

# Algebraic Geometry (Math 6130)

Utah/Fall 2016.

**4. Some Properties of Maps.** The equivalence of categories between affine varieties and  $k$ -algebra domains means that morphisms in the two categories contain the same information. Since every rational map of varieties is locally a regular map of affine varieties, the “algebra” of homomorphisms of  $k$ -algebras governs the local “geometry” of maps of varieties. In this section, we investigate some implications of this.

**Injective Homomorphisms  $\leftrightarrow$  Dominant Maps.** Let

$$f : k[Y] \rightarrow k[X]$$

be an injective homomorphism of  $k$ -algebra domains. Then the image of the corresponding map of affine varieties:

$$\Phi = \text{mspec}(f) : X \rightarrow Y$$

is (Zariski) dense, and conversely, a regular map of affine varieties with a dense image corresponds to an injective map of coordinate rings. Indeed,  $h \in \ker(f)$  if and only if  $U_h \cap \text{im}(\Phi) = \emptyset$ , and the equivalence follows since open sets of the form  $U_h$  are a basis for the topology.

**Examples.** (a) The inclusion  $k[X] \subset k[X][h^{-1}]$  corresponds to the inclusion of the basic open set  $U_h \subset X$ , which is dense.

(b) The inclusion  $k[x] \subset k[x, y]$  corresponds to the projection map  $\pi_1 : k^2 \rightarrow k$ , which is surjective.

(c) The homomorphism  $f : k[x, y] \rightarrow k[s, t]; f(x) = s, f(y) = st$  is injective and corresponds to the map  $\Phi : k^2 \rightarrow k^2$  with:

$$\Phi(0, *) = (0, 0) \text{ (i.e. the } y\text{-axis collapses to the origin)}$$

$$\Phi^{-1}(a, b) = (a, b/a) \text{ whenever } a \neq 0.$$

Thus  $\Phi(k^2) = \{(0, 0)\} \cup U_x \subset k^2$  is dense, but neither open nor closed.

We may capture the geometry with the following definition:

**Definition 4.1.** A rational map  $\Phi : X \dashrightarrow Y$  of **varieties** is **dominant** if the image of the domain of  $\Phi$  is dense in  $Y$ .

*Observation.* Every rational map of varieties is locally a regular map of affine varieties. That is, for each  $y \in \text{im}(\Phi)$  and  $x \in \Phi^{-1}(y)$ , we can find affine neighborhoods  $y \in U$  and  $x \in V$  such that:

$$\Phi|_V : V \rightarrow U$$

is a regular map of affine varieties. This simply follows from the fact that the affine open subsets form a basis for the topology of a variety.

**Proposition 4.1.** A rational map  $\Phi : X \dashrightarrow Y$  is dominant if and only if each local map

$$\Phi|_V : V \rightarrow U$$

of affine varieties corresponds to an injective map  $\Phi^* : k[U] \rightarrow k[V]$ .

**Proof:** Since varieties are irreducible Noetherian spaces and the domain of  $\Phi$  is open and nonempty, it follows that if the image of  $\Phi$  is dense, then the image of  $\Phi|_V$  is dense for any  $V \subset X$  and we have reduced to the affine case.

Dominant rational maps “are” inclusions of rational function fields:

**Definition 4.2.** The field of rational functions  $k(X)$  of a variety  $X$  is:

$$k(X) = \lim_{V \subset X} \mathcal{O}_X(V) \text{ (the inverse limit)}$$

i.e. it is the ring of functions that are regular **somewhere** on  $X$ .

It is an exercise to see that for each open affine  $V \subset X$ ,

$$k(V) = k(X), \text{ where } k(V) \text{ is the field of fractions of } k[V]$$

and hence in particular that  $k(V)$  is independent of  $V$ .

**Corollary 4.1.** The data of a **dominant** rational map from  $X$  to  $Y$  is equivalent to an inclusion of their fields of rational functions:

$$k(Y) \subset k(X)$$

**Proof.** The inclusion of fields associated to a dominant rational map is obtained locally, since the maps on coordinate functions are injective. Conversely, an inclusion of fields induces a dominant rational map on affine varieties, via the map  $k[U] \subset k(U) \subset k(V)$ , and this extends, by definition, to a rational map of varieties.

