution  $\tilde{X}$ .
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- Actually, Du Bois singularities were originally defined using other methods (Hodge Theory), although we now have the following theorem.

Theorem (Kovács, —, Smith)

*Suppose that  $X$  is normal and Cohen-Macaulay and  $\pi : \tilde{X} \rightarrow X$  is a (log) resolution of  $X$  with exceptional set  $E$ . Then  $X$  has Du Bois singularities if and only if  $\pi_* \omega_{\tilde{X}}(E) = \omega_X$ .*

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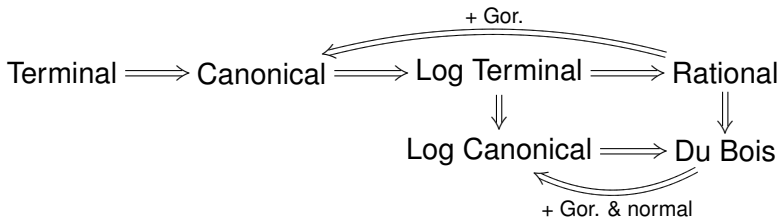
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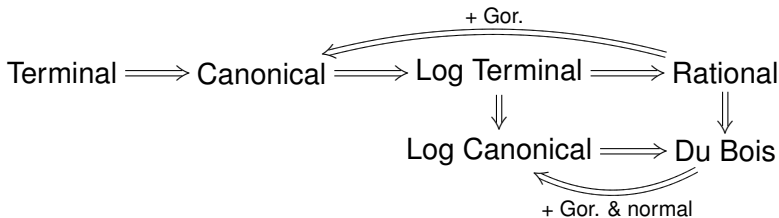
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- Not all of the implications in the above diagram are trivial, see the work of Elkik, Ishii, Kollár, Kovács, Saito, —, Smith, Steenbrink and others.
- Multiplier ideals, adjoint ideals, log canonical thresholds and log canonical centers are also measures of singularities that fit into the same framework.

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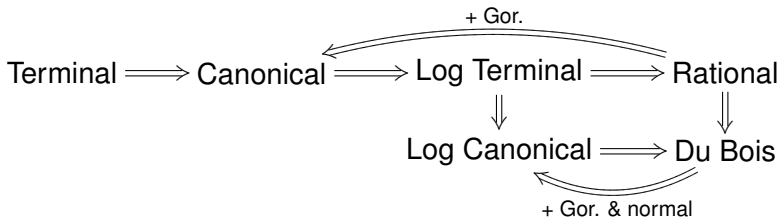
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# Analytic description of singularities

- There are analytic ways to describe several of the singularities of the minimal model program as well.
- For example, consider a variety  $X$  defined by an equation  $f(x_1, \dots, x_n) = 0$  in  $\mathbb{C}^n$ .
- Also assume that  $f$  is irreducible.
- Then  $X$  is (semi) log canonical near the origin 0 if and only if

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# Outline

- 1 Singularities on algebraic varieties
  - Algebraic varieties
  - Singularities
- 2 Types of singularities in characteristic zero
  - Resolution of singularities
  - Classifying singularities using resolutions
- 3 Singularities in characteristic  $p > 0$ 
  - Definitions
  - Characteristic 0 vs characteristic  $p > 0$  singularities

# What's different about characteristic $p$ ?

- Suppose that  $k$  is an algebraically closed field of characteristic  $p$ .
- One can still make sense of varieties defined over  $k$ .
- Singularities can even still be detected using partial derivatives.
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# Study the rings

- Various people have been studying properties of rings in characteristic  $p > 0$  for a long time.
- Algebraic geometers and commutative algebraists have classified singularities of these rings by studying the action of Frobenius.
  - The Frobenius map on a ring  $R$  is the map  $F : R \rightarrow R$  that sends  $x \in R$  to  $x^p$  (where  $p$  is the characteristic of  $R$ ).
- Frobenius is a ring homomorphism since  $(x + y)^p = x^p + y^p$ .
- If  $R$  is reduced (there are no elements  $0 \neq x \in R$  such that  $x^p = 0$ ), then the Frobenius map can be thought of as the inclusion:

$$R^p \subset R \text{ or the inclusion } R \subset R^{1/p}.$$

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# Notation for Frobenius

- We want to explore the behavior of Frobenius on “nice rings”?
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# Smooth points and the action of Frobenius

- Consider the ring  $R = k[x]$  (polynomials in a single variable).
  - If  $k = \mathbb{C}$ , then the ring  $R$  would correspond to the variety  $\mathbb{C}$  (which is very smooth).
- It's easy to see that  $F_*R$  is free of rank  $p$  (with generators  $1, x, \dots, x^{p-1}$ ).
- It turns out that any polynomial ring is free when viewed as a module via the action of Frobenius.
- In fact, there is the following theorem:

## Theorem (Kunz)

*A local domain  $R$  of characteristic  $p$  is regular (ie, non-singular) if and only if  $F_*R$  is flat as an  $R$ -module.*

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# Singularities defined by Frobenius

- How can we use Frobenius to classify singularities?

## Definition

A ring  $R$  is said to be  *$F$ -pure* (or  *$F$ -split*) if there exists a surjective map of  $R$ -modules  $\phi : F_*R \rightarrow R$ .

