# **Mathematics of Frozen Seas**

Kenneth Golden University of Utah Frasier Colloquium U.S. Naval Academy

January 29, 2025

### SEA ICE covers ~12% of Earth's ocean surface

- boundary between ocean and atmosphere
- mediates exchange of heat, gases, momentum
- global ocean circulation
- hosts rich ecosystem
- indicator of climate change

### polar ice caps critical to global climate in reflecting incoming solar radiation

# white snow and ice reflect







### dark water and land absorb

albedo 
$$\alpha = \frac{\text{reflected sunlight}}{\text{incident sunlight}}$$

### Arctic sea ice extent

### **September 15, 2020**





### recent losses in comparison to the United States



Perovich

# **ARCTIC** summer sea ice loss



predictions require lots of math modeling

# As the Arctic Ocean opens up, the United States faces a changing competitive landscape and new threats to national security.



Li Yun, Xinhua

titanium Russian flag on the sea floor at the North Pole, August 2007

record low sea ice extent



Two Russian TU-95 Bears and two Chinese H-6 strategic bombers entered Alaska Air Defense Identification Zone, Bering Sea, July 2024.

# Russia claims almost 70% of the Arctic Ocean and its natural resources.

Newsweek, December 2023

Chinese - Russian Joint Naval Operations with 11 warships off the coast of Alaska, August 2023



### Shipping



### **Natural Resources**

#### USGS: Arctic holds 13% of world's undiscovered oil 30% undiscovered natural gas



Russia & China working together to expand shipping along the Northern Sea Route and build new icebreakers for the line.



### **Navigation** The Arctic is warming, but there's still a lot of ice! And it's highly variable.







#### **U.S. Icebreaker Inventory:**

1 heavy - the USCGC Polar Star (1976) 1 medium - the USCGC Healy (1999) 1 heavy - the USCGC Polar Sea (decommisioned in 2010)

July 2024 both U.S. icebreakers were sidelined for the near future.

#### Russian Icebreaker Inventory:

41 including 7 nuclear powered (but many are smaller)

**Chinese Icebreaker Inventory: 5** 

ICE Pact: U.S., Canada, Finland to build and buy lots of icebreakers, announced at July's NATO summit.



#### **DOD 2024 Arctic Strategy**

#### The Arctic is "critical to the defense of our homeland."

Growing Russian, Chinese military & commercial presence in Arctic with increased cooperation.

These challenges have been amplified because rapidly warming temperatures and thinning ice coverage are enabling this activity.

defense.gov/news



How to apply naval power as we continue to prepare for a more navigable Arctic Region.



#### "monitor and respond" - three lines of effort:

enhancing capabilities of the joint force
 greater engagement with allies and partners
 exercising U.S. presence in the Arctic



Most operations in the Arctic marine environment involve sea ice and its properties!

### Sea Ice is a Multiscale Composite Material *microscale*

#### brine inclusions



H. Eicken

Golden et al. GRL 2007

Weeks & Assur 1969

#### millimeters

polycrystals



Gully et al. Proc. Roy. Soc. A 2015

#### centimeters

brine channels



D. Cole

K. Golden

### mesoscale

macroscale

Arctic melt ponds



Antarctic pressure ridges





sea ice floes

sea ice pack





K. Golden

J. Weller

kilometers

NASA

meters

### **Central theme:**

### How do we use "small scale" information to find effective behavior on larger scales relevant to climate and ecological models?

**OBJECTIVE:** advance how sea ice is represented in climate models improve projections of fate of SEA ICE and its ECOSYSTEMS

### **HOMOGENIZATION for Composite Materials**



Maxwell 1873, Einstein 1906 Wiener 1912, Hashin and Shtrikman 1962



# **Polar Ecology and the Physics of Sea Ice**

- How do sea ice properties affect the life it hosts?
- How does life in and on sea ice affect its physical properties?