**Surjective Homomorphisms  $\leftrightarrow$  Closed Embeddings.** A surjective  $k$ -algebra homomorphism:

$$f : k[Y] \rightarrow k[X]$$

factors through:

$$k[Y] \rightarrow k[Z] \cong k[X]$$

where  $Z \subset Y$  is the closed irreducible subset defined by  $P = \ker(f)$ . Thus  $X$  is isomorphic to  $Z \subset Y$ .

**Example.** Each surjection  $k[y_1, \dots, y_n] \rightarrow k[Y]$  corresponds to  $Y \subset k^n$ .

To extend this geometry, we need to carefully explain what we mean when we say that a closed irreducible subset  $Z \subset Y$  of a variety is itself a variety. In case  $Y$  is affine, then  $Z \subset Y$  corresponds to  $k[Z] = k[Y]/I(Z)$ , and it is clear what is meant.

**Proposition 4.2.** Let  $Y$  be a variety and let  $Z \subset Y$  be a closed irreducible subset. Then  $Z$  has the structure of a variety, with:

- the induced (Noetherian) topology
- the field of rational functions on  $Z$  defined by:

$$k(Z) := \mathcal{O}_{Y,Z}/m_Z$$

where  $\mathcal{O}_{Y,Z} \subset k(X)$  is the subring consisting of rational functions that are defined **somewhere** on  $Z$ , and  $m_Z$  is the maximal ideal of such rational functions that are identically zero on their domain in  $Z$ .

- the sheaf  $\mathcal{O}_Z(U)$  is defined by:

$$\mathcal{O}_Z(U) = \{\phi \in k(Z) \mid \phi(y) \text{ is defined for all } y \in U\}$$

**Proof.** When restricted to an open affine  $W \subset Y$ , this structure on  $Z \cap W$  coincides with the structure of  $Z \cap W \subset W$  as a closed affine subvariety of  $W$ . Thus  $Z$  is locally affine, and it is an exercise to see that  $Z$  is separated, hence a variety.

**Definition 4.3.** A regular map  $\Phi : X \rightarrow Y$  of varieties is a **closed embedding** if it factors through an isomorphism  $\Phi : X \xrightarrow{\sim} Z$  for  $Z \subset Y$  an irreducible closed subset with the induced variety structure.

It is also an exercise to see that a closed embedding  $\Phi : X \rightarrow Y$  of varieties is locally a closed embedding,  $\Phi|_V : V \rightarrow U$ , of affine varieties, corresponding to surjective maps  $(\Phi|_V)^* : k[U] \rightarrow k[V]$ .

For a third relation between algebraic and geometric maps, we use:

**Definition 4.4.** A homomorphism  $f : B \rightarrow A$  of commutative rings is **integral** if  $A$  is finitely generated as a  $B$ -module (via  $f$ ).

*Remark.* Since  $f$  is clearly integral if and only if  $\bar{f} : B/\ker(f) \rightarrow A$  is integral, we will usually reduce to injective homomorphisms.

**Non-example.** The inclusion  $k[X] \rightarrow k[X][h^{-1}]$  corresponding to the open inclusion  $U_h \subset X$  of affine varieties is **not** integral, since  $k[X][h^{-1}]$  cannot be finitely generated as a module over  $k[X]$ .

**An Important Example.** Let  $k$  be an infinite field (not necessarily algebraically closed) and let  $A = k[x_1, \dots, x_n]/P$  be an integral domain of transcendence degree  $m$  over  $k$ . Then there are:

$$y_i = \sum_{j=1}^n a_{i,j} x_j; \quad j = 1, \dots, m$$

such that the homomorphism  $f : k[y_1, \dots, y_m] \rightarrow k[X]$  is injective and integral. This is the **Noether Normalization Theorem**.