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# An aside on Frobenius split varieties

- If  $X$  is smooth and projective one can still restrict to open sets, corresponding to rings  $R$ , which are Frobenius split.
- Therefore, every smooth variety is “locally” Frobenius split.
- However, the various splittings  $\phi$  are often not compatible.
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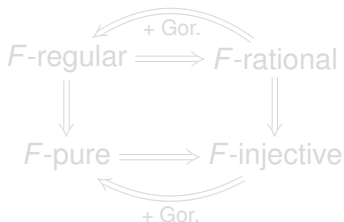
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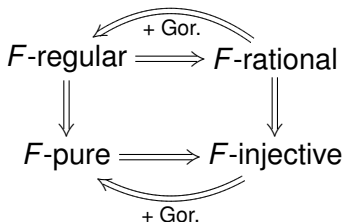
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- (strongly)  $F$ -regular,  $F$ -injective, and  $F$ -rational.



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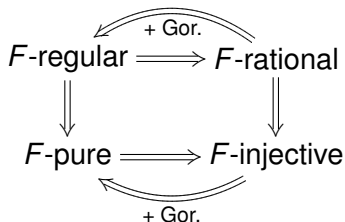
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# Examples of singularities defined by Frobenius

- The ring corresponding to the quadric cone  $R = k[x, y, z]/(x^2 + y^2 - z^2)$  is  $F$ -regular (except in characteristic 2).
- The ring corresponding to the cubic cone  $R = k[x, y, z]/(x^3 + y^3 + z^3)$  is  $F$ -pure if and only if  $p \equiv 1 \pmod{3}$ . It is never  $F$ -rational.
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# Reduction to characteristic $p > 0$

- Suppose you have a complex affine variety  $X$  defined by an equation  $f(x_1, \dots, x_n) = 0$ .
- If the coefficients of  $f$  are integers, then one can also view this as a variety in characteristic  $p > 0$ .
  - Squint hard, and study the ring  $\overline{\mathbb{F}_p}[x_1, \dots, x_n]/(f)$  instead of the ring  $\mathbb{C}[x_1, \dots, x_n]/(f)$
- One says that  $X$  has *dense  $F$ -pure type* if for infinitely many  $p$ , the ring  $\overline{\mathbb{F}_p}[x_1, \dots, x_n]/(f)$  is  $F$ -pure.
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# Relation between the singularities

- Since about 1980, people have been aware of connections between singularities defined by the action of Frobenius and singularities defined by a resolution of singularities.
- Although the various classes of singularities were introduced independently.
- After the introduction of tight closure by Hochster and Huneke, people began to make the correspondence precise. For example,

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## Theorem (—)

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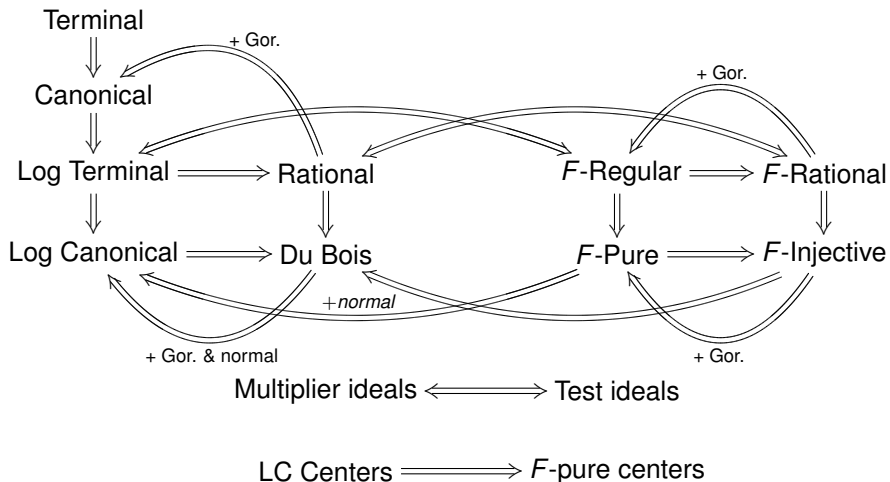
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# The diagram



# Remarks on the diagram

- It is unknown whether there are  $F$ -analogues of canonical or terminal singularities.
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# Multiplier ideals

- Suppose  $X$  is an affine variety and  $\mathfrak{a}$  is an ideal (on the corresponding ring).
- One then can define the multiplier ideal  $\mathcal{J}(X, \mathfrak{a}^t)$  where  $t > 0$  is a real number.
- As one increases  $t$ , these become smaller ideals.

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- But the test ideal,  $\tau(X, \alpha^t)$  is an analogue of the multiplier ideal.
- One can ask whether the same “jumping” behavior holds (for a fixed  $\alpha$ ).

Theorem (Blickle, Mustață, Smith)

*The set of “F-jumping numbers” for  $\alpha$  are discrete and rational when  $X$  is smooth.*

- Also see [Monsky, Hara] and [Katzman, Lyubeznik, Zhang].

Theorem (—, Takagi, Zhang)

*The set of “F-jumping numbers” for  $\alpha$  are discrete and rational when  $X$  is normal and  $\mathbb{Q}$ -Gorenstein with index not divisible by  $p$ .*

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