

From Micro to Macro in Modeling Sea Ice

Ken Golden, University of Utah



Sea Ice is a Multiscale Composite Material *microscale*

brine inclusions



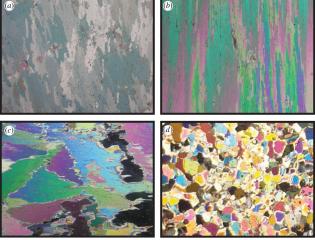
H. Eicken

Golden et al. GRL 2007

Weeks & Assur 1969

millimeters

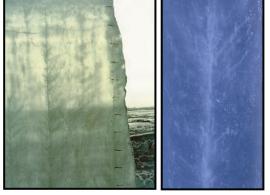
polycrystals



Gully et al. Proc. Roy. Soc. A 2015

centimeters

brine channels



D. Cole

K. Golden

mesoscale

macroscale

Arctic melt ponds



Antarctic pressure ridges





sea ice floes

sea ice pack





K. Golden

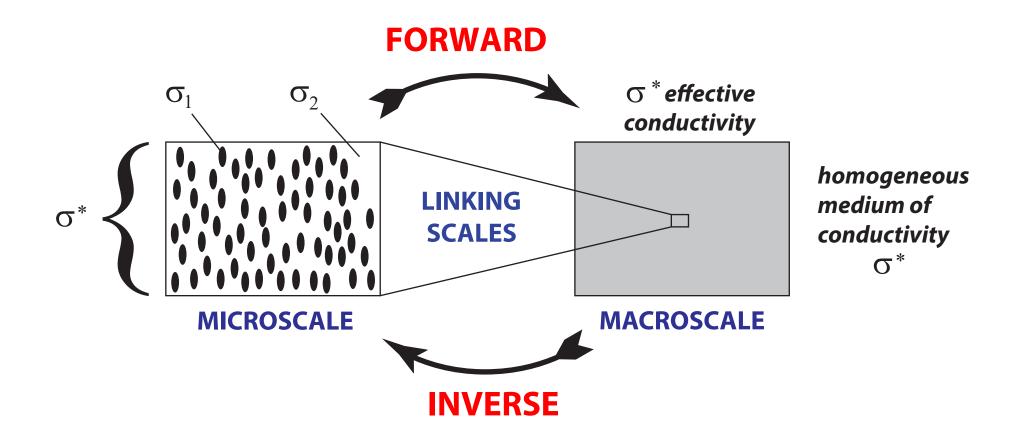
J. Weller

kilometers

NASA

meters

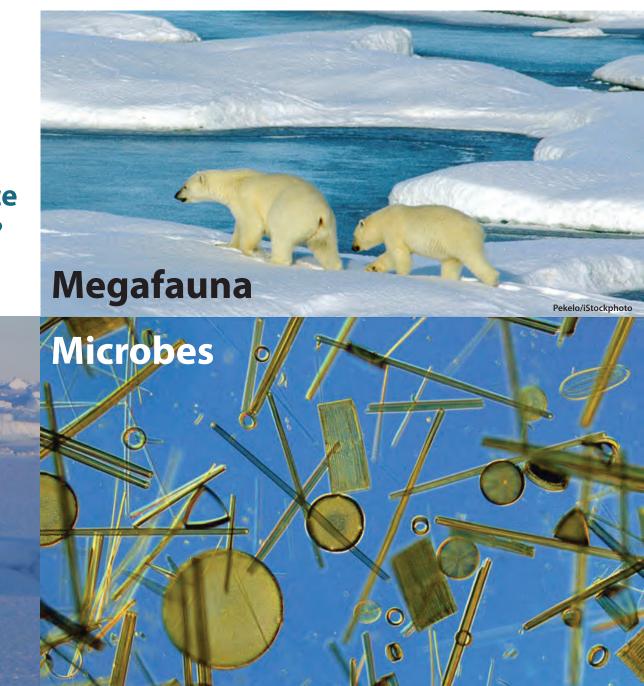
HOMOGENIZATION for Composite Materials



Maxwell 1873, Einstein 1906 Wiener 1912, Hashin and Shtrikman 1962

Polar Ecology and the Physics of Sea Ice

- How do sea ice properties affect the life it hosts?
- How does life in and on sea ice affect its physical properties?



Arrigo

microscale

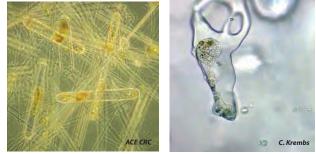
fluid flow through the porous microstructure of sea ice governs key processes in polar climate and ecosystems

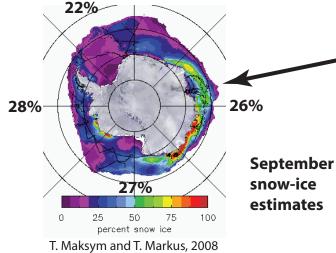
evolution of Arctic melt ponds and sea ice albedo



nutrient flux for algal communities



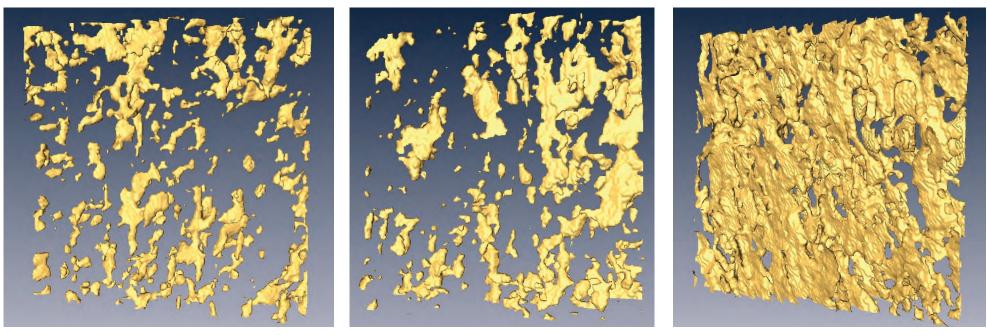




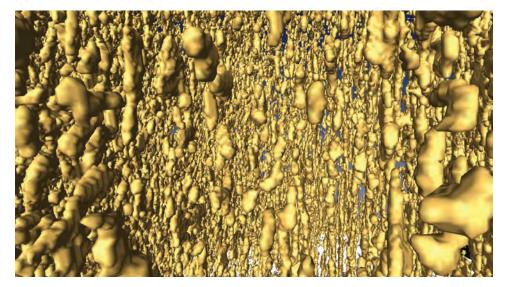
Antarctic surface flooding and snow-ice formation

- evolution of salinity profiles - ocean-ice-air exchanges of heat, CO₂

brine volume fraction and *connectivity* increase with temperature

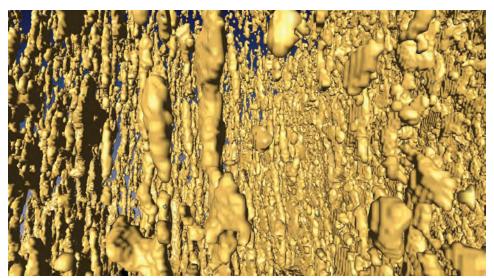


$T = -15 \,^{\circ}\text{C}, \ \phi = 0.033$ $T = -6 \,^{\circ}\text{C}, \ \phi = 0.075$ $T = -3 \,^{\circ}\text{C}, \ \phi = 0.143$