**Proof.** By induction on  $n - m$ . If  $m = n$ , then  $P = 0$  and there is nothing to prove. If  $m < n$  then  $\bar{x}_1, \dots, \bar{x}_n \in A$  satisfy a relation:

$$f(\bar{x}_1, \dots, \bar{x}_n) = 0$$

and if it were the case that  $f = \bar{x}_n^d + \{\text{lower order in } \bar{x}_n\}$  we'd be done by induction since  $1, \bar{x}_n, \dots, \bar{x}_n^d$  would generate  $A$  as a module over

$$B = k[x_1, \dots, x_{n-1}]/P \cap k[x_1, \dots, x_{n-1}]$$

which is necessarily of the same transcendence degree over  $k$  as  $A$ . On the other hand, if  $f$  is not of this form, then we may replace:

$$y_i = x_i + a_i x_n \text{ for } a_i \in k \text{ and } i < n$$

and get  $f(\bar{y}_1, \dots, \bar{y}_{n-1}, \bar{x}_n) = g(a_1, \dots, a_{n-1})\bar{x}_n^d + \{\text{lower order in } \bar{x}_n\}$  for some non-zero polynomial  $g(a_1, \dots, a_{n-1})$ . Since  $k$  is infinite, we may choose  $a_1, \dots, a_{n-1} \in k$  so that  $g(a_1, \dots, a_{n-1}) \neq 0$  and then proceed with our induction with the new variables  $y_1, \dots, y_{n-1}$ .

### Integral Homomorphisms $\leftrightarrow$ Finite Maps.

**Definition 4.5.** A morphism of affine varieties:  $\Phi : X \rightarrow Y$  is **finite** if the associated homomorphism  $\Phi^*$  is integral.

**Example.** The Noether Normalization example above is both finite and dominant. It is also the restriction of the *linear projection*

$$\pi : k^n \rightarrow k^m; \text{ defined by } \pi(b_1, \dots, b_n) = (\dots, \sum a_{i,j} b_j, \dots)$$

so the theorem implies that each embedded affine variety  $X \subset k^n$  of dimension  $m$  projects via a finite and dominant map to  $k^m$ .

**Theorem 4.1.** If  $\Phi : X \rightarrow Y$  is a finite map of affine varieties, then:

- (a)  $\Phi^{-1}(y)$  is a finite set, for all  $y \in Y$ .
- (b)  $\Phi$  maps closed sets to closed sets. In particular, if  $\Phi$  is also dominant, then  $\Phi$  is surjective.

**Proof.** The closure of the image  $Z = \overline{\Phi(X)} \subset Y$  corresponds to the prime ideal  $\ker(\Phi^*) \subset k[Y]$ , and we lose no generality by replacing  $Y$  with  $Z$  and assuming that  $\Phi$  is also dominant.

Let  $f = \Phi^* : k[Y] \rightarrow k[X]$  be the associated integral map. Then via the identification  $Y \leftrightarrow \text{mspec}(k[Y])$ , we have:

$$\Phi^{-1}(m_y) = \{m_x \subset k[X] \mid m_x \supset f(m_y)\}$$

so the set  $\Phi^{-1}(m_y)$  is in bijection with the set of maximal ideals in:

$$A = k[X]/\langle f(m_y) \rangle$$

where  $\langle f(m_y) \rangle$  is the ideal generated by the set  $f(m_y) \subset k[X]$ .

Since  $f$  is integral, it follows that  $\bar{f} : k[Y]/m_y \rightarrow A$  is also integral, hence  $A$  is finitely generated as a vector space over  $k[Y]/m_y = k$ . But an algebra over  $k$  that has dimension  $n$  as a vector space over  $k$  has at most  $n$  maximal ideals (Exercise). This proves (a).

Next, it is enough to show that  $\Phi$  maps *irreducible* closed subsets of  $X$  to (necessarily irreducible) closed subsets of  $Y$ , and indeed given such a subset  $W = V(P) \subset X$ , we may restrict  $\Phi$  to  $W$  and obtain a map  $\Phi|_W : W \rightarrow V = \overline{\Phi(W)}$ , which is finite and dominant, corresponding to the integral ring homomorphism  $\bar{f} : k[Y]/f^{-1}(P) \rightarrow k[X]/P$ . In other words, it suffices to show that every finite and dominant map of affine varieties is surjective.

