

Introduction to Algebraic and Geometric Topology

Week 13

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Recall: Defined Smooth Surface

Examples

- ▶ Open subset of \mathbb{R}^2

- ▶ $S^2 \subset \mathbb{R}^3$ 

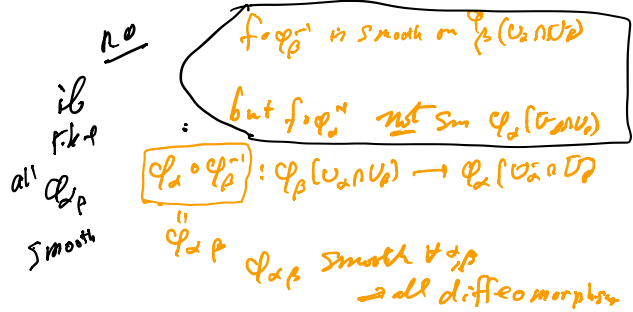
- ▶ If $U \subset \mathbb{R}^3$ open, $f : U \rightarrow \mathbb{R}$ smooth, $S = \{f = 0\}$, and

$$\forall p \in S, \nabla f(p) \neq 0$$

then S is a smooth surface.

$f(p) = 0$
 $\nabla_p f = 0$
no common
sols.

- ▶ Some identification spaces: $\mathbb{R}^2/\mathbb{Z}^2$
(See homework 6)



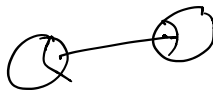
Another way
 X covered by $\{U_\alpha\}$

open sets V_α in \mathbb{R}^2

how to construct X from (V, τ) ?

The GPs tell you how to
glue:

$$\frac{1}{\alpha} \quad V_\alpha \quad \sim \quad x \in V_\beta \quad \sim \quad \varphi_\beta(x) \in V_\beta$$



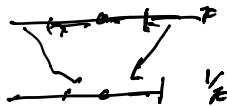
Ex S^2

$$(R^2 - 0) \perp (R^2 - 0)$$

$$(x, y) \mapsto \begin{pmatrix} x, y \\ x+y \end{pmatrix}$$



S' $R \not\sim L$ $R \not\sim L$
 ≈ 26

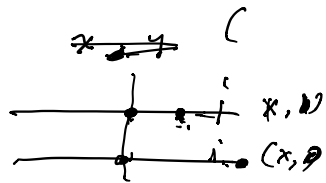


X/\sim points in X/\sim

= Equiv classes
of \sim on X

$$\mathbb{R} \sqcup \mathbb{R}$$

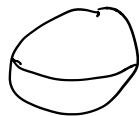
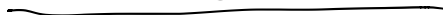
$x \sim \frac{1}{x}$
 $\in \mathbb{R} \setminus \{0\} \quad \mathbb{R} \setminus \{0\}$



$$(x, 0) \sim (\frac{1}{x}, 1)$$

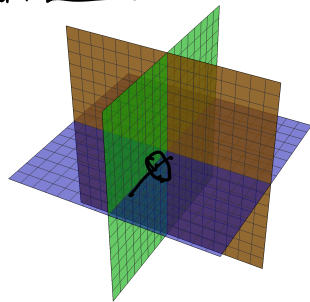
$$\underline{(x, 0) \sim (\frac{1}{x}, 1)} \quad x \neq 0$$

$$(0, 0) \quad (0, 1)$$



Examples of non-smooth surfaces

1) "Singular points"
are exactly $f=0, \nabla f=0$



$$\begin{aligned} z &\neq 0 \\ \nabla f &= 0 \\ y &= 0 \end{aligned}$$

$$f(x, y, z) = xyz = 0$$

$$x = 0$$

$$y = 0$$

$$z = 0$$

$$\nabla f = (y, x, z) = (0, 0, 0)$$

$$yz = 0 \quad y = 0 \text{ or } z = 0$$

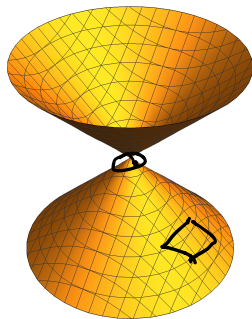
$$xz = 0$$

$$xy = 0$$

at test

$$z \neq 0, y = 0$$

Figure: The Surface $xyz = 0$
 $(x, 0, 0)$ or $(0, y, 0)$ or $(0, 0, z)$
 or $(0, 0, 0)$