 $T = -8^{\circ} C, \phi = 0.057$

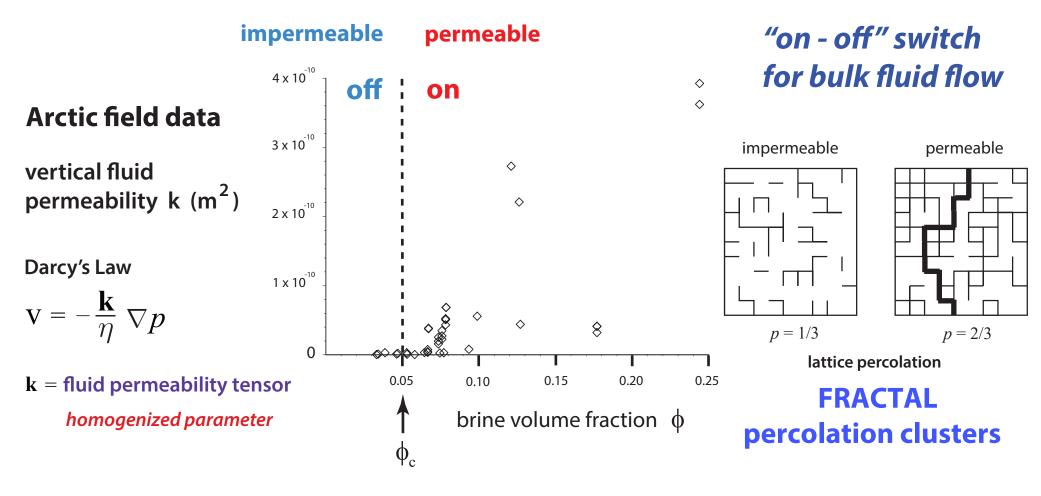
X-ray tomography for brine in sea ice



 $T = -4^{\circ} C, \phi = 0.113$

Golden et al., Geophysical Research Letters, 2007

Critical behavior of fluid transport in sea ice



PERCOLATION THRESHOLD $\phi_c \approx 5\%$ \checkmark $T_c \approx -5^{\circ}C, S \approx 5$ ppt

RULE OF FIVES

Golden, Ackley, Lytle Science 1998 Golden, Eicken, Heaton, Miner, Pringle, Zhu GRL 2007 Pringle, Miner, Eicken, Golden J. Geophys. Res. 2009

Thermal evolution of permeability and microstructure in sea ice

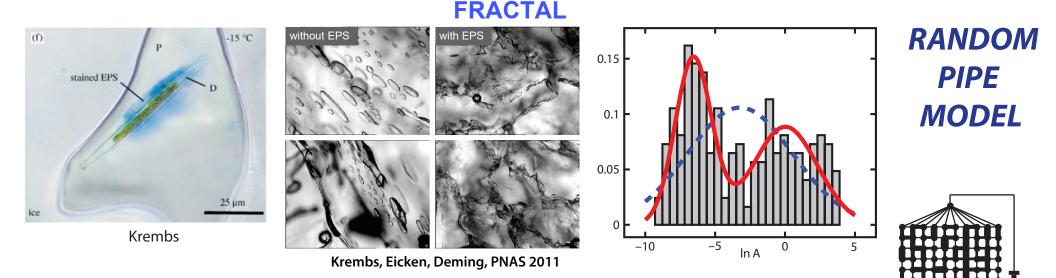
Golden, Eicken, Heaton, Miner, Pringle, Zhu, Geophysical Research Letters 2007



microscale governs mesoscale processes

Sea ice algae secrete exopolymeric substances (EPS) affecting evolution of brine microstructure.

How does EPS affect fluid transport? How does the biology affect the physics?

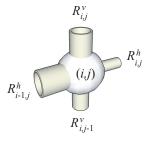


- 2D random pipe model with bimodal distribution of pipe radii
- Rigorous bound on permeability k; results predict observed drop in k

Steffen, Epshteyn, Zhu, Bowler, Deming, Golden Multiscale Modeling and Simulation, 2018

EPS - Algae Model Jajeh, Reimer, Golden, 2024

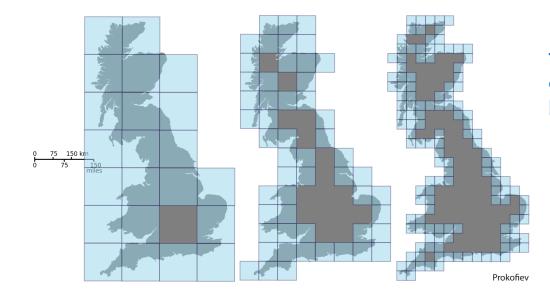
SIAM News June 2024



Zhu, Jabini, Golden, Eicken, Morris *Ann. Glac.* 2006

Thermal Evolution of Brine Fractal Geometry in Sea Ice

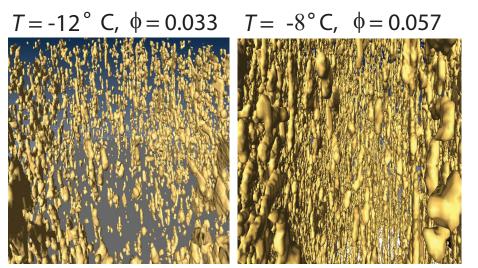
Nash Ward, Daniel Hallman, Benjamin Murphy, Jody Reimer, Marc Oggier, Megan O'Sadnick, Elena Cherkaev and Kenneth Golden, 2024



fractal dimension of the coastline of Great Britain by box counting



brine channels and inclusions "look" like fractals (from 30 yrs ago)



X-ray computed tomography of brine in sea ice

columnar and granular

Golden, Eicken, et al. GRL, 2007

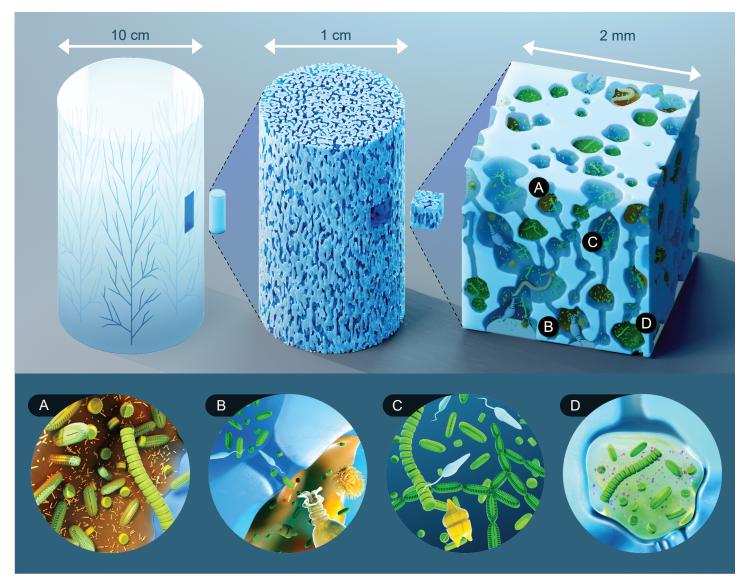
2.6 2.5 **Fractal Dimension** 2.4 icken\Golder Follows same curve as 2.3 exactly self-similar 2.2 Sierpinski tetrahedron 2.1 2 Fractal dimension from boxcounting 1.9 Theoretical prediction 1.8 0.3 0.05 0.1 0.15 0.2 0.25 0 D. Eppstein Porosity ϕ **red curve** $F_d = d_E - \frac{\ln \phi}{\ln(\lambda_{min}/\lambda_{max})}$ Katz and Thompson, 1985; Yu and Li, 2001 discovered for sandstones

The first quantitative study of the fractal dimension of brine in sea ice and its strong dependence on temperature and porosity.

statistically self-similar porous media

Fractal geometry of brine in sea ice, Ward, et al. 2024

Implications of brine fractal geometry on sea ice ecology and biogeochemistry



Brine inclusions are home to ice endemic organisms, e.g., bacteria, diatoms, flagellates, rotifers, nematodes.

The habitability of sea ice for these organisms is inextricably linked to its complex brine geometry.

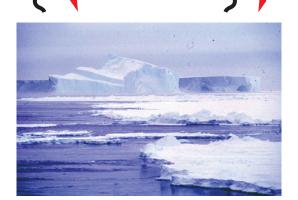
(A) Many sea ice organisms attach themselves to inclusion walls; inclusions with a higher fractal dimension have greater surface area for colonization.
(B) Narrow channels prevent the passage of larger organisms, leading to refuges where smaller organisms can multiply without being grazed, as in (C).
(D) Ice algae secrete extracellular polymeric substances (EPS) which alter incusion geometry and may further increase the fractal dimension.

Remote Sensing of Sea Ice

with radar, microwaves, ...