Looking back at the proof of (a), we simply need to show that  $A \neq 0$ . This is accomplished by “going up.” Consider  $m_y \subset k[Y]$  again and:

$S = k[Y] - m_y$  as a multiplicative system in both  $k[Y]$  and  $k[X]$  (the latter via the inclusion  $k[Y] \subset k[X]$ ). Then there is an inclusion:

$$k[Y]_S = k[Y]_{m_y} \subset k[X]_S$$

of the local ring  $\mathcal{O}_{Y,y} = k[Y]_{m_y}$  in the more mysterious ring  $k[X]_S$ . But  $k[X]_S$  is integral over  $k[Y]_{m_y}$ , and from this it follows that:

**Lemma 4.1.** Each maximal ideal  $m \subset k[X]_S$  satisfies:

$$m \cap k[Y]_S = m_y \cdot k[Y]_{m_y}$$

**Proof.** It suffices to show that the ring  $B = k[Y]_S/(m \cap k[Y]_S)$  is a field, since  $k[Y]_S = k[Y]_{m_y}$  is a local ring with unique maximal ideal  $m_y \cdot k[Y]_{m_y}$ . So let  $0 \neq \phi \in B$  and suppose  $\phi$  is **not** invertible in  $B$ . Then because  $\phi$  **is** invertible in  $k[X]_S/m$ , we have proper inclusions:

$$B \subset \phi^{-1}B \subset \phi^{-2}B \subset \cdots \subset k[X]_S/m$$

producing an infinite ascending chain of submodules of  $k[X]_S/m$ . But  $k[X]_S/m$  is **finitely generated** as a module over  $B$ , contradicting the fact that any localization  $k[Y]_S$  of a Noetherian ring is Noetherian.  $\square$

Returning to (b), we have found a maximal ideal  $m \subset k[X]_S$  whose intersection with  $k[Y]_S$  is  $m_y$ , and it follows from the correspondences of prime ideals under localization that the corresponding maximal ideal  $m_x \subset k[X]$  satisfies  $m_x \cap k[Y] = m_y$ , as desired.  $\square$

**Non-Example.** Consider the non-integral inclusion of rings

$$k[x] \subset k[x, x^{-1}]$$

corresponding to the non-finite open embedding  $k^* \subset k$ .

Letting  $S = k[x] - \langle x \rangle$ , we obtain  $k[x]_{\langle x \rangle} \subset k[x, x^{-1}]_S = k(x)$ , and because  $k(x)$  is not a finitely generated module over  $k[x]_{\langle x \rangle}$ , there is no contradiction in the infinite chain:

$$k[x]_{\langle x \rangle} \subset x^{-1}k[x]_{\langle x \rangle} \subset x^{-2}k[x]_{\langle x \rangle} \subset \cdots \subset k(x)$$

Indeed,  $\phi = x$  is not invertible, and there is no ideal in the field  $k(x)$  that intersects  $k[x]_{\langle x \rangle}$  in the maximal ideal, reflecting the fact that  $0 \in k$  is not in the image of the inclusion  $k^* \subset k$ .

*Remark.* The same strategy gives a proof of the **Nullstellensatz** from Noether Normalization. Namely, if  $m \subset k[x_1, \dots, x_n]$  is a maximal ideal, consider the field extension:

$$k \subset K = k[x_1, \dots, x_n]/m$$

If  $K$  had transcendence degree  $d > 0$  over  $k$ , then Noether Normalization would give an integral inclusion of rings:  $k[y_1, \dots, y_d] \subset K$  and then every polynomial  $f \in k[y_1, \dots, y_d]$  would have an inverse in  $k[y_1, \dots, y_d]$  because otherwise:

$$k[y_1, \dots, y_d] \subset f^{-1}k[y_1, \dots, y_d] \subset f^{-2}k[y_1, \dots, y_d] \subset \cdots \subset K$$

would be an infinite ascending chain of submodules of a finitely generated module. This is absurd, since polynomials of positive degree do not have inverse polynomials. We therefore conclude that  $K$  must be an algebraic extension of  $k$  and the Nullstellensatz follows.

**Warning.** Unlike injective and surjective ring homomorphisms, the geometric properties of a finite map in Theorem 4.1 are **not** equivalent to integrality of the corresponding homomorphism. This explains why the definition of a finite map of varieties isn't made in geometric terms.