f

Figure: The Cone $x^2 + y^2 - z^2 = 0$

$$\nabla f = (2x, 2y, -2z) = (0, 0, 0) \\ \text{at } (0, 0, 0)$$

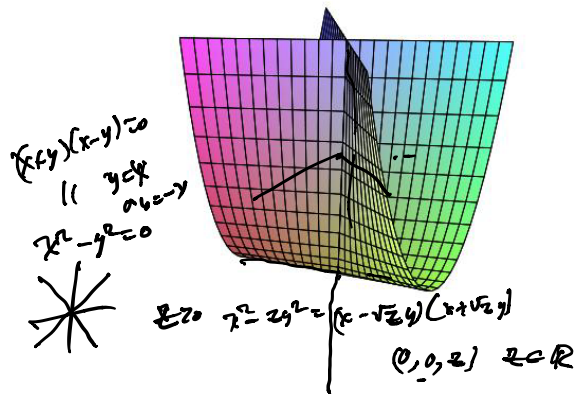


Figure: The Whitney Umbrella

$$f(x, y, z) = x^2 - y^2 \quad \text{Then } \nabla f = (2x, -2yz, y^2) = (0, 0, 0)$$

$\xrightarrow{z=0} x^2 - y^2 = 0$

Smooth maps of smooth surfaces

S a smooth surface with atlas $\{U_\alpha, \phi_\alpha\}$. Then

$$\boxed{\triangleright f : S \rightarrow \mathbb{R}^n \text{ smooth}} \iff \boxed{\forall \alpha, f \circ \phi_\alpha^{-1} : \phi_\alpha(U_\alpha) \rightarrow \mathbb{R}^n}$$

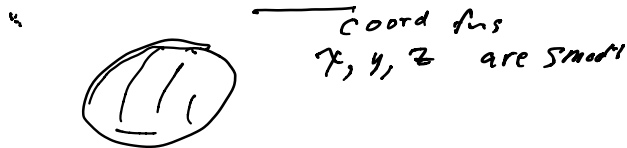
is smooth.

$$f : S \rightarrow \mathbb{R}$$

$$f|_{U_\alpha} \circ \phi_\alpha^{-1} : \phi_\alpha(U_\alpha) \rightarrow \mathbb{R}$$

Example

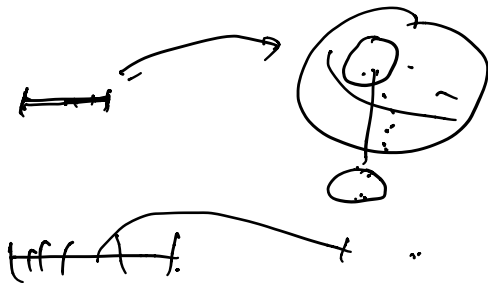
$S = \{f(x, y, z) = 0\} \subset \mathbb{R}^3$, where $\nabla f \neq 0$ on S . Then the inclusion map $\iota : S \rightarrow \mathbb{R}^3$ is smooth.



- $I \subset \mathbb{R}$ an interval. Then $\gamma : I \rightarrow S$ is smooth \iff
 γ is continuous and

$$\forall \alpha, \phi_\alpha \circ \gamma : (I \cap \gamma^{-1}(U_\alpha)) \rightarrow \phi_\alpha(U_\alpha)$$

is smooth.

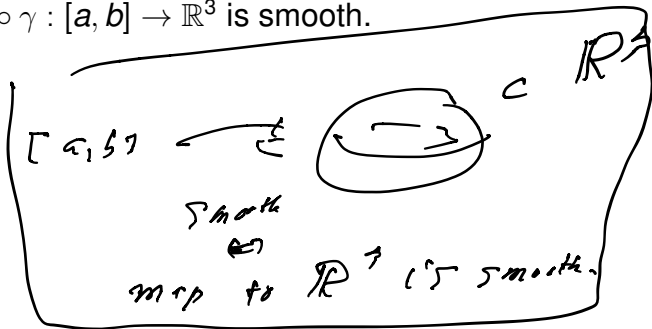


- Alternative definition: $\gamma : I \rightarrow S$ smooth \iff
 γ is continuous and I can be covered by intervals $\{I_\beta\}$
so that

1. For each β there is an $\alpha(\beta)$ with $\gamma(I_\beta) \subset U_{\alpha(\beta)}$.
2. $\forall \beta, \phi_{\alpha(\beta)} \circ \gamma : I_\beta \rightarrow \phi_{\alpha(\beta)}(U_{\alpha(\beta)})$ is smooth.

Example

Let $S = \{f(x, y, z) = 0\} \subset \mathbb{R}^3$, where $\nabla f \neq 0$ on S . Then a curve $\gamma : [a, b] \rightarrow S$ is smooth by the definition just given $\iff \iota \circ \gamma : [a, b] \rightarrow \mathbb{R}^3$ is smooth.