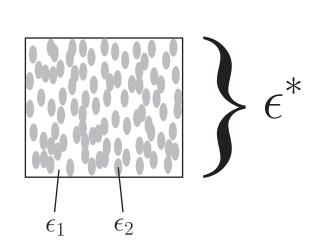
interaction of EM waves with brine and polycrystalline microstructures, rough surfaces



INVERSE PROBLEM

Recover sea ice properties from electromagnetic (EM) data ϵ^*

Effective complex permittivity of a composite in the quasistatic (long wavelength) limit



 p_1 , p_2 = volume fractions of the components

1. 1.

electrical conductivity thermal conductivty magnetic permeability diffusivity

 $D = \epsilon E$

 $\nabla \cdot D = 0$

 $\nabla \times E = 0$

 $\langle D \rangle = \epsilon^* \langle E \rangle$

$$\epsilon^* = \epsilon^* \left(\frac{\epsilon_1}{\epsilon_2} \right)$$
, composite geometry

What are the effective propagation characteristics of an EM wave (radar, microwaves) in the medium?

1

Analytic Continuation Method for Homogenization

Bergman 1978, Milton 1979, Golden & Papanicolaou 1983, Milton 2002

Stieltjes integrals for homogenized parameters separate component parameters from geometry

$$F(s) = 1 - \frac{\epsilon^*}{\epsilon_2} = \int_0^1 \frac{d\mu(z)}{s-z} \qquad s = \frac{1}{1 - \epsilon_1/\epsilon_2}$$

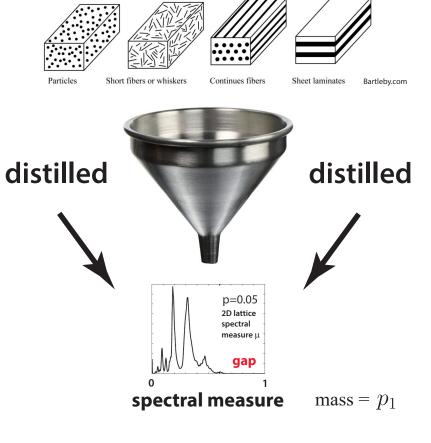
material parameters

- spectral measure of self adjoint operator $\Gamma \chi$ (matrix)
 - $\Gamma = \nabla (-\Delta)^{-1} \nabla \cdot$
 - $\chi = {\rm characteristic \, function} \\ {\rm of \, the \, brine \, phase}$

 $ullet E = s \; (s + \Gamma \chi)^{-1} e_k$ resolvent

 bounds in the complex plane; approximations inverse bounds to recover porosity, connectivity

complexities of mixture geometry

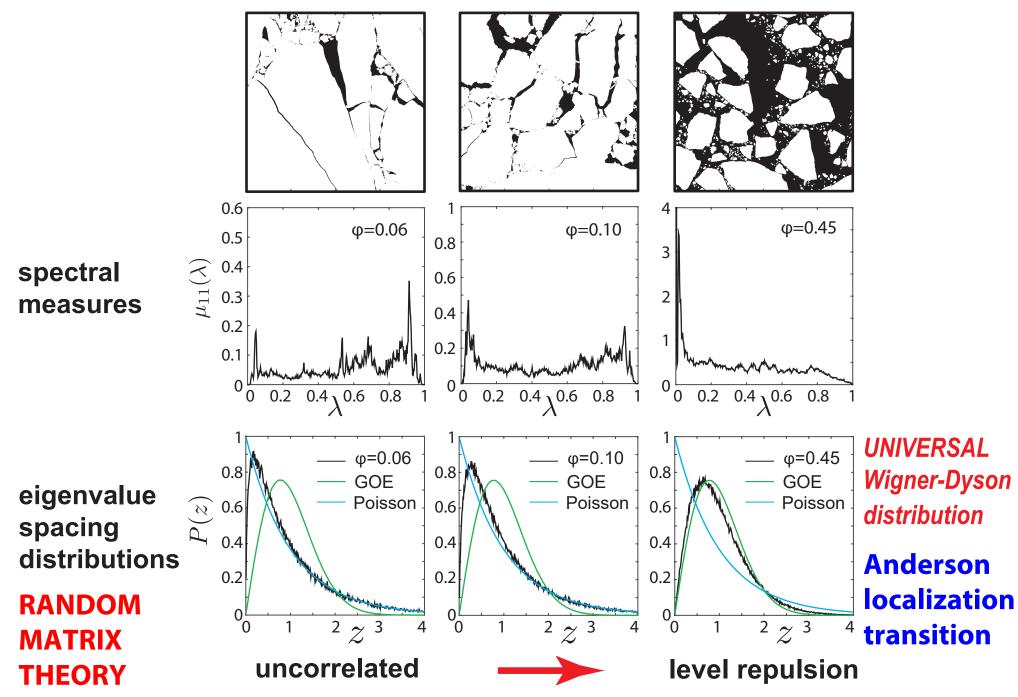


spectral properties of operator (matrix) ~ quantum states, energy levels for atoms

eigenvectors eigenvalues

$\Gamma \chi$: microscale ightarrow macroscale

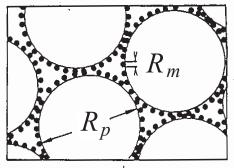
Spectral computations for sea ice floe configurations



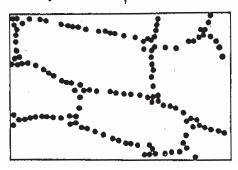
Murphy, Cherkaev, Golden, Phys. Rev. Lett. 2017; Murphy, Cherkaev, Hohenegger, Golden, Comm. Math. Sci. 2015

cross pollination »





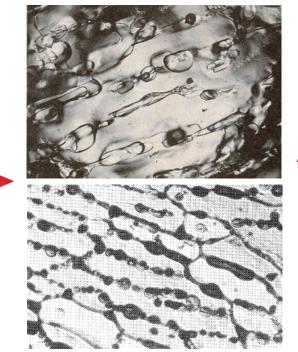
compressed powder



radar absorbing coating



Kusy & Turner Nature 1971



sea ice

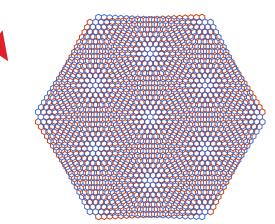
Golden, Ackley, Lytle Science 1998

Rule of Fives fluid flow



human bone Golden, Murphy, Cherkaev J. Biomechanics 2011

spectral analysis & RMT



twisted bilayer composites

Morison, Murphy, Cherkaev, Golden Communications Physics 2022

stealth technology, climate science, medical imaging, twistronics

Bounds on the complex permittivity of polycrystalline materials by analytic continuation

> Adam Gully, Joyce Lin, Elena Cherkaev, Ken Golden

Stieltjes integral representation for effective complex permittivity

Milton (1981, 2002), Barabash and Stroud (1999), ...

- Forward and inverse bounds orientation statistics
- Applied to sea ice using two-scale homogenization
- Inverse bounds give method for distinguishing ice types using remote sensing techniques





Proc. Roy. Soc. A 8 Feb 2015

ISSN 1364-5021 | Volume 471 | Issue 2174 | 8 February 2015

PROCEEDINGS A



An invited review commemorating 350 years of scientific publishing at the Royal Society

A method to distinguish between different types of sea ice using remote sensing techniques A computer model to determine how a human should walk so as to expend the least energy



