

Math 4400
Week 13 - Thursday
Rational approximation and Pell's equations

Last time: once we have one solution

(x_1, y_1) to $x^2 - Dy^2 = 1$, D square free positive integer,
can produce many more by

$$(x_1 + y_1 \sqrt{D})^k = x_k + y_k \sqrt{D}$$
$$N(\text{---}^k) = N(\text{---})^k = 1.$$

Fact: If (x_1, y_1) is the smallest positive integer solution (i.e. x_1 smaller than x coordinate of any other or equivalently y_1 smaller than y coordinate of any other)

$$x^2 = 1 + Dy^2$$

then all positive integer solutions
are as above.

Example: Last time, $D=2$, the smallest
positive integer solution to

$$x^2 - 2y^2 = 1$$

$$\text{was } (x_1, y_1) = (3, 2)$$

Taking $(x_1 + \sqrt{2}y_1)^k$ gave all other
solutions, thus all square-triangular #s.

To justify fact, we divide by $x_1 + \sqrt{D}y_1$.

$$\frac{(x + y\sqrt{D})}{x^2 - Dy^2 = 1} \div \frac{(x_1 + y_1\sqrt{D})}{\text{smallest solution}} = (x + y\sqrt{D})(x_1 - y_1\sqrt{D})$$
$$= \underline{xx_1 - Dyy_1} + \underline{(x_1y - xy_1)\sqrt{D}}$$

Need to show these
are positive — see
Theorem 43 on p. 129.

Just keep dividing!

More interesting — to have a smallest solution,
first need to know there is at least one
positive integer solution!

to Pell's equation $x^2 - y^2D = 1$.

Key observation: If $x^2 - Dy^2 = 1$ w/ $x, y > 0$

$$(x + \sqrt{D}y)(x - \sqrt{D}y) = 1$$

$$x - \sqrt{D}y = \frac{1}{x + \sqrt{D}y} < \frac{1}{y}$$

so

$$|x - \sqrt{D}y| < \frac{1}{y}$$

↓ divide by y .

$$\left| \frac{x}{y} - \sqrt{D} \right| < \frac{1}{y^2}$$

says $\frac{x}{y}$ is fraction that approximates $\sqrt{D}$ well.

Example: $99^2 - 2 \cdot 70^2 = 1$

$$\frac{99}{70} = 1.4142 \dots \quad \sqrt{2} = 1.41421 \dots$$

agree up to first 4 decimal places

Idea: Reverse this - use existence of good rational approximations to find a solution.

Pigeonhole principle: If I put $n+1$ pigeons into n pigeonholes, then one pigeonhole holds at least 2 pigeons

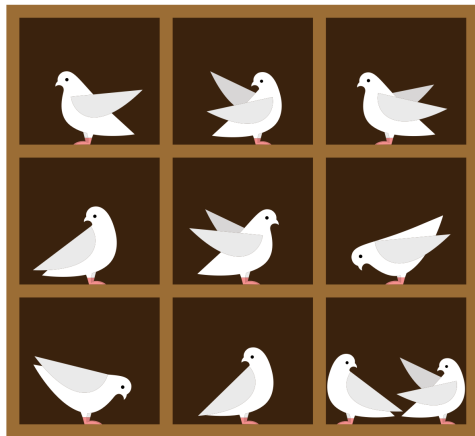


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Also: If infinitely many pigeons in finitely many pigeonholes,
then one pigeonhole holds infinitely many pigeons.

Dirichlet approximation principle: If $\alpha > 0$ is irrational,
then there are infinitely many pairs (x, y) of positive
integers such that

$$\left| \frac{x}{y} - \alpha \right| < \frac{1}{y^2}.$$

Proof: Let $n > 0$. ~~$\mathbb{R} \setminus \mathbb{Z}$~~ ^{$n=3$} subdivide $(0, 1)$ into intervals of length $\frac{1}{n}$.
 n Pigeonholes - $[0, \frac{1}{n}), [\frac{1}{n}, \frac{2}{n}), [\frac{2}{n}, \frac{3}{n}), \dots, [\frac{n-1}{n}, 1)$.
 $n+1$ Pigeons - $\{0 \cdot \alpha\} \quad \{1 \cdot \alpha\} \quad \dots \quad \{n \cdot \alpha\}$
 $\{B\} = B - \lfloor B \rfloor$ is the fractional part.

Pigeonhole principle $\Rightarrow$ there is $0 \leq k \leq n-1$
and $0 \leq i < j \leq n$ s.t.
 $\{i\alpha\}$ and $\{j\alpha\}$ are both in
 $[\frac{k}{n}, \frac{k+1}{n})$,

so $(j-i)\alpha = j\alpha - i\alpha = \lfloor j\alpha \rfloor - \lfloor i\alpha \rfloor + \{j\alpha\} - \{i\alpha\}$

$$\alpha = \frac{\lfloor j\alpha \rfloor - \lfloor i\alpha \rfloor}{j-i} + \frac{\{j\alpha\} - \{i\alpha\}}{j-i}$$

$$\alpha = \frac{x}{y} + \varepsilon$$

$$y = j-i$$

$$|\varepsilon| = \left| \frac{\{j\alpha\} - \{i\alpha\}}{j-i=y} \right| < \frac{\frac{1}{n}}{y} = \frac{1}{ny} < \frac{1}{y^2} \quad \leftarrow n > j-i=y$$

Existence of solutions to Pell's equation:

For any n , positive integers $x \leq n\sqrt{D}$ $y \leq n$
 s.t. $|x - y\sqrt{D}| < \frac{1}{n}$ (see proof of Dirichlet)

$$\text{Then } N(x + y\sqrt{D}) = (x + y\sqrt{D})(x - y\sqrt{D}) < \frac{2n\sqrt{D}}{n} = 2\sqrt{D}$$

Pigeonhole (again!) $\Rightarrow \exists m < 2\sqrt{D}$ s.t.
 $N(x + y\sqrt{D}) = m$ has infinitely
 many positive integer solutions (x, y)

For any two,

$$N\left(\frac{x_1 + y_1\sqrt{D}}{x_2 + y_2\sqrt{D}}\right) = \frac{N(x_1 + y_1\sqrt{D})}{N(x_2 + y_2\sqrt{D})} = \frac{m}{m} = 1.$$

$$\begin{aligned} \frac{x_1 + y_1\sqrt{D}}{x_2 + y_2\sqrt{D}} &= \frac{(x_1 + y_1\sqrt{D})(x_2 - y_2\sqrt{D})}{m} \\ &= \frac{x_1x_2 - y_1y_2D}{m} + \frac{(y_1x_2 - x_1y_2)}{m} \sqrt{D} \end{aligned}$$

want integers.

Pigeonhole principle one more time:
 can assume $x_1 \equiv x_2 \pmod{m}$ and $y_1 \equiv y_2 \pmod{m}$.
 (Pigeonholes - (a, b) in $(\mathbb{Z}/m\mathbb{Z})^2$).
 $x \equiv a, y \equiv b$

Then $x_1 x_2 - y_1 y_2 D \equiv x_1^2 - y_1^2 D \equiv n \equiv 0 \pmod{m}$
 $y_1 x_2 - x_1 y_2 \equiv y_1 x_1 - x_1 y_1 \equiv 0 \pmod{n}$ ✓