Arrigo

Tour a few examples of multiscale modeling of physical and biological processes in the sea ice system.

microscale

mesoscale

macroscale

Take-away for mathematicians and physicists - our sea ice studies lead us into:

spectral analyis, random matrix theory, topological data analysis, UQ, anomalous diffusion, dynamical systems

percolation, Anderson localization, mushy layers, phase transitions semiconductors, Ising models, quasicrystals

+ fractal geometry



<u> AAAAAA</u>









### fractals

self-similar structure non-integer dimension



#### fractal curves in the plane

they wiggle so much that their dimension is >1



# microscale

### fluid flow through the porous microstructure of sea ice governs key processes in polar climate and ecosystems

#### evolution of Arctic melt ponds and sea ice albedo

#### nutrient flux for algal bloom





### brine volume fraction and *connectivity* increase with temperature



#### $T = -15 \,^{\circ}\text{C}, \ \phi = 0.033$ $T = -6 \,^{\circ}\text{C}, \ \phi = 0.075$ $T = -3 \,^{\circ}\text{C}, \ \phi = 0.143$



 $T = -8^{\circ} C, \phi = 0.057$ 

X-ray tomography for brine in sea ice



 $T = -4^{\circ} C, \phi = 0.113$ 

Golden et al., Geophysical Research Letters, 2007

# percolation theory

### probabilistic theory of connectedness



 $p_c$  depends on type of lattice and d

smallest p for which there is an infinite open cluster

 $p_c = 1/2$  for d = 2

# **Critical behavior of fluid transport in sea ice**



**PERCOLATION THRESHOLD**  $\phi_c \approx 5\%$   $\checkmark$   $T_c \approx -5^{\circ}C, S \approx 5$  ppt

# **RULE OF FIVES**

Golden, Ackley, Lytle Science 1998 Golden, Eicken, Heaton, Miner, Pringle, Zhu GRL 2007 Pringle, Miner, Eicken, Golden J. Geophys. Res. 2009

#### Thermal evolution of permeability and microstructure in sea ice

Golden, Eicken, Heaton, Miner, Pringle, Zhu, GRL 2007



# Sea ice algae secrete exopolymeric substances (EPS) affecting evolution of brine microstructure.

#### How does EPS affect fluid transport? How does the biology affect the physics?



- 2D random pipe model with bimodal distribution of pipe radii
- Rigorous bound on permeability k; results predict observed drop in k

Steffen, Epshteyn, Zhu, Bowler, Deming, Golden Multiscale Modeling and Simulation, 2018

> *SIAM News* June 2024



Zhu, Jabini, Golden, Eicken, Morris *Ann. Glac*. 2006

EPS - Algae Model Jajeh, Reimer, Golden

# Not the American Mathematical Society.

#### of the American Mathematical Society

May 2009

Volume 56, Number 5

Climate Change and the Mathematics of Transport in Sea Ice

page 562

Mathematics and the Internet: A Source of Enormous Confusion and Great Potential

page 586

#### photo by Jan Lieser

Real analysis in polar coordinates (see page 613)



measuring fluid permeability of Antarctic sea ice

**SIPEX 2007** 

### **Thermal Evolution of Brine Fractal Geometry in Sea Ice**

Nash Ward, Daniel Hallman, Benjamin Murphy, Jody Reimer, Marc Oggier, Megan O'Sadnick, Elena Cherkaev and Kenneth Golden, 2025



fractal dimension of the coastline of Great Britain by box counting

$$N(\epsilon) \sim \epsilon^{-D}$$

brine channels and inclusions "look" like fractals (from 30 yrs ago)



X-ray computed tomography of brine in sea ice

columnar and granular

Golden, Eicken, et al. GRL, 2007

2.6 2.5 **Fractal Dimension** 2.4 icken\Golder Follows same curve as 2.3 exactly self-similar 2.2 Sierpinski tetrahedron 2.1 2 Fractal dimension from boxcounting 1.9 Theoretical prediction 1.8 0.3 0.05 0.1 0.15 0.2 0.25 0 D. Eppstein Porosity  $\phi$ **red curve**  $F_d = d_E - \frac{\ln \phi}{\ln(\lambda_{min}/\lambda_{max})}$ Katz and Thompson, 1985; Yu and Li, 2001 discovered for sandstones

