



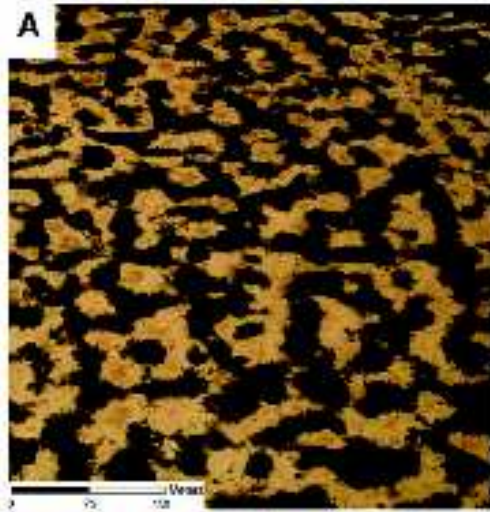
# Pattern Formation in Arid Ecosystems

## *A Bifurcation Analysis*

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# The Plan

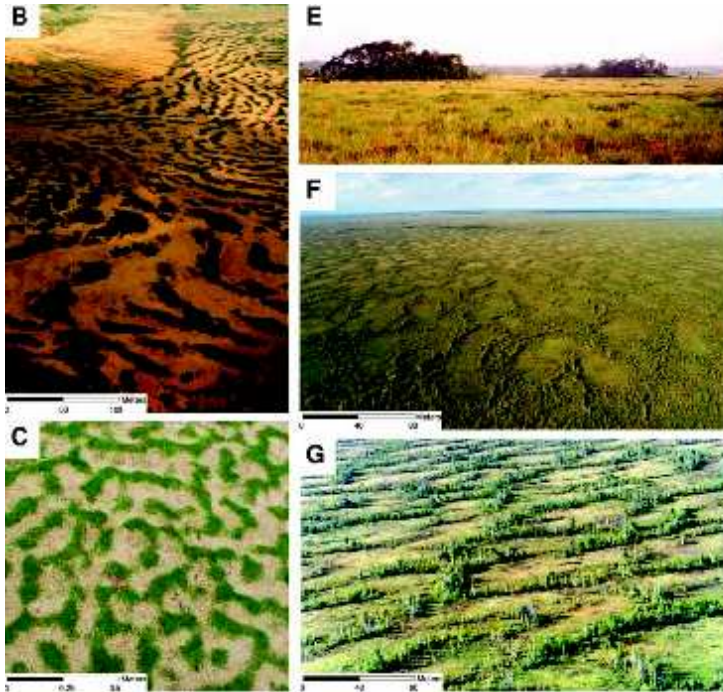


Bushy vegetation in Niger,  
from Rietkerk et al 2004.

## Vegetation Patterns

- Examples of Patterns
- Mechanisms for Patterns
- Modeling Vegetation Patterns
- Bifurcation Analysis
- Spatial Aspects

# Examples of Patterns



The left picture shows examples of bushy patterns in (b) Niger, (c) Israel, (e) French Guiana, and (f)-(g) are peatlands in western Siberia from Rietkerk et al 2004

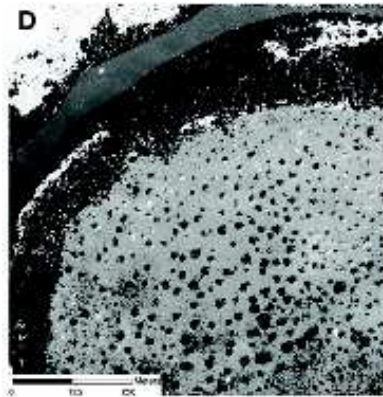
# Mechanisms for Patterns

Some mechanisms for this patterning include:

- Positive feedbacks between vegetation and water:
  - Soil water is locally redistributed from depth by deep roots.
  - This redistribution allows plants to grow locally.
  - Increased shading (reduced evaporation) means more water is around.