- ▶ S, T smooth surfaces with atlas $\{U_\alpha, \phi_\alpha\}, \{V_\beta, \psi_\beta\}$ respectively.
- ▶ $f : S \rightarrow T$ continuous.
- ▶ Refine the atlas on S as follows:
 1. Cover S by the connected components of the sets $U_\alpha \cap f^{-1}(V_\beta)$ (for $U_\alpha \cap f^{-1}(V_\beta) \neq \emptyset$)
 2. Call these sets W_γ . By definition, each $W_\gamma \subset U_{\alpha(\gamma)}$.
 3. Let $\eta_\gamma = \phi_{\alpha(\gamma)}|_{W_\gamma} : W_\gamma \rightarrow \mathbb{R}^2$.
- ▶ This atlas $\{W_\gamma, \eta_\gamma\}$ has the property that for each γ there is a $\beta(\gamma)$ so that $f(W_\gamma) \subset V_{\beta(\gamma)}$
- ▶ f is smooth \iff for all γ the compositions

$$\psi_{\beta(\gamma)} \circ f \circ \eta_\gamma^{-1} : \eta_\gamma(W_\gamma) \rightarrow \psi_{\beta(\gamma)}(V_{\beta(\gamma)})$$

are smooth.

- ▶ Simpler way: S, T smooth surfaces, $f : S \rightarrow T$ continuous.
- ▶ Assume have atlas $\{U_\alpha, \phi_\alpha\}, \{V_\beta, \psi_\beta\}$ on S, T respectively so that for each α there is a $\beta(\alpha)$ so that $f(U_\alpha) \subset V_{\beta(\alpha)}$.
- ▶ Then f is smooth \iff

$$\psi_{\beta(\alpha)} \circ f \circ \phi_\alpha^{-1} : \phi_\alpha(U_\alpha) \rightarrow \psi_{\beta(\alpha)}(V_{\beta(\alpha)})$$

are smooth.

Theorem

Let S be a smooth surface.

- 1. S is locally piecewise smoothly path connected.*
- 2. If S is connected, then it is piecewise smoothly path connected.*

Surfaces in \mathbb{R}^3 as metric spaces

- ▶ $S \subset \mathbb{R}^3$ a smooth surface.
- ▶ $\gamma : [a, b] \rightarrow S$ a piecewise smooth curve.
- ▶ By composition, $\iota \circ \gamma : [a, b] \rightarrow \mathbb{R}^3$
- ▶ Write simply γ for $\iota \circ \gamma$
- ▶ The *length of γ* is by definition

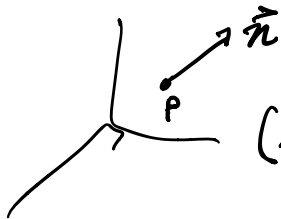


$$L(\gamma) = \int_a^b \underbrace{|\gamma'(t)|}_{\text{length of } \gamma'(t)} dt$$

- ▶ The vector $\gamma'(t)$ is the tangent vector to γ in \mathbb{R}^3
- ▶ Note that γ' is actually tangent to S .
- ▶ $|\gamma'| = (\gamma' \cdot \gamma')^{1/2}$ is the length of γ' in \mathbb{R}^3 .

$$\gamma'(t) \in T_{\gamma(t)} S$$

Tangent vector to $f=0$ at
 \underline{p}
 $f(p)=0$ ($\nabla_p f \neq 0$)



$$(\vec{x} - p) \cdot \hat{n} = 0$$

$$\nabla_p f \perp T_p S$$

$$S \subset \mathbb{R}^3$$

$$(f=0), \quad \nabla f \neq 0$$

where $f=0$

The tangent plane
to S at p

in the plane we've

$$(\vec{x} - \vec{p}) \cdot \vec{\nabla}_p f = 0$$

(\perp to gradient f at p)

Ex: $f(x, y, z) = x^2 + y^2 + z^2 - 1$

$$\nabla f = [2x, 2y, 2z]$$

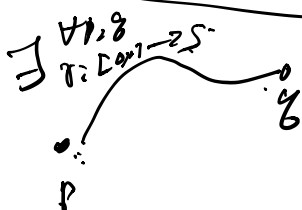
$$x_0(x - x_0) + y_0(y - y_0) + z_0(z - z_0) = 0$$

$$\gamma: [a, b] \rightarrow S \quad p \in \text{curve} = \gamma_a$$

$$L(\gamma) = \int_a^b |\gamma'(t)| dt$$

define $d(p, q)$ $p, q \in S$

$$= \inf \left\{ L(\gamma) : \begin{array}{l} \gamma: [a, b] \rightarrow S \\ \gamma(a) = p \\ \gamma(b) = q \end{array} \right\}$$