The first quantitative study of the fractal dimension of brine in sea ice and its strong dependence on temperature and porosity.

statistically self-similar porous media

Fractal geometry of brine in sea ice, Ward, et al. 2025

#### Implications of brine fractal geometry on sea ice ecology and biogeochemistry



Brine inclusions are home to ice endemic organisms, e.g., bacteria, diatoms, flagellates, rotifers, nematodes.

The habitability of sea ice for these organisms is inextricably linked to its complex brine geometry.

(A) Many sea ice organisms attach themselves to inclusion walls; inclusions with a higher fractal dimension have greater surface area for colonization.
(B) Narrow channels prevent the passage of larger organisms, leading to refuges where smaller organisms can multiply without being grazed, as in (C).
(D) Ice algae secrete extracellular polymeric substances (EPS) which alter incusion geometry and may further increase the fractal dimension.



# **Remote Sensing of Sea Ice**

#### with radar, microwaves, ...



interaction of EM waves with brine and polycrystalline microstructures, rough surfaces



#### **INVERSE PROBLEM**

Recover sea ice properties from electromagnetic (EM) data  $\epsilon^*$ 

Effective complex permittivity of a composite in the quasistatic (long wavelength) limit



 $p_1$ ,  $p_2$  = volume fractions of the components

1. 1.

electrical conductivity thermal conductivty magnetic permeability diffusivity

 $D = \epsilon E$ 

 $\nabla \cdot D = 0$ 

 $\nabla \times E = 0$ 

 $\langle D \rangle = \epsilon^* \langle E \rangle$ 

$$\epsilon^* = \epsilon^* \left( \frac{\epsilon_1}{\epsilon_2} \right)$$
, composite geometry

What are the effective propagation characteristics of an EM wave (radar, microwaves) in the medium?

1

### complexities of mixture geometry



spectral properties of operator (matrix) Hamiltonian, quantum states, energy levels for atoms

eigenvectors

eigenvalues

**EXTEND to:** polycrystals, advection diffusion, waves through ice pack

Bounds on the complex permittivity of polycrystalline materials by analytic continuation

> Adam Gully, Joyce Lin, Elena Cherkaev, Ken Golden

 Stieltjes integral representation for effective complex permittivity

Milton (1981, 2002), Barabash and Stroud (1999), ...

- Forward and inverse bounds orientation statistics
- Applied to sea ice using two-scale homogenization
- Inverse bounds give method for distinguishing ice types using remote sensing techniques





Proc. Roy. Soc. A 8 Feb 2015

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### **PROCEEDINGS A**



An invited review commemorating 350 years of scientific publishing at the Royal Society

A method to distinguish between different types of sea ice using remote sensing techniques A computer model to determine how a human should walk so as to expend the least energy



# mesoscale

### advection enhanced diffusion HOMOGENIZE

heat transport in sea ice with convection nutrient and salt transport in sea ice sea ice floes in winds and ocean currents tracers, buoys diffusing in ocean eddies

advection diffusion equation with velocity field  $\vec{u}$ 

heat equation

$$\frac{\partial T}{\partial t} + \vec{u} \cdot \vec{\nabla}T = \kappa \Delta T$$
$$\vec{\nabla} \cdot \vec{u} = 0$$
$$homogenize$$
$$\frac{\partial \overline{T}}{\partial t} = \kappa^* \Delta \overline{T}$$

$$\kappa^*$$
 effective diffusivity

Stieltjes integral for  $\kappa^*$  with spectral measure

#### Avellaneda and Majda, PRL 89, CMP 91

Murphy, Cherkaev, Xin, Zhu, Golden, *Ann. Math. Sci. Appl.* 2017 Murphy, Cherkaev, Zhu, Xin, Golden, *J. Math. Phys.* 2020