**Definition 4.6.** (a) A map  $\Phi : X \rightarrow Y$  of varieties is **affine** if the inverse image of every open affine subvariety  $U \subset Y$  is an affine variety.

(b) An affine map  $\Phi$  as above is **finite** if for each open affine  $U \subset Y$  the map  $\Phi|_V : V = \Phi^{-1}(U) \rightarrow U$  is a finite map of affine varieties.

In practice, these properties are checkable because of the following:

**Theorem 4.2.** To conclude that a map is affine or finite, it suffices to check the property for a single open affine cover  $Y = \bigcup U_i$ .

We use a **Criterion for Affineness** which is of independent interest. If  $V$  is a variety with  $h_i, g_i \in \Gamma(V, \mathcal{O}_V)$ ;  $i = 1, \dots, n$  such that:

- (i)  $V_i = \{x \in V \mid h_i(x) \neq 0\}$  are affine open sets that cover  $V$  and
- (ii)  $\sum g_i h_i = 1$ .

Then  $V$  is an affine variety.

**Proof** (of the criterion). Let  $k[V_i] = k[x_{i,1}, \dots, x_{i,m_i}]/P_i$ , and let:

$$A = \Gamma(V, \mathcal{O}_V) = k[V_1] \cap \dots \cap k[V_n] \subset k(V)$$

Each  $V_i \cap V_j$  is a basic open affine subset of  $V_j$  (and  $V_i$ ) since:

$$V_i \cap V_j = V_j - V(\rho_{V,V_j} h_i) = (V_j)_{h_i}$$

Therefore if  $\phi \in k[V_i]$  then  $\phi \in k[V_i \cap V_j] = k[V_j][h_i^{-1}]$  for each  $j$ , and  $\phi h_i^{n_j} \in k[V_j]$  for some  $n_j$ . If we let  $n = \max\{n_j\}$ , then  $\phi h_i^n \in A$ . Thus:

$$(*) \quad k[V_i] = A[h_i^{-1}] \text{ for each } i$$

In particular, each of the generators of  $k[V_i]$  as a  $k$ -algebra satisfies:

$$x_{i,l} h_i^{n_{i,l}} \in A$$

and we may choose  $n = \max\{n_{i,l}\}$  to make the power uniform over all generators. We now claim that  $\{g_i, h_i, x_{i,l} h_i^n\}$  generates  $A$  as a  $k$ -algebra. To see this, write  $a \in A$  as a polynomial in the generators of each of the rings  $k[V_i]$ :

$$a = p_i(x_{i,1}, \dots, x_{i,m_i}) \in k[V_i]$$

and notice that the product  $ah_i^N$  for a sufficiently large  $N$  makes each  $ah_i^N = p_i h_i^N$  expressible as a polynomial in the  $x_{i,l} h_i^n$  and  $h_i$ . Now use:

$$a \cdot \left( \sum g_i h_i \right)^{(N-1)(n+1)} = a \cdot 1 = a$$

to conclude that  $a$  is expressible as a polynomial in the  $ah_i^N$  and  $g_i, h_i$ , hence also in  $x_{i,l} h_i^n$  and  $g_i$  and  $h_i$ , as desired. Thus  $A$  is the image of:

$$k[y_{i,l}, z_i, w_i] \rightarrow k(V); \quad y_{i,l} \mapsto x_{i,l} h_i^n, z_i \mapsto g_i, w_i \mapsto h_i$$

and now it is straightforward to conclude from  $(*)$  that  $V = \text{mspec}(A)$ .

**Proof of the Theorem.** If  $U, U' \subset Y$  are affine open subsets, then their intersection is covered by affines  $U_h = U'_h$  that are *simultaneously* basic open affine subsets of  $U$  and  $U'$ . To see this, first cover  $U \cap U' = \bigcup U_{h_i}$  for  $h_i \in k[U]$  and then cover each  $U_{h_i} = \bigcup U'_{h'_{ij}}$  for  $h'_{ij} \in k[U']$ . But then  $h'_{ij} \in k[U_{h_i}]$ , so  $h_i^n h'_{ij} \in k[U]$ , and  $U'_{h'_{ij}} = U_{h_i^{n+1} h'_{ij}}$ , as desired.