[Need S | path connected
piecewise smoothly

Remains pf of
Conn, loc path con
 \Rightarrow path conn

loc p.s., path con, conn
 \Rightarrow p.s. path con

~~Let $x \in \mathbb{R}^2$~~
ball in \mathbb{R}^2 is
p.s. path con

\Rightarrow surfaces are loc
p.s. path con

Summary:

if S is connected

~~then~~ \Rightarrow piecewise smoothly
path con

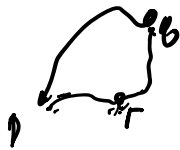
$\Rightarrow d(p, q)$ is defined
if $(p, q) \neq \emptyset$

$\subset d_S(p, q)$

called the

intrinsic metric

$$d(p, q) = d(p, r) + d(r, q) \quad \forall p, r, q \in S$$



- ▶ Suppose S is connected.
- ▶ Then it is piecewise smoothly path connected
- ▶ Define the *intrinsic metric* d_S on S by

$$d_S(p, q) = \inf \{ L(\gamma) \mid \gamma : [0, 1] \rightarrow S \text{ p.s., } \gamma(0) = p, \gamma(1) = q \}$$

$$\inf \{ \sum \gamma : p \rightarrow q \}$$

or

$$\inf \{ L(\gamma) : p \rightarrow r \text{ and } r \rightarrow q \}$$

$$\leq L(\gamma : p \rightarrow r)$$

$$+ L(\gamma : r \rightarrow q)$$

$$= L(\gamma : p \rightarrow q)$$

$$d(p, q) \geq 0 \quad \checkmark$$

$$d(p, q) = 0 \Rightarrow p = q$$



- ▶ Have checked d_S is a metric.
- ▶ $d_S(p, q) > 0$ if $p \neq q$ follows from

$$d_S(p, q) \geq d_E(p, q)$$

where d_E is the Euclidean distance in \mathbb{R}^3 .

- ▶ When is $d_S(p, q) = d_E(p, q)$?
- ▶ When can inf be replaced by min?
- ▶ When are there length minimizing curves?
- ▶ Length minimizers on S^2 ?

HWK → Monday

$$T = \square \sim$$

$$= \begin{array}{|c|c|c|} \hline \times & & \\ \hline & \times & \\ \hline & & \times \\ \hline \end{array}$$

$$[0,1] \times [0,1] \sim$$

$$\begin{array}{l} 0 \leq x < 1 \\ 0 \leq y < 1 \end{array} [(x,y)] \equiv (x,y)$$

