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# 6.130 Lecture 3

## More on Algebraic Sets

### Zariski Topology on $k^n$

Claim: Let  $X \subset k^n$  be  
closed  $\iff X = X(I)$  for  
some  $I \subset k[x_1, \dots, x_n]$

Then this defines a topology.

Pf: Need to show:  $\implies$  is closed

(1)  $\emptyset, k^n$  are closed, (2)  $\bigcap_i X_i$

(3)  $X_1 \cup X_2$  is closed

$$(1) \phi = X(\langle 1 \rangle)$$

$$k^n = X(\langle 0 \rangle)$$

(2) If  $X_{\lambda} = X(I_{\lambda})$ , then

$$\bigcap X_{\lambda} = X(\bigcup I_{\lambda})$$

$$\bigcup I_{\lambda} = \{f_{\lambda_1} + \dots + f_{\lambda_m}\}$$

$$f_{\lambda_i} \in I_{\lambda_i} \quad \square$$

(3) If  $X_1 = X(I_1)$   
 $X_2 = X(I_2)$ , then  $X_1 \cup X_2 = X(I_1 \cup I_2)$

Example: ( $n=1$ )  $k^1$

Polynomial ring:  $k[x]$

Ideals:  $I = \langle f \rangle$

$$= \langle (x-r_1) \cdots (x-r_m) \cdot g \rangle$$

$\underbrace{\hspace{10em}}_{\text{no roots}}$   
 $\underbrace{\hspace{5em}}_{\text{roots of } f}$

$$X(I) = \{r_1, \dots, r_m\} \subset k$$

Alg subsets of  $k$   $\hat{=}$  finite sets of points.

$$X(\underbrace{(x^2+1)}_{\text{in } \mathbb{R}^1}) = \emptyset$$

$$(X(x) = \{0\})$$

Scheme:  
 $k = \mathbb{R}$

①

$X(x^2+1)$  has no  $\mathbb{R}$ -points

"  
 $\{2, -i\}$  has two  $\mathbb{C}$ -pts.

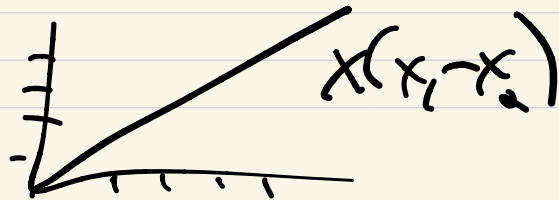
$k = \mathbb{Q}$

$X(x^2+1)$

$\mathbb{Q}$

Ex:  $k^2$  does not have the

product topology



# Feature of Zariski Top:

Descending chains of closed sets  
stabilize

$$X_1 \supseteq X_2 \supseteq \dots \quad \swarrow \searrow$$

$$X_n = X_{n+1} = \dots \quad \text{for some } n.$$

(Reflects the fact that  $k[x_1, \dots, x_n]$  is Noetherian)

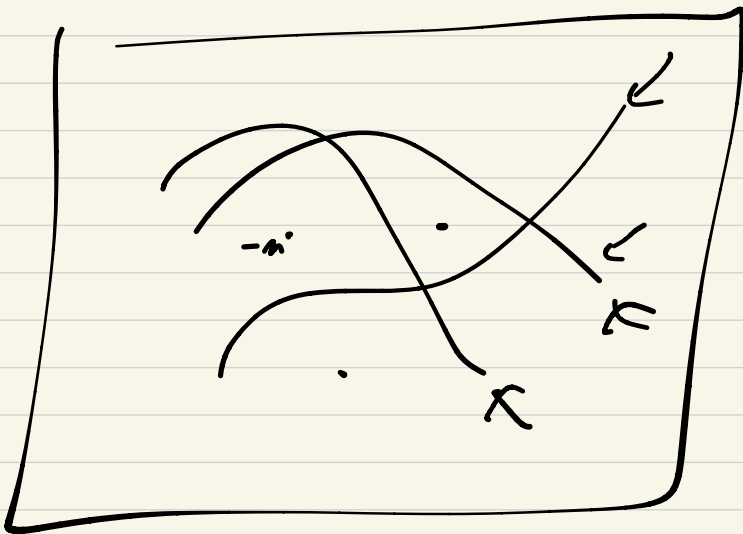
[E.g.  $\mathbb{R}$  <sup>ordinary top.</sup> is not Noetherian!]