Now, suppose  $Y = \bigcup U_i$  is an open cover such that  $V_i = \Phi^{-1}(U_i)$  is affine for all  $i$ . Let  $U \subset Y$  be another open affine subset, and cover  $U \cap U_i$  by simultaneous basic open affines  $U_{h_{i,l}} = (U_i)_{h'_{i,l}}$ . It follows from the fact that  $(U_i)_{h'_{i,l}} \subset U_i$  is a basic open that its inverse image in  $Y$  is affine. It also follows from the fact that they cover  $U$  that there are regular functions  $g_{i,l} \in k[U]$  such that  $\sum g_{i,l} h_{i,l} = 1$ . Now we may apply the criterion for affineness to  $V = \Phi^{-1}(U)$  and the cover by the affine opens  $\Phi^{-1}(U_{h_{i,l}})$ . This takes care of the affine maps.

Next, suppose additionally that each of the maps  $\Phi|_{V_i}$  is finite and let  $a_{i,s} \in k[V_i]$  generate it as a module over  $k[U_i]$ . For each  $h' \in k[U_i]$ , the same elements will generate  $k[(V_i)_{h'}]$  as a module over  $k[(U_i)_{h'}]$ . Given  $U \subset Y$  and the cover by simultaneous basic open affines  $U_{h_{i,l}}$ , consider the elements  $a_{i,s}h_{i,l}^n \in k[V]$  for a large enough value of  $n$ . These will belong to  $k[V]$  **and** generate it as a module over  $k[U]$  by virtue of:

$$a \left( \sum h_{i,l} g_{i,l} \right)^N = a$$

for large enough values of  $N$  (Exercise!).

**Example** (a) The projections  $\pi : \mathbb{P}_k^n - V(x_0, \dots, x_m) \rightarrow \mathbb{P}_k^m$  onto the first  $m+1$  coordinates are affine since each  $\pi^{-1}(U_i) = V_i$  is affine.

(b) If  $Z \subset \mathbb{P}_k^n$  is closed and irreducible and  $Z \cap V(x_0, \dots, x_m) = \emptyset$ , then  $\pi|_Z : Z \rightarrow \mathbb{P}_k^m$  is a finite map. In particular, if  $\dim(Z) = m$ , then  $\pi|_Z$  is finite and dominant. This is a projective version of the Noether Normalization Theorem.

We end this section by studying the fibers of a dominant map:

$$\Phi : X \rightarrow Y$$

More generally, if  $W \subset Y$  is closed and irreducible (closed subvariety), then we are interested in the dimensions of the irreducible components  $Z_1 \cup \dots \cup Z_n = \Phi^{-1}(W)$  of the inverse image of  $W$ .

For example, consider again Example (c) from the top of this section. In this example, the map  $\Phi : k^2 \rightarrow k^2$  has the following inverse images:

- (a) The inverse image of a point  $p$  is either:
  - (i) empty, if  $p$  is on the  $y$ -axis minus the origin.
  - (ii) a point if  $p$  is off the  $y$ -axis.
  - (iii) the  $y$ -axis if  $p$  is the origin.
- (b) The inverse images of a line  $l$  in  $k^2$  is:
  - (i) an irreducible curve if  $l$  does not contain the origin
  - (ii) the  $y$ -axis (mapping to the origin) if  $l$  is the  $y$ -axis
  - (iii) the union of a horizontal line and the  $y$ -axis if  $l$  contains the origin but is not the  $y$ -axis.

The following Proposition gets us started toward this goal.

**Proposition 4.1.** (a) If  $W \subset Y$  is a closed subvariety of codimension  $c$  in an affine variety, then there are  $f_1, \dots, f_c \in I(W)$  such that all components of  $V(f_1, \dots, f_c)$  (including  $W$ ) have codimension  $c$  in  $Y$ .

(b) On the other hand, if  $g_1, \dots, g_c \in \Gamma(X, \mathcal{O}_X)$  for a variety  $X$ , then each irreducible component of  $V(g_1, \dots, g_c)$  has codimension  $\leq c$  in  $X$ .