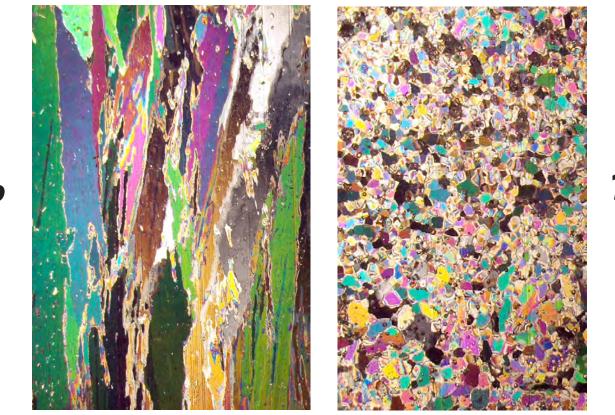
higher threshold for fluid flow in granular sea ice

granular

microscale details impact "mesoscale" processes

columnar

nutrient fluxes for microbes melt pond drainage snow-ice formation



10%

5%

Golden, Sampson, Gully, Lubbers, Mosier, Tison 2024

electromagnetically distinguish ice types inverse homogenization for polycrystals

mesoscale

advection enhanced diffusion

effective diffusivity

nutrient and salt transport in sea ice heat transport in sea ice with convection sea ice floes in winds and ocean currents tracers, buoys diffusing in ocean eddies diffusion of pollutants in atmosphere

advection diffusion equation with a velocity field $ec{u}$

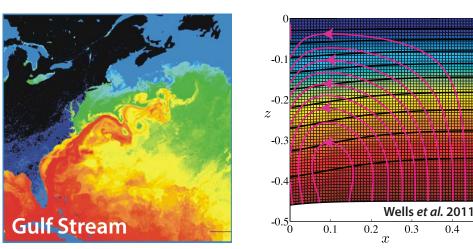
$$\frac{\partial T}{\partial t} + \vec{u} \cdot \vec{\nabla} T = \kappa_0 \Delta T$$
$$\vec{\nabla} \cdot \vec{u} = 0$$
$$homogenize$$
$$\frac{\partial \overline{T}}{\partial t} = \kappa^* \Delta \overline{T}$$

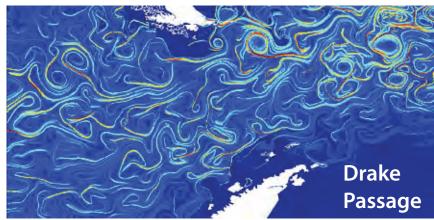
κ^* effective diffusivity

Stieltjes integral for κ^* with spectral measure

Avellaneda and Majda, PRL 89, CMP 91

Murphy, Cherkaev, Xin, Zhu, Golden, Ann. Math. Sci. Appl. 2017 Murphy, Cherkaev, Zhu, Xin, Golden, J. Math. Phys. 2020





-0.2

-0.4

-0.6

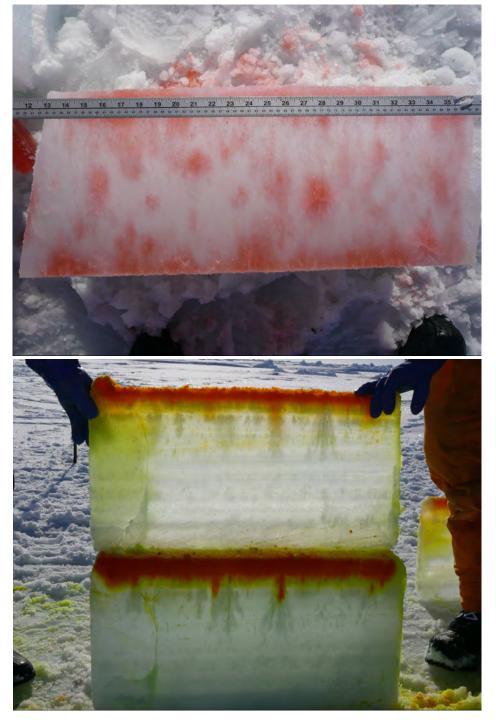
-0.8

0.4



tracers flowing through inverted sea ice blocks







Stieltjes Integral Representation for Advection Diffusion

Murphy, Cherkaev, Zhu, Xin, Golden, J. Math. Phys. 2020

$$\kappa^* = \kappa \left(1 + \int_{-\infty}^{\infty} \frac{d\mu(\tau)}{\kappa^2 + \tau^2} \right), \quad F(\kappa) = \int_{-\infty}^{\infty} \frac{d\mu(\tau)}{\kappa^2 + \tau^2}$$

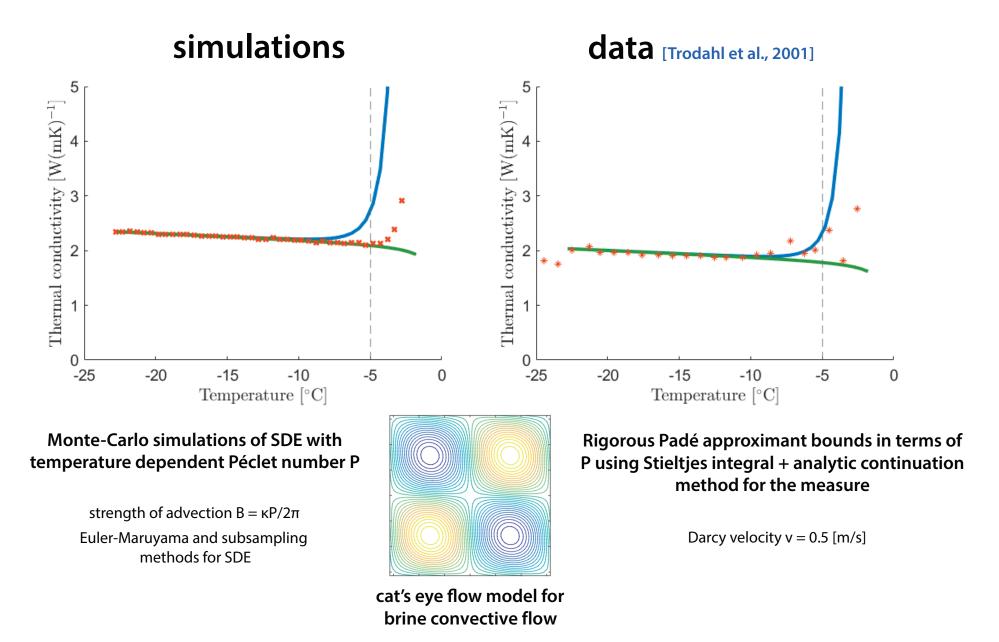
- μ is a positive definite measure corresponding to the spectral resolution of the self-adjoint operator $i\Gamma H\Gamma$
- H = stream matrix , $\kappa =$ local diffusivity
- $\Gamma:=abla(-\Delta)^{-1}
 abla\cdot$, Δ is the Laplace operator
- $i\Gamma H\Gamma$ is bounded for time independent flows
- $F(\kappa)$ is analytic off the spectral interval in the κ -plane

rigorous framework for numerical computations of spectral measures and effective diffusivity for model flows

new integral representations, theory of moment calculations

separation of material properties and flow field

Bounds on Convection Enhanced Thermal Transport



Kraitzman, Hardenbrook, Dinh, Murphy, Cherkaev, Zhu, & Golden, 2024

Proc. Royal Soc. A, in press

ocean wave propagation through the sea ice pack



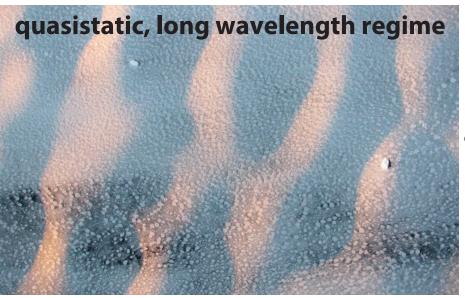


- wave-ice interactions critical to growth and melting processes
- break-up; pancake promotion floe size distribution

effective layer parameter previously fit to wave data

Keller 1998 Mosig, Montiel, Squire 2015 Wang, Shen 2012

Analytic Continuation Method Bergman 1978, Milton 1979 Golden and Papanicolaou 1983 Milton, *Theory of Composites* 2002



homogenized parameter depends on sea ice concentration and ice floe geometry

like EM waves





Single effective rheological parameter (Mosig et al. 2015)

$$u^* = G - i\omega
ho v$$

Effective complex shear modulus

divergence-free deviatoric stress

 $\nabla \cdot \sigma_s = 0$

$$egin{aligned} & extsf{macroscale} & extsf{macroscale} \ & \sigma_s &= 2
u \epsilon_s & \langle \sigma_s
angle = 2
u^* \epsilon_s^0 &
u(ec{x}) &= \chi_1
u_1 + \chi_2
u_2 & \langle \epsilon_s
angle = \epsilon_s^0 &
extsf{macroscale} \end{aligned}$$

lce

Ocean

Forward bounds for the effective viscoelasticity are fitted to well known wave-ice datasets, including Wadhams et al. 1988, Newyear & Martin 1997, Wang & Shen 2010, Meylan et al. 2014, and several others!