### tracers flowing through inverted sea ice blocks







#### PROCEEDINGS OF THE ROYAL SOCIETY A

MATHEMATICAL, PHYSICAL AND ENGINEERING SCIENCES



#### Homogenization for convection-enhanced thermal transport in sea ice

N. Kraitzman, R. Hardenbrook, H. Dinh, N. B. Murphy, E. Cherkaev, J. Zhu and K. M. Golden

August 2024

First rigorous mathematical theory of thermal conductivity of sea ice with convective fluid flow; captures data.

missing in climate models

### ocean wave propagation through the sea ice pack



- wave-ice interactions critical to growth and melting processes
- break-up; pancake promotion floe size distribution

# HOMOGENIZE ice-ocean composite

find effective complex viscoelasticity

[Anderson localization]

Keller 1998 Mosig, Montiel, Squire 2015 Wang, Shen 2012



homogenized parameter depends on sea ice concentration and ice floe geometry

like EM waves



Sampson, Murphy, Hallman, Cherkaev, Golden 2025

### The sea ice pack has fractal structure.

#### **Self-similarity of sea ice floes**

Weddell Sea, Antarctica



#### fractal dimensions of Okhotsk Sea ice pack smaller scales D~1.2, larger scales D~1.9

**fractal dim.** *vs.* **floe size exponent** Adam Dorsky, Nash Ward, Ken Golden 2025

Toyota, et al. Geophys. Res. Lett. 2006 Rothrock and Thorndike, J. Geophys. Res. 1984

### Transition in the fractal geometry of Arctic melt ponds

Christel Hohenegger, Bacim Alali, Kyle Steffen, Don Perovich, Ken Golden

#### The Cryosphere, 2012



#### complexity grows with length scale

### From magnets to melt ponds



magnetic domains Arctic melt ponds in cobalt

## 100 year old model for magnetic materials used to explain melt pond geometry



magnetic domains Arctic melt ponds in cobalt-iron-boron





model



#### real ponds (Perovich)

#### Ma, Sudakov, Strong, Golden, *New J. Phys.* 2019

Scientific American, EOS, PhysicsWorld, ...

# cross pollination



#### The math doesn't care if it's sea ice or bone!



#### human bone

Golden, Murphy, Cherkaev J. Biomechanics 2011



compressed powder



radar absorbing coating



Kusy & Turner Nature 1971





sea ice

Golden, Ackley, Lytle Science 1998

Rule of Fives fluid flow

#### twisted bilayer composites

Morison, Murphy, Cherkaev, Golden Communications Physics 2022

#### stealth technology, climate science, medical imaging, twistronics

# macroscale

# Marginal Ice Zone (MIZ)

transitional region between dense interior pack  $\Psi > 0.8$ sparse outer fringes  $\Psi < 0.15$ 



- biologically active region
- intense wave-ice interactions
- strong air-ice-ocean exchanges



**MIZ WIDTH** 

fundamental length scale of ecological and climate dynamics

# streamlines of harmonic $\Psi$ MIZ width definition from medical imaging

Strong, *Climate Dynamics* 2012 Strong & Rigor, *GRL* 2013 **39% widening** 1979 - 2012

Strong, Foster, Cherkaev, Eisenman, Golden, J. Atmos. Oceanic Tech. 2017

Strong & Golden, SIAM News 2017

#### Multiscale mushy layer model for marginal ice zone dynamics

Strong, Cherkaev, Golden Scientific Reports 2024

MIZ - transitional region between dense pack ice and open ocean

**OBJECTIVE:** model & predict dramatic annual cycle impacts climate dynamics, polar ecology, human activities

#### mushy layer physics in the lab





#### Arctic MIZ as a mushy layer



#### **Identifying Fractal Geometry in Arctic Marginal Ice Zone Dynamics**

Julie Sherman, Court Strong, Ken Golden 2025

Compute the fractal dimension of the boundary of the Arctic MIZ by boxcounting methods; analyze seasonal cycle and long term trends.