$$X_i = \left[ \frac{1}{i}, \frac{1}{i} \right] \cap X_i = \{0\}.$$

$$I(x_1) \subseteq I(x_2) \subseteq \dots$$

$$X(I(x_n)) = X(I(x_{n+1})) = \dots$$

$$X(I(X(I))) = X(I) \quad \underline{\underline{(1)}}$$

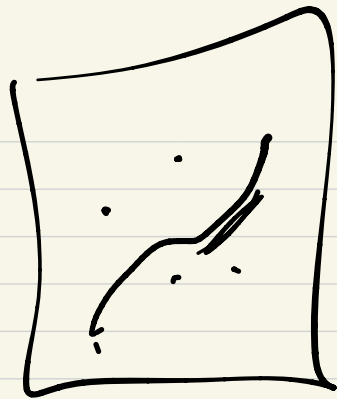


$$\underline{\underline{x_1 \supseteq \dots \supseteq}}$$

Points

$$a \in k^n$$

$$= (a_1, \dots, a_n)$$



are closed

$$a = X(x_1 - a_1, \dots, x_n - a_n)$$

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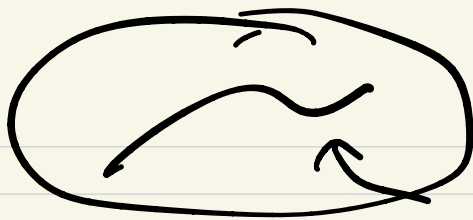
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Towards Hilbert

Nullstellensatz :



Setting:



$$P \subset k[x_1, \dots, x_n] \quad \text{prime} \quad X = X(P)$$

$$k(X) := k[x_1, \dots, x_n] / \underline{P}$$

$k(X)$  field of fractions

NV: If  $d = \text{tr deg}_k k(X)$   
and  $k$  is infinite, then  $\exists a_{ij} \in k$

$$\exists Y_1 = \sum a_{i1} X_i, \dots, Y_d = \sum a_{id} X_i$$

s.t.  $X_i$  are alg. independent and  
 $k(Y_1, \dots, Y_d) \subset k(X)$  is finite.

Example: Need to be careful choosing  $y$ 's.

$$k[x_1, x_2]$$

$$P = \langle x_1, x_2 - 1 \rangle$$

$$k[x] = k[x_1, x_2] / P \cong k[x_1, x_1^{-1}]$$

Rmk:  $k[x] \subset k[x_1, x_1^{-1}]$  not

is not a finite module

$$k[x_1] \subseteq x_1^{-1} k[x_1] \subseteq x_1^{-2} k[x_1] \subseteq \dots$$

On the other hand: ✓

$$Y_1 = X_1 + X_2 = X_1 + X_1^{-1}$$

$$k[X_1 + X_1^{-1}] \subseteq k[X_1, X_1^{-1}]$$

is generated by

$$1, X_1$$

P.g.

$$X_1^{-n} = 1 \cdot f(X_1 + X_1^{-1}) + X_1 \cdot g(X_1 + X_1^{-1})$$

$$(X_1 + X_1^{-1})^n = X_1^{-n} + \text{h.o.}$$

$$x_i^{-1} = \frac{1}{2} \cdot (x_i + x_i^{-1}) - x_i \cdot \frac{1}{2}$$

$$x_i = (x_i + x_i^{-1}) - (x_i^{-1})$$

$$x_i^{-1} = \frac{1}{2} \cdot (x_i + x_i^{-1})^2 - x_i(x_i - x_i^{-1})$$

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Pf: By induction on n

$$\rightarrow \underline{P} \subseteq \underline{k[x_1, \dots, x_n]}$$

If  $n=d$ , then  $\underline{P}=0$

and

$$\underline{y_1, \dots, y_n}$$

$$k[y_1, \dots, y_d]$$

$$= \underline{k[x_1, \dots, x_d]}$$

Suppose  $n > d$  ✓

$$k[x] = k[x_1, \dots, x_n] \quad \underbrace{\quad}_{P \neq 0}$$

Suppose ✓

$$(x_1 x_2 - 1)$$

↓  $\exists f \in \underline{P}$  s.t.

$$f = \underline{a x_n^m} + \left( \frac{\text{lower order}}{\text{in } x_i} \right)$$

Then  $\underline{x_1, \dots, x_n}^{m-1}$  generate  $k[x]$  as a module!

$1, x_n, \dots, x_n^{m-1}$  generate

$k[x]$  as a module over

$$= \frac{k[x_1, \dots, x_{n+1}]}{P \cap k[x_1, \dots, x_{n+1}]}$$

$k[x]$

$$\text{tr deg}(k(x)) = \text{tr deg}(k)$$

How to arrange:

$$f = ax_n^m + (\text{lower order})$$

$$y_n = \sum a_{i,n} x_i$$

$$f = \underbrace{f_k}_{\text{highest homo. term.}} + \dots$$

$$f_k = \sum_{|I|=k} b_I x_I$$

$$= \underbrace{g(a_{ij})}_{x_0} x_n^m + \dots$$

$g_k$  can be found if  $k$  is indivisible.



Use to prove Null!

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