# Mechanisms for Patterns (cont.)

- Short-range facilitation/long-range competition for resources
  - Long-superficial roots compete for sparse nutrients
  - Positive local feedback for growth.



Vegetation patterns and uniform vegetation due to local facilitation, long-range competition in Ivory Coast from Rietkerk et al 2004

# Mechanisms for Patterns (cont.)

- “Ecosystem engineers” (cyanobacteria, plants, microorganisms)
    - Associate with shrubs to locally accumulate soil-water.
- Environmental changes cause catastrophic species loss!

# As a reminder...



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# Modeling Vegetation Patterns

Non-dimensionalized, the model presented in Meron et al 2004 is the following:

$$\frac{\partial n}{\partial t} = \frac{\gamma w}{1 + \sigma w} n - n^2 - \mu n + \Delta n \quad (1)$$

$$\frac{\partial w}{\partial t} = p - (1 - \rho n)w - w^2 n + \delta \Delta(w - \beta n) \quad (2)$$

Where:

- $n$ : Plant density
- $w$ : Amount of water

# Modeling Vegetation Patterns (cont.)

Where each of the terms are:

- $\frac{\gamma w}{1+\sigma w}n$ : Plant growth dependent on water availability
- $n^2$ : Herbivory
- $\mu n$ : Mortality
- $\Delta n$ : Dispersal
- $p$ : Incoming precipitation
- $-(1 - \rho n)w$ : Evaporation reduced by plant shading
- $w^2 n$ : Water loss due to transpiration
- $\delta \Delta(w - \beta n)$ : Soil water transport via Darcy's Law.

Two key parameters will be  $p$  and  $\rho$

# Bifurcation Analysis

Without considering space, there is an equilibrium at:

$$n = 0, \quad w = p$$

This solution can be continued via the Implicit Function Theorem until:

$$p_c = \frac{\mu}{\gamma - \mu\sigma}$$

# Bifurcation Analysis (cont.)

Shift our system by  $\tilde{w} = w - p$ , then at equilibrium, we must satisfy:

$$\dot{n} = A(\rho)n^3 + B(p, \rho)n^2 + C(p, \rho)n + D(p, \rho) = 0$$

Varying  $\rho$  will change the cubic structure. A fold bifurcation will arise when we project the curve  $\Gamma$  onto the plane:

$$\Gamma = \begin{cases} A(\rho)n^3 + B(p, \rho)n^2 + C(p, \rho)n + D(p, \rho) = 0 \\ 3A(\rho)n^2 + 2B(p, \rho)n + C(p, \rho) = 0 \end{cases}$$

# Bifurcation Analysis (cont.)

The places where the stability changes are where  $\partial p / \partial n = 0$ , or solutions of:

$$\Gamma = \begin{cases} A(\rho)n^3 + B(p_c, \rho)n^2 + C(p_c, \rho)n = 0 \\ \frac{\partial p}{\partial n} = 0 \end{cases}$$

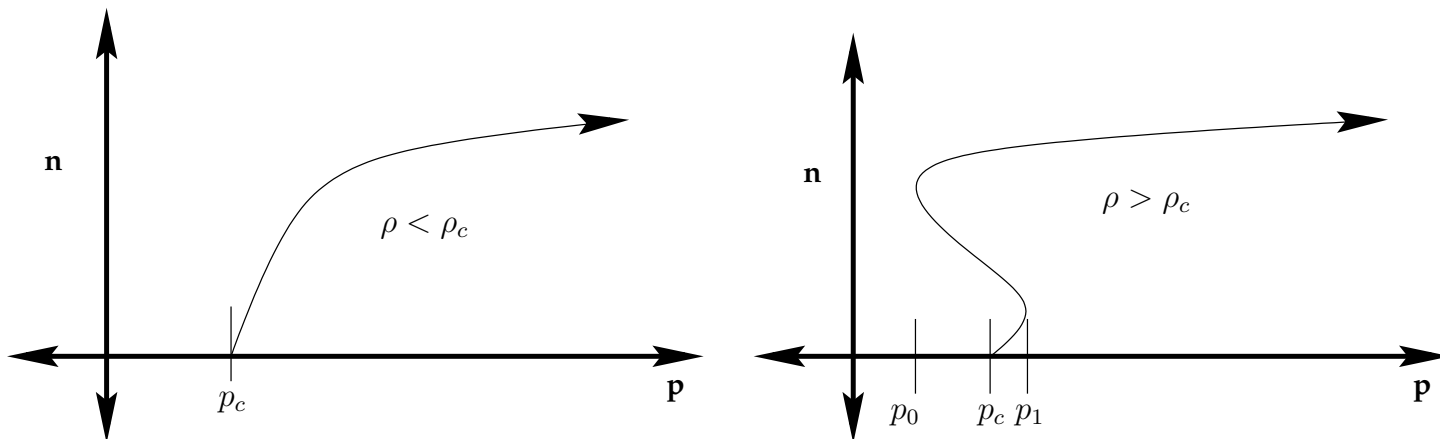
We should obtain two values  $p_0$  and  $p_1$ .