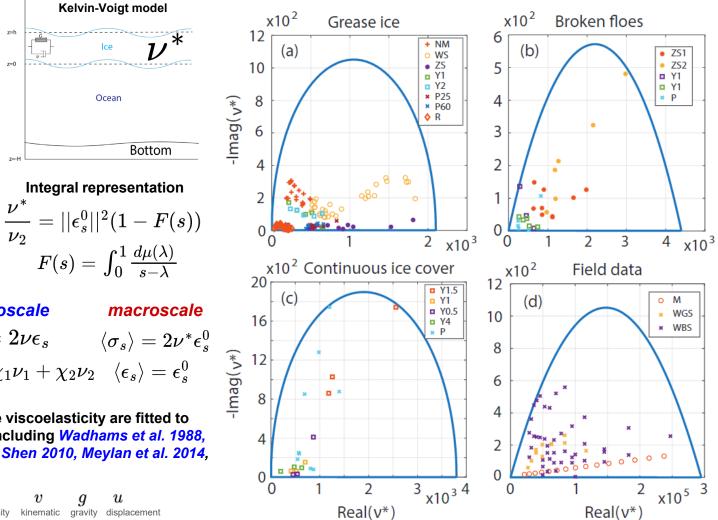
z=h

z=0

7=-H

 u^*

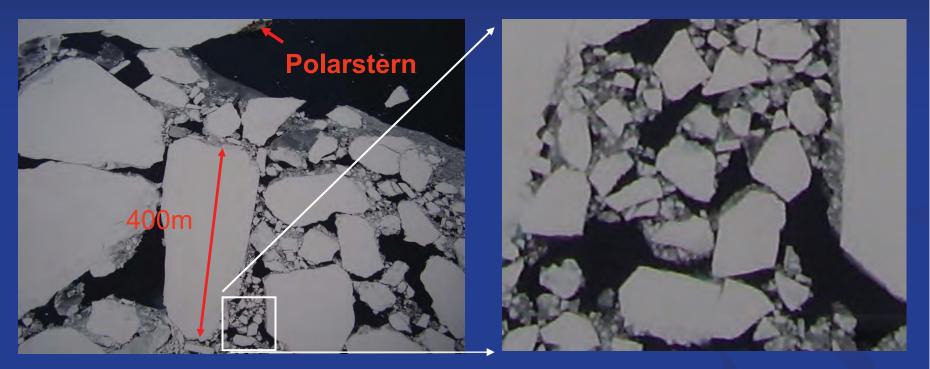
G qgravitv displacement shear pressure elasticity density kinematic modulus viscosity



The sea ice pack has fractal structure.

Self-similarity of sea ice floes

Weddell Sea, Antarctica



fractal dimensions of Okhotsk Sea ice pack smaller scales D~1.2, larger scales D~1.9

fractal dim. *vs.* **floe size exponent** Adam Dorsky, Nash Ward, Ken Golden 2024

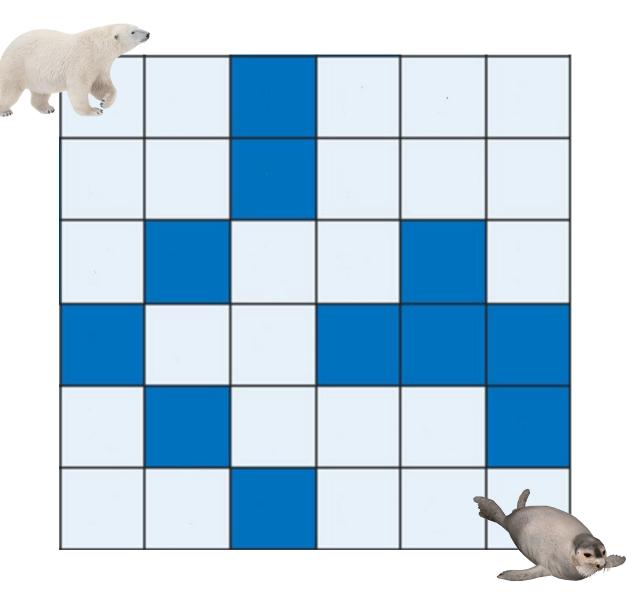
Toyota, et al. Geophys. Res. Lett. 2006 Rothrock and Thorndike, J. Geophys. Res. 1984

Optimal Movement of a Polar Bear in a Heterogenous Icescape

Nicole Forrester, Jody Reimer, Ken Golden 2024

Polar bears expend 5X more energy swimming than walking on sea ice.

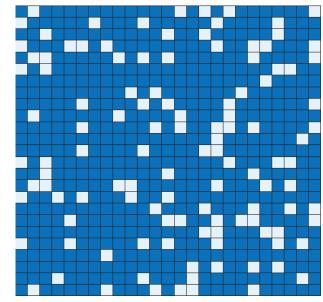
As sea ice is lost, how do polar bears optimize their movement to save energy and survive?



Polar Bear Percolation

To study the importance of ice connectedness, we exaggerate the data by setting the cost of walking on ice to 0 with the cost of swimming still at 5.

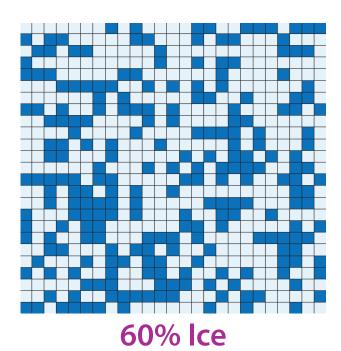




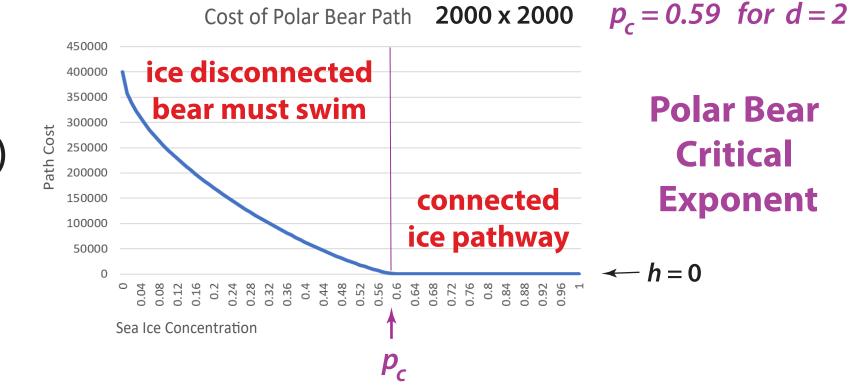
C_i

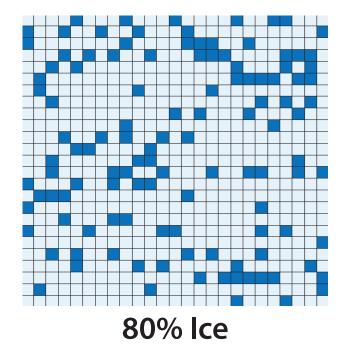
 C_{W}

20% lce



C(p)





SEA ICE ALGAE high level of local heterogeneity



Can we improve agreement between algae models and data?

80% of polar bear diet can be traced to ice algae*.

^{*} Brown TA, et al. (2018). *PloS one*, 13(1), e0191631

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METHOD



Uncertainty quantification for ecological models with random parameters ³

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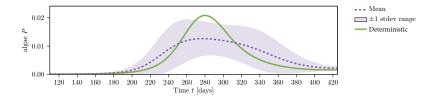
N-P Model

Abstract

There is often considerable uncertainty in parameters in ecological models. This uncertainty can be incorporated into models by treating parameters as random variables with distributions, rather than fixed quantities. Recent advances in uncertainty quantification methods, such as polynomial chaos approaches, allow for the analysis of models with random parameters. We introduce these methods with a motivating case study of seaice algal blooms in heterogeneous environments. We compare Monte Carlo methods with polynomial chaos techniques to help understand the dynamics of an algal bloom model with random parameters.