**Proof.** We prove (a) by induction. If  $f_1, \dots, f_b \in I(W)$  are chosen so that every component of  $V(f_1, \dots, f_b)$  has codimension  $b < c$ , then for each such component  $Z_i$ , choose  $p_i \in Z_i - W$ . Then there is an  $f_{b+1} \in I(W)$  that does not vanish at any of the  $p_i$ . By Krull's Theorem, every component of  $V(f_1, \dots, f_{b+1})$  then has codimension  $b + 1$ .

For (b), we also use induction. If  $Z$  is a component of  $V(g_1, \dots, g_c)$ , then  $Z$  is contained in some component  $Z'$  of  $V(g_1, \dots, g_{c-1})$ . We may assume that  $Z'$  has codimension  $\leq c - 1$  in  $X$ , and then by Krull,  $Z \subset Z' \cap V(g_c)$  has codimension 0 or 1 in  $Z'$ , hence  $\leq c$  in  $X$ .

**Corollary 4.2.** Let  $\Phi : X \rightarrow Y$  be a dominant map of varieties, and

$$r = \dim(X) - \dim(Y)$$

If  $W \subset Y$  is a closed subvariety, let  $Z$  be an irreducible component of  $\Phi^{-1}(W)$  that dominates  $W$ . Then  $\dim(Z) \geq \dim(W) + r$ , i.e.

$$\text{codimension of } Z \text{ in } X \leq \text{codimension of } W \text{ in } Y$$

Note that as a special case, each irreducible component  $Z \subset \Phi^{-1}(y)$  of each *fiber* of  $\Phi$  has dimension at least  $r = \dim(X) - \dim(Y)$ .

**Proof.** Replacing  $Y$  with an open affine  $U \subset Y$  that intersects  $W$ , we lose no generality in assuming that  $Y$  is affine, in which case  $W$  is an irreducible component of  $V(f_1, \dots, f_c)$  as in Proposition 4.1., and  $Z$  is contained in an irreducible component  $Z' \subset V(\Phi^*(f_1), \dots, \Phi^*(f_c))$  of codimension  $\leq c$  (also by the Proposition).

But  $W = \overline{\Phi(Z)} \subset \overline{\Phi(Z')} \subset V(f_1, \dots, f_c)$  and since  $W$  is an irreducible component of  $V(f_1, \dots, f_c)$  it follows that  $\overline{\Phi(Z')} = W$ . Finally, since  $Z$  is a component of  $\Phi^{-1}(W)$  and  $Z' \subset \Phi^{-1}(W)$ , it follows that  $Z = Z'$  and the Corollary follows.  $\square$

We can eliminate all the components of larger than the “expected” dimension by passing to an open subset of  $Y$ :

**Theorem 4.3.** In the setting of Corollary 4.2, there is a non-empty subset  $U \subset Y$  such that:

- (i)  $U \subset \Phi(X)$  and
- (ii) If  $W \subset Y$  is a closed subvariety that intersects  $U$ , then each irreducible component  $Z \subset \Phi^{-1}(W)$  that intersects  $\Phi^{-1}(U)$  satisfies:

$$\dim(Z) = \dim(W) + r$$

**Proof.** As in the Corollary, we may as well assume that  $Y$  is affine. We may also assume that  $X$  is affine since if the Theorem holds for each of the restrictions  $\Phi|_{V_i} : V_i \rightarrow X$  for an open affine cover  $X = \cup V_i$ , with  $\Phi|_{V_i}(V_i) \subset U_i$ , then the Theorem holds for  $X$  itself, with  $\Phi(X) \subset \cap U_i$ .

So we assume  $X$  and  $Y$  are affine, and we consider the injective map:

$$f = \Phi^* : k[Y] \rightarrow k[X]$$

Let  $K = k(Y)$ , and apply Noether Normalization to the  $K$ -algebra:

$$A = k[X] \otimes_{k[Y]} k(Y) \subset k(X)$$

which is an integral domain that is a finitely generated  $k(Y)$ -algebra with fraction field  $k(X)$ , which has transcendence degree  $r$  over  $k(Y)$ .