# Bifurcation Analysis (cont.)

A critical value  $\rho_c$  can be found by solving the following system:

$$\Gamma_c = \begin{cases} A(\rho)n^3 + B(p_c, \rho)n^2 + C(p_c, \rho)n = 0 \\ \left. \frac{\partial p}{\partial n} \right|_{p=p_c} = 0 \end{cases}$$

Values greater than  $\rho_c$  will induce a threshold value  $p_0 < p_c$ .



# Spatial Aspects

Assume we have appropriate eigenfunctions of the Laplacian. Linearize about an equilibrium point  $n_0, w_0$ , with the following Jacobian:

$$J = \begin{bmatrix} \frac{\gamma w_0}{1 + \sigma w_0} - 2n_0 - \mu & \frac{\gamma n_0}{(1 + \sigma w_0)^2} \\ w_0(\rho - w_0) & n_0(\rho - 2w_0) - 1 \end{bmatrix}$$

$$n = \sum_k c_k e^{\lambda t} N_k(r) \quad (3)$$

$$w = \sum_k \tilde{c}_k e^{\lambda t} W_k(r) \quad (4)$$

# Spatial Aspects (cont.)

We obtain the following eigenvalues:

$$\lambda_{\pm} = \frac{1}{2} \left( -b(k^2) \pm \sqrt{b(k^2)^2 - 4h(k^2)} \right), \quad (5)$$

where:

$$b(k^2) = k^2(1 + \delta) + n_0 + \frac{p}{w_0} + w_0 p_0 \quad (6)$$

$$h(k^2) = \det J + \delta k^4 - k^2(J_{22} + \delta J_{11} - \delta \beta J_{12}) \quad (7)$$

# Spatial Aspects (cont.)

$\lambda_+ < 0$  when  $h(k^2) \geq 0$ . The uniform state undergoes a fold bifurcation and becomes unstable for finite wavenumbers  $k$  when:

$$\det J = \frac{(J_{22} + \delta J_{11} - \delta\beta J_{12})^2}{4\delta}$$

Solving this for  $p$  gives critical points ( $p_2$ ) for which precipitation values stabilize or destabilize the uniform vegetation state, leading to non-uniform patterns.

We can also define a critical wavenumber:

$$k_c = \sqrt{\frac{\det J}{\delta}}$$

# Putting it all together

We can classify new types of *desertification*:

- $p > p_2$ : (*dry-subhumid*) Uniform vegetation is stable
- $p_1 < p < p_2$ : (*semiarid*) The only possible states are non-uniform vegetation patterns
- $p_0 < p < p_1$ : (*arid*) All three stable states are possible.
- $p < p_0$ : (*hyperarid*) Only the bare state is stable.

# For more information...

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- M. Rietkerk, S. C. Dekker. Self-Organized Patchiness and Catastrophic Shifts in Ecosystems. 2004. Science. 305:1926-1929.
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