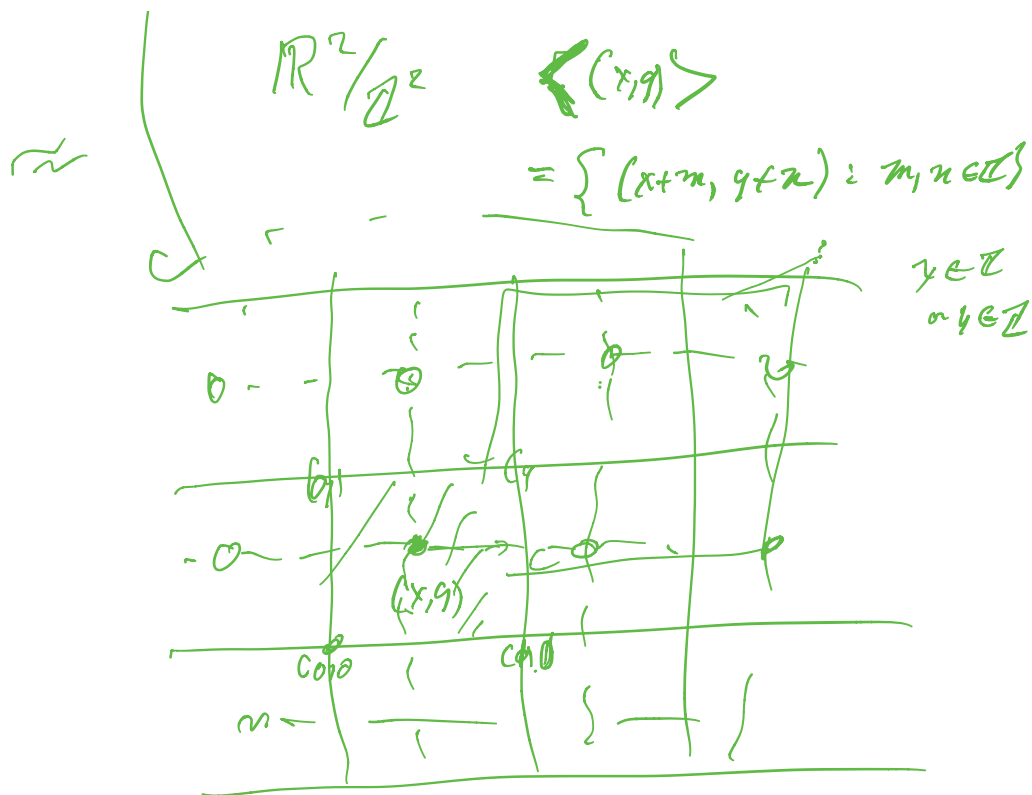


$$[(x,0)] = \{(x,0), (x,1)\}$$

$$[(0,y)] = \{(0,y), (1,y)\}$$

$$[(0,0)] = \{(0,0), (0,1), (1,0), (1,1)\}$$





Atlas for T^2

1) defined open sets in \mathbb{R}^2

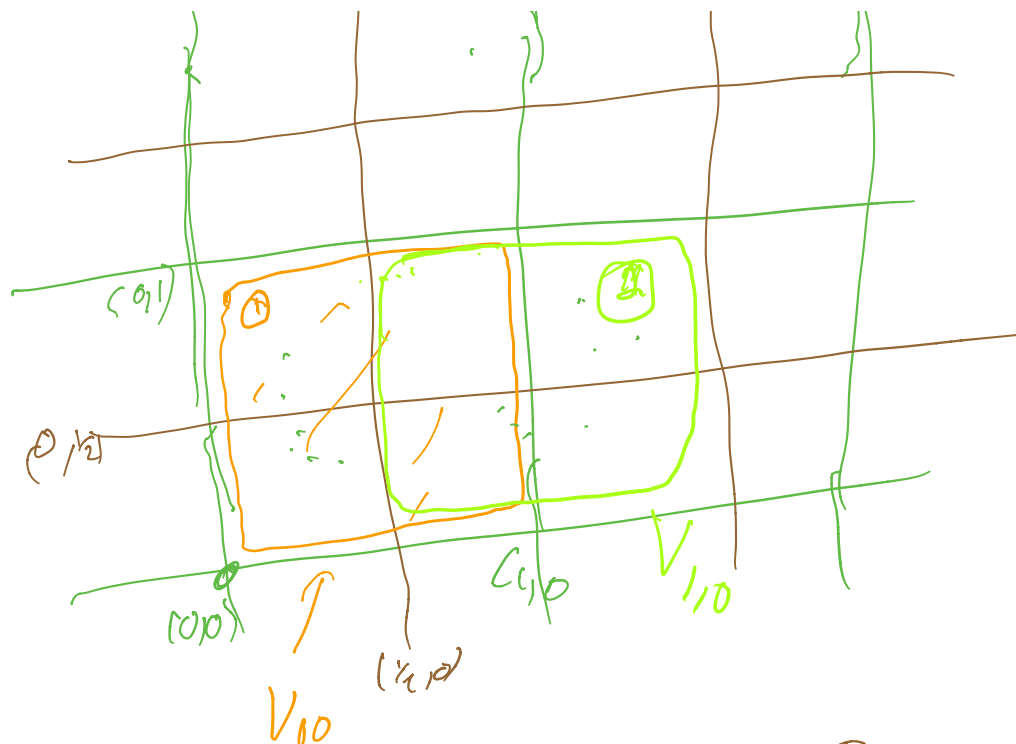
① $\epsilon < x < 1-\epsilon$
 $\epsilon < y < 1-\epsilon$