Introduce polynomial chaos approach to widely used ecological ODE models, but with random parameters.

ECOLOGICAL INSIGHTS



- lower peak bloom intensity
- longer bloom duration
- able to compare variance to data

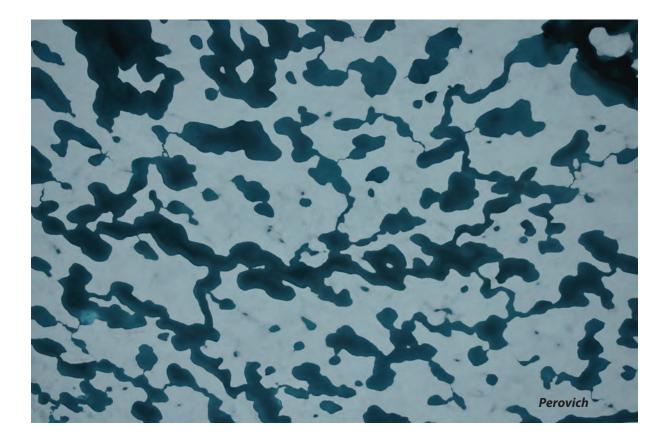
Inverse Problem: given algal and nutrient data, recover growth rate distribution Anthony Lee, Jody Reimer, Akil Narayan, Ken Golden 2024

melt pond formation and albedo evolution:

- major drivers in polar climate
- key challenge for global climate models

numerical models of melt pond evolution, including topography, drainage (permeability), etc.

Lüthje, Feltham, Taylor, Worster 2006 Flocco, Feltham 2007 Skyllingstad, Paulson, Perovich 2009 Flocco, Feltham, Hunke 2012

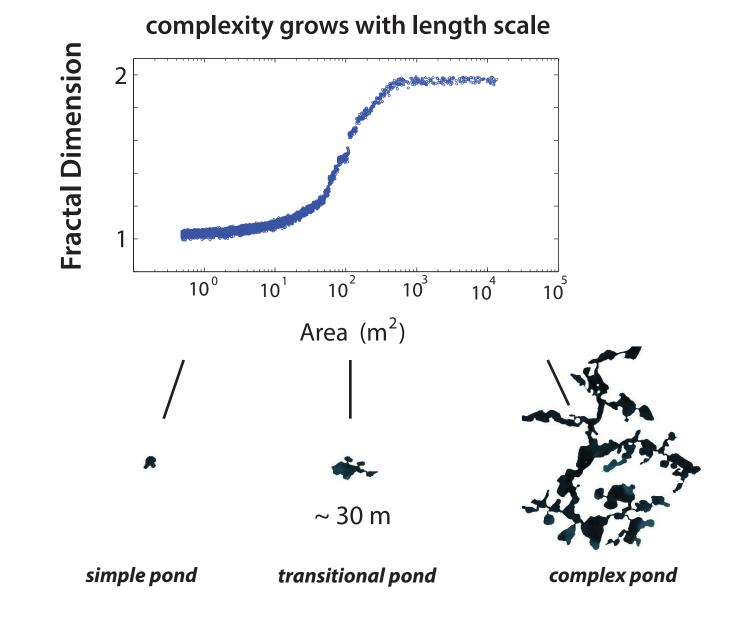


Are there universal features of the evolution similar to phase transitions in statistical physics?

Transition in the fractal geometry of Arctic melt ponds

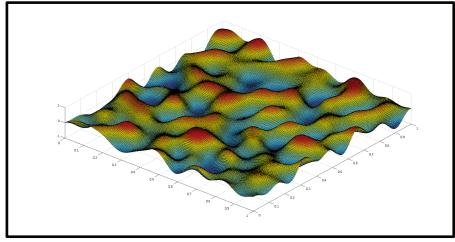
Christel Hohenegger, Bacim Alali, Kyle Steffen, Don Perovich, Ken Golden

The Cryosphere, 2012

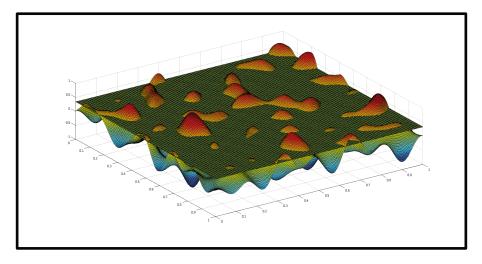


Continuum percolation model for melt pond evolution level sets of random surfaces

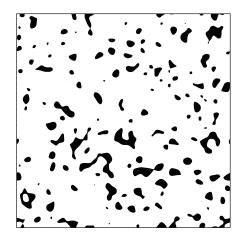
Brady Bowen, Court Strong, Ken Golden, J. Fractal Geometry 2018

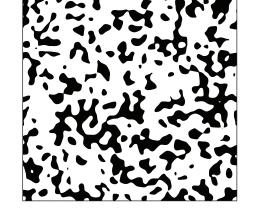


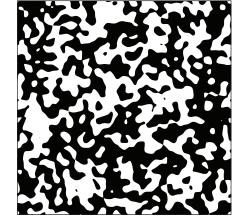
random Fourier series representation of surface topography



intersections of a plane with the surface define melt ponds







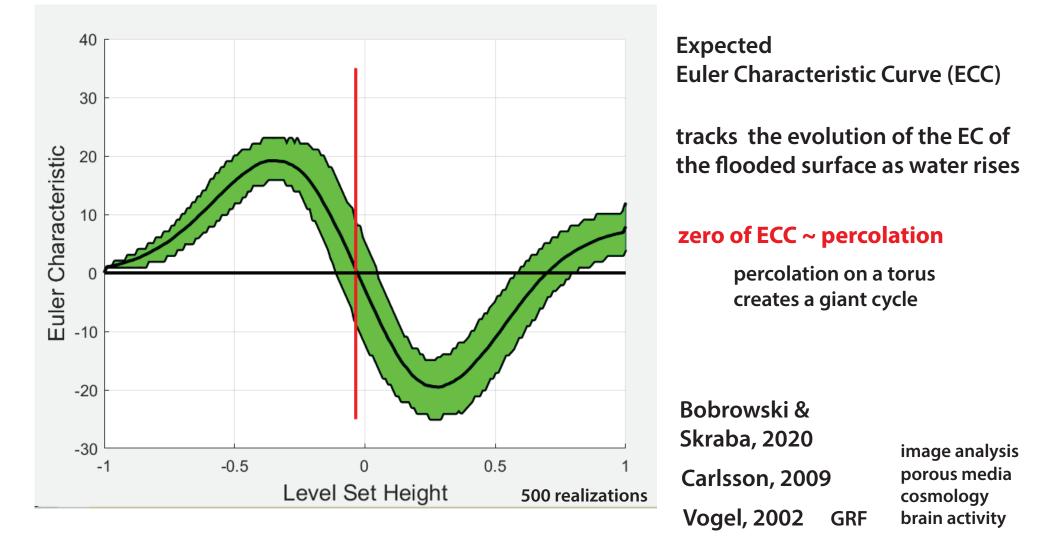
electronic transport in disordered media

diffusion in turbulent plasmas

Isichenko, Rev. Mod. Phys., 1992

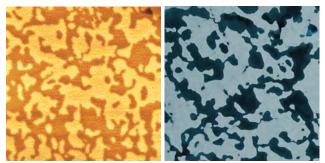
TopologicalEuler characteristic= # maxima+ # minima- # saddlesData Analysistopological invariant

filtration - sequence of nested topological spaces, indexed by water level



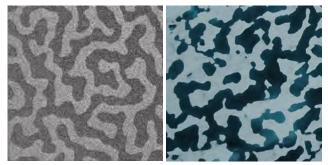
Physical Review Research (invited, in revision), R. Moore, J. Jones, D. Gollero, R. Hardenbrook, C. Strong, K. M. Golden 2024