early summer

2012



#### early autumn

wave and thermal interactions with fractal boundary

# Filling the polar data gap with<br/>partial differential equationshole in satellite coverageof sea ice concentration field

previously assumed ice covered

Gap radius: 611 km 06 January 1985

Gap radius: 311 km 30 August 2007





### fill = harmonic function satisfying satellite BC's plus learned stochastic term

Strong and Golden, *Remote Sensing* 2016 Strong and Golden, *SIAM News* 2017 Global Sea Ice Concentration Climate Data Records, 2022

Lavergne, Sorensen, et al., Norwegian Met. Inst., ... OSI SAF



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The cover is based on "Modeling Sea Ice," page 1535.

### Conclusions

Our research is helping to improve projections of Earth's climate, its sea ice packs, and the fate of the ecosystems they support.

Cross pollination helps us study sea ice, while our sea ice math advances the theory of composites, inverse problems, etc.

Sea ice is really cool --- modeling it leads to unexpected areas of math and physics.

Mathematics is the operating system of science and engineering! Thank you to so many postdocs, graduate students, undergraduates, high school students and colleagues who contributed to this work!



U. of Utah students in the Arctic and Antarctic (2003-2022): closing the gap between theory and observation - making math models come alive and experiencing climate change firsthand.

# **THANK YOU**

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Australian Government

Department of the Environment and Water Resources Australian Antarctic Division











Buchanan Bay, Antarctica Mertz Glacier Polynya Experiment July 1999

### A Journey to the Arctic: Mathematical Modelers Meet Real World Ice

Ken Golden & Jody Reimer

David Gluckman, Daniel Hallman, Anthony Jajeh, Anthony Lee, Kathy Lin, Marco Lozzi, Delaney Mosier, Nash Ward



### ANTARCTICA

### southern cryosphere

Weddell Sea

East Antarctic Ice Sheet

West Antarctic Ice Sheet

**Ross Sea** 

sea ice

# **New Record Low for Antarctic Sea Ice** February 13, 2023

### Much of Antarctica warmer than average





#### SEA ICE ALGAE high level of local heterogeneity



Can we improve agreement between algae models and data?

80% of polar bear diet can be traced to ice algae\*.

<sup>\*</sup> Brown TA, et al. (2018). *PloS one*, 13(1), e0191631

#### **HETEROGENEITY** in PARAMETERS & CONDITIONS

At each location within a larger region, consider

$$\frac{dN}{dt} = \alpha - BNP - \eta N$$
treating parameters  
as random variables  

$$\frac{dP}{dt} = \gamma BNP - \delta P$$

$$N(0) = N_0, \quad P(0) = P_0$$

growth rate, B Initial nutrients, N<sub>0</sub> Initial algae, P<sub>0</sub>

But, Monte Carlo for Full Algae Model: 8 hours X 10,000

#### Arctic sea ice pack with tagged particle



#### Einstein's pollen grain





#### diffusion coefficient



### sea ice ecosystem



### sea ice algae support life in the polar oceans

# **Polar Bear Percolation**

### Optimal Movement of a Polar Bear in a Heterogenous Icescape



20% lce



60% lce



















bottom of a sea ice core



# **STATISTICAL PHYSICS** percolation, phase transitions solid state, semiconductors

#### How do microscopic laws determine macroscopic behavior?

Banwell, Burton, Cenedese, Golden, Astrom, Physics of the Cryosphere, Nature Reviews Physics 2023





### polar bear foraging in a fractal icescape

Nicole Forrester Jody Reimer Ken Golden

It costs the polar bear 5 times the energy to swim through water than to walk on sea ice.

What pathway to a seal minimizes energy spent?