② $1/2 + \epsilon < x < 3/2 - \epsilon$
 $\epsilon < y < 1-\epsilon$

③ $\epsilon < x < 1-\epsilon$
 $1/2 - \epsilon < y < 3/2 - \epsilon$

④ $1/2 + \epsilon < x < 3/2 - \epsilon$
 $1/2 + \epsilon < y < 3/2 - \epsilon$



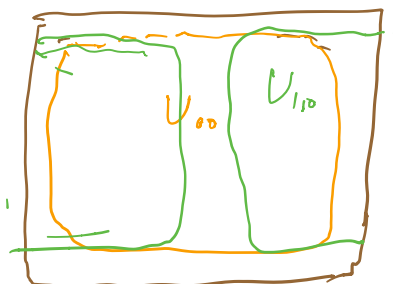


$$U_0 + U_1 = G(V_{0,0}) = \{V_{0,0} + m + n^2\}$$

$$U_{1,0} \in (V_{1,0})$$

$$U_0 \cap U_{1,0} ?$$

Picture it in $[0,1] \times [0,1] / \sim$

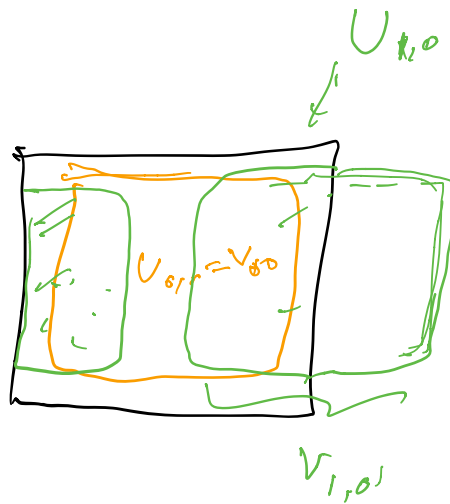


↓ Spec for open set of \mathbb{P}^2

\mathbb{P}^2

↑

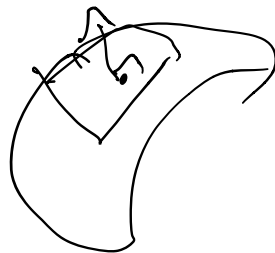
$[0,1] \times [0,1] / \sim$



Last time

$$\Sigma \subset \mathbb{R}^3 \left(\begin{array}{l} f=0 \\ \nabla_p f \neq 0 \text{ at all } p \in \Sigma \end{array} \right)$$

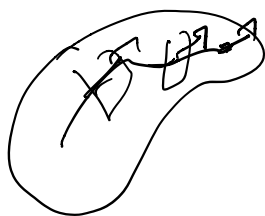
$$T_p \Sigma = (\nabla_p f)^\perp$$



$$\gamma: [0,1] \rightarrow S \quad \text{piecewise smooth} \\ \langle a, b \rangle \rightarrow \quad p.s.$$

$$L(\gamma) = \int_a^b \gamma'(t) dt$$

$$\gamma'(t) \in T_p S \subset \mathbb{R}^3$$



$$f(\gamma(t)) \equiv 0$$

$$\frac{d}{dt} (f(\gamma(t))) \equiv 0$$

$$\nabla_{\gamma'(t)} f \circ \gamma'(t) \equiv 0$$

$$\Leftrightarrow \gamma'(t) \in T_{\gamma(t)} S$$

Assume S is connected

defined intrinsic dist $\forall p, q \in S$

$$d_S(p, q) = \inf \left\{ L(\gamma) : \gamma: [a, b] \rightarrow S \right\}$$

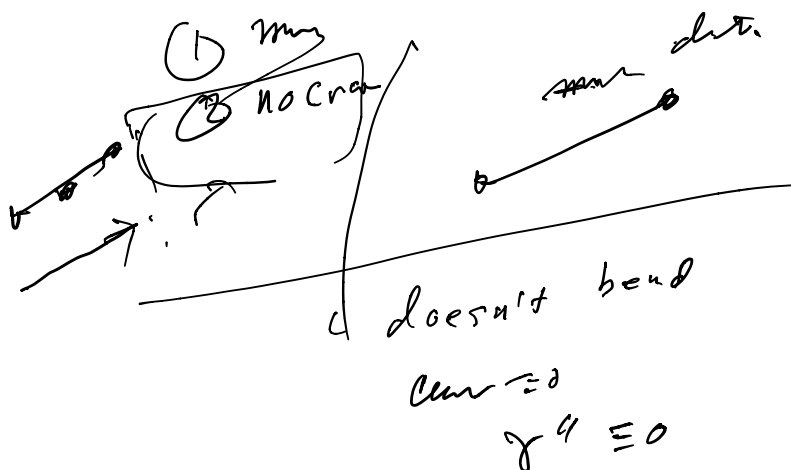
$\neq \emptyset$

if S is

C^1 min.



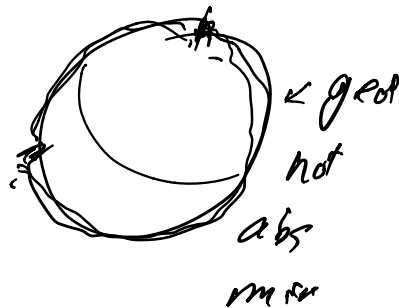
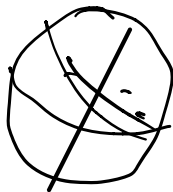
Geodesics \leftrightarrow straight lines



Geodesics

- ▶ Temporary definition: length minimizing curves.
- ▶ Better: *locally* length minimizing (see S^2)
- ▶ Another issue: parametrized vs. unparametrized curves.
- ▶ The definition of length uses a parametrization:

$$L(\gamma) = \int_a^b |\gamma'(t)| dt = \int_{\gamma} ds$$



- ▶ But the value of $L(\gamma)$ is *independent of the parametrization*:
- ▶ This means: if $\alpha : [c, d] \rightarrow [a, b]$ is an increasing function (reparametrization),

$$\int_c^d \left| \frac{d(\gamma(\alpha(t)))}{dt} \right| dt = \int_a^b \left| \frac{d\gamma}{dt} \right| dt$$

- ▶ $\gamma \sim \gamma \circ \alpha$ is an equivalence relation on curves.
- ▶ Equivalence classes called *unparametrized curves*.