From magnets to melt ponds

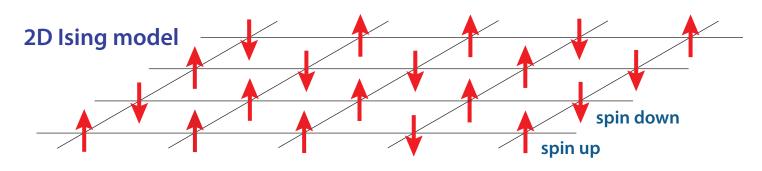


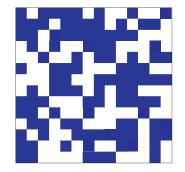
magnetic domains Arctic melt ponds in cobalt

100 year old model for magnetic materials used to explain melt pond geometry

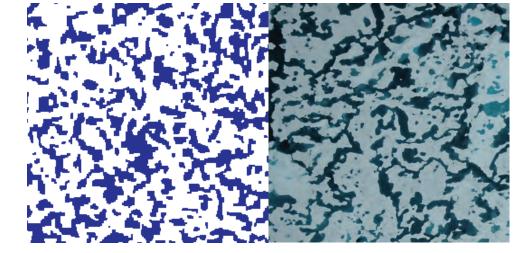


magnetic domains Arctic melt ponds in cobalt-iron-boron





model



real ponds (Perovich)

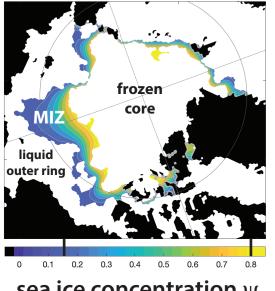
Ma, Sudakov, Strong, Golden, *New J. Phys.* 2019

Scientific American, EOS, PhysicsWorld, ...

macroscale

Model larger scale effective behavior with partial differential equations that homogenize complex local structure and dynamics.

Arctic MIZ



sea ice concentration $\boldsymbol{\psi}$

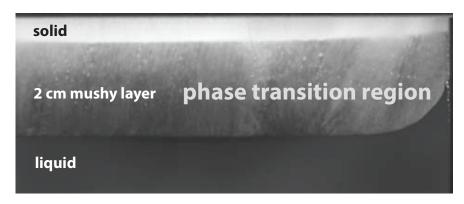
Partial differential equation models and deep learning for the sea ice concentration field, 2024

Delaney Mosier, Debdeep Bhattacharya, Court Strong, Jingyi Zhu, Bao Wang, Ken Golden

advection diffusion model

Predict MIZ width and location with basin-scale phase change model.

seasonal and long term trends



NaCl-H2O in lab (Peppin et al., 2007;, J. Fluid Mech.)

Multiscale mushy layer model of Arctic marginal ice zone dynamics, 2024

C. Strong, E. Cherkaev, and K. M. Golden

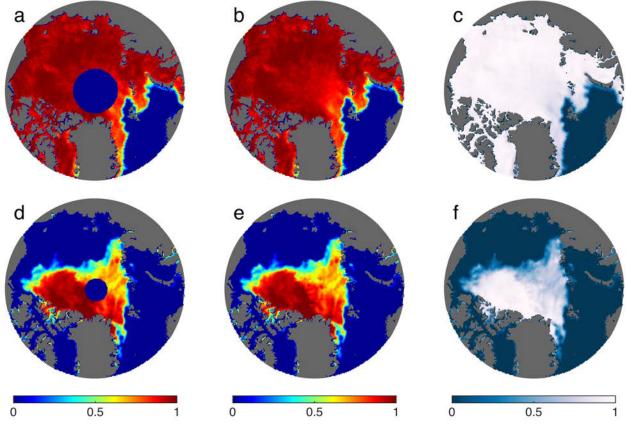
northward 1600 km & widens by factor of 4

Filling the polar data gap with
partial differential equationshole in satellite coverageof sea ice concentration field

previously assumed ice covered

Gap radius: 611 km 06 January 1985

Gap radius: 311 km 30 August 2007





fill = harmonic function satisfying satellite BC's plus learned stochastic term

Strong and Golden, *Remote Sensing* 2016 Strong and Golden, *SIAM News* 2017 Global Sea Ice Concentration Climate Data Records, 2022

Lavergne, Sorensen, et al., Norwegian Met. Inst., ... OSI SAF

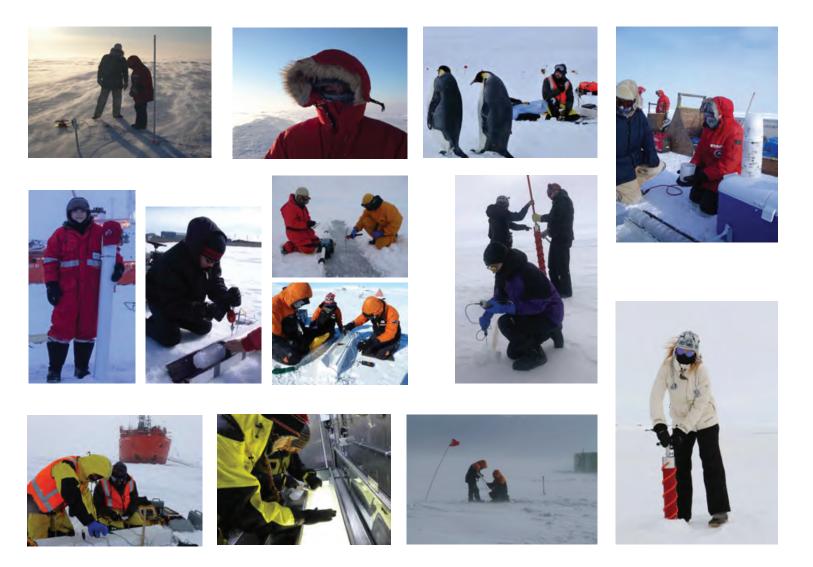
Conclusions

Our research is helping to improve projections of climate change, the fate of Earth's sea ice packs, and the ecosystems they support.

Mathematics for sea ice advances the theory of composites, inverse problems, and other areas of science and engineering.

Modeling sea ice leads to unexpected areas of math and physics.

Thank you to so many postdocs, graduate students, undergraduates, high school students and colleagues who contributed to this work!



U. of Utah students in the Arctic and Antarctic (2003-2022): closing the gap between theory and observation - making math models come alive and experiencing climate change firsthand.



of the American Mathematical Society

November 2020

Volume 67, Number 10







The cover is based on "Modeling Sea Ice," page 1535.

NSF Research Training Grant (RTG) with 15 Applied Math faculty:

optimization and inverse problems

July 2022 - June 2027

Overall goal: Build an advanced, competitive U.S. STEM workforce.

- Strengthen our graduate and postdoctoral programs in applied math to attract top students in the nation, and place them in top jobs.
 - Provide transformative experiences that draw students into math.

Arctic Mathpeditions - May 2024 & 2026

OPEN POSITIONS: Postdoctoral, Ph.D., Undergraduate

THANK YOU

Office of Naval Research

Applied and Computational Analysis Program Arctic and Global Prediction Program

National Science Foundation

Division of Mathematical Sciences Division of Polar Programs











Australian Government

Department of the Environment and Water Resources Australian Antarctic Division











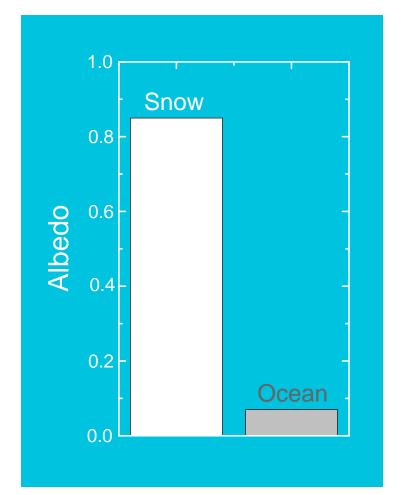
Buchanan Bay, Antarctica Mertz Glacier Polynya Experiment July 1999