Thus, by Noether Normalization, there is an integral homomorphism:

$$(*) \quad k(Y)[x_1, \dots, x_r] \subset A$$

and may also assume, clearing denominators of the  $x_i \in A$  by multiplying by suitable elements of the coefficient field  $k(Y)$ , that each  $x_i \in k[X] \subset A$ . Consider now the inclusion:

$$k[Y][x_1, \dots, x_r] \subset k[X]$$

This may not be integral, but it follows from integrality of  $(*)$  that each  $\phi \in k[X]$  is the root of a monic polynomial equation:

$$x^n + f_1(x_1, \dots, x_r)x^{n-1} + \dots + f_n(x_1, \dots, x_r) = 0$$

such that the  $f_i$  are polynomials with coefficients in  $k(Y)$ . Therefore each  $\phi$  is integral over  $k[Y][h^{-1}][x_1, \dots, x_r]$  for a common denominator  $h$  of all the coefficients of the polynomials  $f_1, \dots, f_n$ . Moreover, if we let  $\phi_1, \dots, \phi_m \in k[X]$  be **generators** of  $k[X]$  as an algebra over  $k[Y]$  and  $h_i$  be the common denominator of polynomials attached to each  $\phi_i$ , then the inclusion:

$$k[Y][h^{-1}][x_1, \dots, x_r] \subset k[X][h^{-1}]$$

is an integral extension for  $h = h_1 \cdots h_m$ . This follows from the fact that sums and products of integral elements over a subring are integral.

Now, we take  $U = U_h \subset Y$ , and we claim that this choice of  $U$  satisfies the Theorem. If we let  $V = \Phi^{-1}(U)$ , then:

$$\Phi|_V : V \xrightarrow{\Psi} U \times k^r \rightarrow U$$

is a finite map  $\Psi$  followed by a projection, hence it is surjective, proving (i). And if  $W \subset Y$  intersects  $U$  and  $Z \subset \Phi^{-1}(W)$  is a component that intersects  $V$ , then let  $Z' = Z \cap V$  and  $W' = W \cap U$ , and note that  $Z'$  is finite over  $\Psi(Z') \subset W' \times k^r$ , so  $\dim(Z) \leq \dim(W) + r$ . But the other inequality was proved in the Corollary.  $\square$

**Definition 4.7.** A dominant rational map  $\Phi : X \dashrightarrow Y$  of varieties is **birational** if it is associated to an **isomorphism**  $k(Y) \cong k(X)$  of fields of rational functions.

**Corollary 4.3.** Suppose  $\Phi : X \dashrightarrow Y$  is a dominant rational map of varieties. Then there is a non-empty open subset  $U \subset Y$  for which the restricted map  $\Phi|_V : V = \Phi^{-1}(U) \rightarrow U$  is an isomorphism.

**Proof.** We may restrict to the domain of  $X$  to assume that  $\Phi$  is a regular map. Next, we may restrict to an open affine subset  $U \subset Y$  and assume that  $Y$  is an affine variety. Using the Theorem, we may assume that  $X$  is affine.

Namely, let  $U \subset \Phi(X)$  be an open affine satisfying the conditions of the Theorem and let  $V \subset \Phi^{-1}(U)$  be an open affine. Then every component  $Z \subset X - V$  has smaller dimension than  $\dim(X) = \dim(Y)$ , and so its image  $\overline{\Phi(Z)} \subset Y$  also has smaller dimension. Thus  $X - V$  does not dominate  $Y$ , and there is a function  $f \in k[Y]$  such that  $\Phi(X - V) \subset V(f) \subset Y$ . Then  $U_f \subset U$  is the image of  $V_{\Phi^*f} = \Phi^{-1}(U_f)$ , which is affine. Thus we may assume that both  $X$  and  $Y$  are affine.

Now the proof is straightforward. We have the injective map:

$$\Phi^* : k[Y] \rightarrow k[X]$$

that induces the isomorphism of fields. Let  $x_1, \dots, x_n$  generate  $k[X]$ , and regarding them as elements of  $k(Y)$ , we may write  $x_i = y_i/g$  for  $y_i \in k[Y]$  and a common denominator  $g \in k[Y]$ . But then:

$$k[Y][g^{-1}] \rightarrow k[X][g^{-1}]$$

is an isomorphism, and this corresponds to the restrictions of  $\Phi$  to:

$$\Phi_{V_g} : V_g \rightarrow U_g$$

□