- ▶ $L(\gamma)$ depends only on the unparametrized curve γ
- ▶ Convenient to choose a distinguished representative
called *parametrization by arc-length*
- ▶ Parameter denoted s , defined loosely as

$$s = \int_{\gamma} ds$$

- ▶ More precisely

$$s(t) = \int_a^t \left| \frac{d\gamma}{d\tau} \right| d\tau$$

- ▶ s is an increasing function of t , hence invertible, inverse function $t(s)$.
- ▶ Reparametrize $\gamma(t)$, $a \leq t \leq b$ as
 $\gamma(t(s))$, $0 \leq s \leq L(\gamma)$
- ▶ Call the reparametrized curve $\gamma(t(s))$ simply $\gamma(s)$.

$$|\gamma'(s)| \equiv 1$$

► Convention: s always means arclength.

► γ parametrized by arclength

$$\iff \left| \frac{d\gamma}{ds} \right| \equiv 1.$$

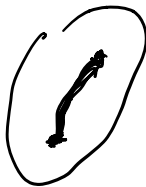
► Convention:

$$\gamma' = \frac{d\gamma}{ds}, \quad \dot{\gamma} = \frac{d\gamma}{dt}$$

$$s = \text{arclength} \iff |\gamma'(s)| = 1$$

First Variation Formula for Arc-Length

- ▶ $S \subset \mathbb{R}^3$ a smooth surface (given by $f = 0, \nabla F \neq 0$)
- ▶ $\gamma : [0, L_0] \rightarrow S$ a smooth curve, parametrized by arclength, of length L_0
- ▶ endpoints $P = \gamma(0)$ and $Q = \gamma(L_0)$.
- ▶ Want necessary condition for γ to be shortest smooth curve on S from P to Q



$$\gamma : [0, L_0] \rightarrow S$$
$$\gamma(0) = P, \gamma(L_0) = Q$$

- ▶ Calculus: consider *variations of* γ ,
- ▶ Meaning smooth maps

$\tilde{\gamma} : [0, L_0] \times (-\epsilon, \epsilon) \rightarrow S$ with $\tilde{\gamma}(s, 0) = \gamma(s)$ for all $s \in [0, L_0]$.

with s being arclength on $\tilde{\gamma}(s, 0)$ but not necessarily on $\tilde{\gamma}(s, t)$ for $t \neq 0$.



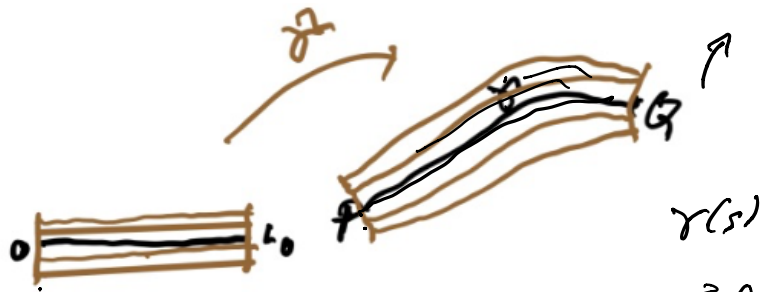


Figure: Variation with Moving Endpoints

$$\gamma(s)$$

$$\tilde{\gamma}(s, t)$$

$$\tilde{\gamma}(s, 0) = \gamma(s)$$

- If, in addition, we have that

$$\tilde{\gamma}(0, t) = P, \quad \tilde{\gamma}(L_0, t) = Q \text{ for all } t \in (-\epsilon, \epsilon),$$

we say that $\tilde{\gamma}$ is a *variation of γ preserving the endpoints*.



◀ ◻ ▶ ◀ ◻ ▶ ◀ ≡ ▶ ◀ ≡ ▶ ≡ ↺ 🔍 ↻

$$\int_0^{L_0} \left| \frac{\partial \gamma}{\partial s}(s, t) \right| ds$$

- ▶ Necessary condition for a minimum:
- ▶ Let $L(t) = \int_0^{L_0} \left| \frac{d\tilde{\gamma}}{ds} \right| ds$.
- ▶ Then $\frac{dL}{dt}(0) = 0$ for all variations $\tilde{\gamma}$ of γ with fixed endpoints P, Q .
- ▶ Let's compute $\frac{dL}{dt}(0)$ for arbitrary variations, then specialize to variations with fixed endpoints.