polar ice caps critical to global climate in reflecting incoming solar radiation

white snow and ice reflect





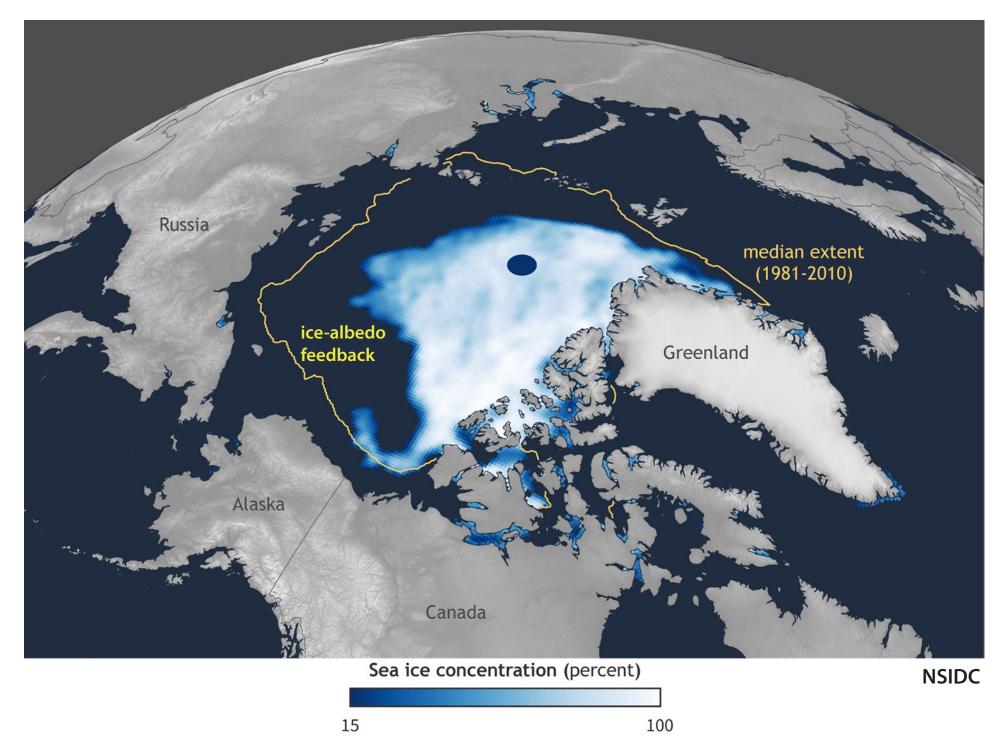


dark water and land absorb

albedo
$$\alpha = \frac{\text{reflected sunlight}}{\text{incident sunlight}}$$

Arctic sea ice extent

September 15, 2020





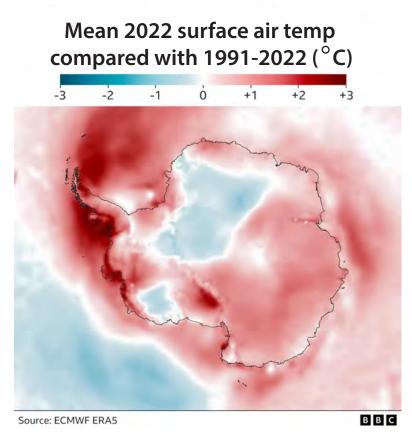
recent losses in comparison to the United States

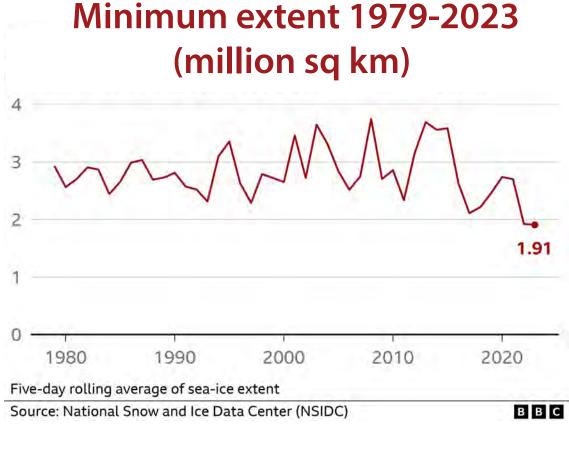


Perovich

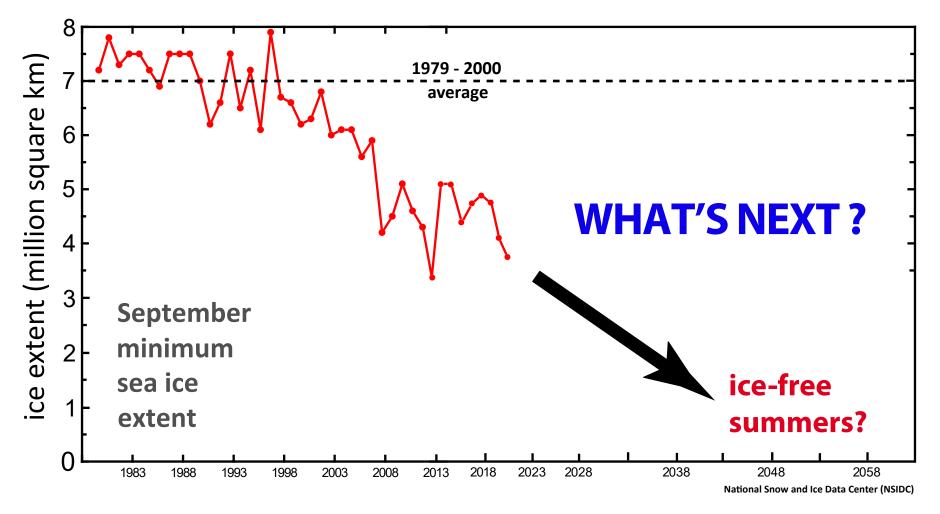
New Record Low for Antarctic Sea Ice February 13, 2023

Much of Antarctica warmer than average





ARCTIC summer sea ice loss



predictions require lots of math modeling



sea ice algal communities

D. Thomas 2004

nutrient replenishment controlled by ice permeability

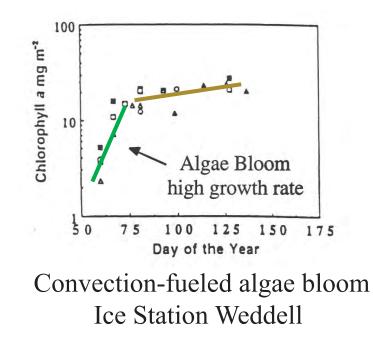
biological activity turns on or off according to *rule of fives*

Golden, Ackley, Lytle

Science 1998

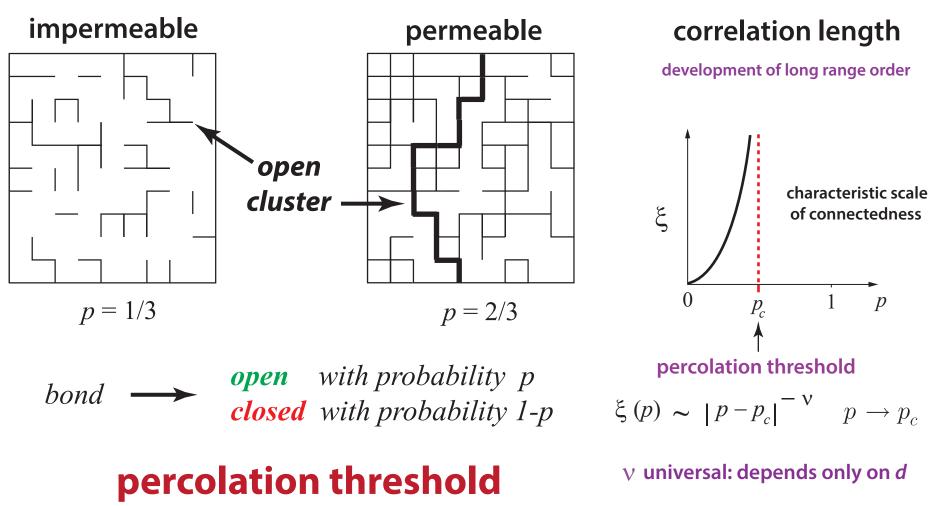
Fritsen, Lytle, Ackley, Sullivan Science 1994

critical behavior of microbial activity



percolation theory

probabilistic theory of connectedness



 $p_c = 1/2$ for d = 2

 p_c depends on type of lattice and d

smallest p for which there is an infinite open cluster