@SSC me

$$\gamma(s, 0) = \gamma(s)$$

5 weeks

$$\text{when } t = 0$$

- ▶ Begin with the formula for $L(t)$

$$L(t) = \int_0^{L_0} (\tilde{\gamma}_s(s, t) \cdot \tilde{\gamma}_s(s, t))^{1/2} ds$$

$$\frac{dL}{dt} \Big|_{t=0}$$

- ▶ Differentiate under the integral sign

$$\frac{dL}{dt} = \int_0^{L_0} \frac{1}{2} (\tilde{\gamma}_s(s, t) \cdot \tilde{\gamma}_s(s, t))^{-1/2} (2 \tilde{\gamma}_{st}(s, t) \cdot \tilde{\gamma}_s(s, t)) ds.$$

- ▶ Evaluate at $t = 0$ using that $\tilde{\gamma}_s(s, 0) \cdot \tilde{\gamma}_s(s, 0) = 1$

$$\frac{dL}{dt}(0) = \int_0^{L_0} \tilde{\gamma}_{st}(s, 0) \cdot \tilde{\gamma}_s(s, 0) ds.$$

- Integrate by parts, using equality of mixed partials and the formula

$$(\tilde{\gamma}_t(s, 0) \cdot \tilde{\gamma}_s(s, 0))_s = \tilde{\gamma}_{ts}(s, 0) \cdot \tilde{\gamma}_s(s, 0) + \tilde{\gamma}_t(s, 0) \cdot \tilde{\gamma}_{ss}(s, 0)$$

- Get

$$\frac{dL}{dt}(0) = \underbrace{(\tilde{\gamma}_t(s, 0) \cdot \tilde{\gamma}_s(s, 0))|_0^{L_0}}_{\text{Endon}} - \underbrace{\int_0^{L_0} \tilde{\gamma}_t(s, 0) \cdot \tilde{\gamma}_{ss}(s, 0) ds}_{\text{VCs}}$$

- ▶ Define a vector field $V(s)$ along γ by

$$V(s) = \tilde{\gamma}_t(s, 0).$$

- ▶ This is called the *variation vector field*.
- ▶ $V(s)$ is the velocity vector of the curve $t \rightarrow \tilde{\gamma}(s, t)$ at $t = 0$.
- ▶ $V(s)$ tells us the velocity at which $\gamma(s)$ initially moves under the variation.
- ▶ If the variation preserves endpoints, then $V(0) = 0$ and $V(L_0) = 0$,

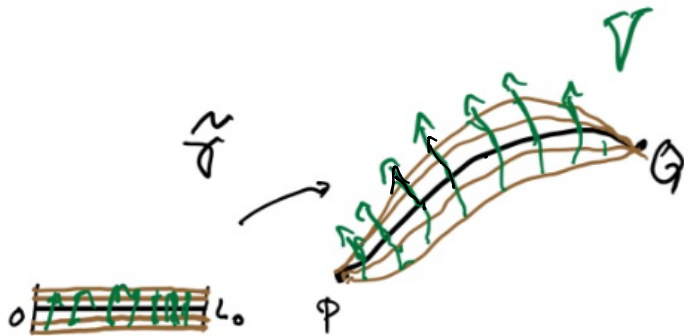


Figure: Variation Vector Field

- ▶ We can now write the final formula

$$\frac{dL}{dt}(0) = \underbrace{V(s) \cdot \gamma'(s)}_{|_0^{L_0}} - \int_0^{L_0} \underbrace{V(s)}_{\leftarrow} : \underbrace{\gamma''(s)}_{\leftarrow}^T ds.$$

- ▶ Since $V(s)$ is tangent to S , we replaced $\gamma''(s)$ by its tangential component γ''^T
- ▶ Necessary condition for minimizer: $\frac{dL}{dt}(0) = 0$ for all variations $\tilde{\gamma}$ of γ with fixed endpoints.
- ▶ equivalently

$$\int_0^{L_0} V(s) \cdot \gamma''^T = 0 \quad \forall V \text{ along } \gamma \text{ with } v(0) = V(L_0) = 0$$

- ▶ Finally this means $\gamma''^T \equiv 0$.
- ▶ Reason: use “bump functions”

def
Order.

$$\int_{\mathbb{R}^n} \nabla G(x) \cdot \nabla \psi(x) dx = 0 \quad \forall \psi \in C_c^\infty(\mathbb{R}^n)$$

A hand-drawn diagram of a rectangular box. An arrow points into the box from the left. Inside the box, the expression $(\gamma_5)^T$ is written, followed by an equals sign and a zero: $(\gamma_5)^T = 0$.

Definition

Let $\gamma : (a, b) \rightarrow S$ be a smooth curve and $V : (a, b) \rightarrow \mathbb{R}^3$ a smooth vector field along γ , meaning that V is a smooth map and for all $s \in (a, b)$, $V(s) \in T_{\gamma(s)}S$, the tangent plane to S at $\gamma(s)$.

1. The tangential component $V'(s)^T$ is called the *covariant derivative* of V and is denoted DV/Ds .
2. γ is a *geodesic* if and only if $D\gamma'/Ds = 0$ for all $s \in (a, b)$.

