

Gelfand-Kirillov Dimension for Harish-Chandra Modules

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1. Introduction

Let G be a connected semisimple matrix group. Denote by $\hat{G}$ the set of infinitesimal equivalence classes of irreducible admissible representations of G . Our goal is to describe and relate several notions of the size of infinite dimensional elements of $\hat{G}$. To explain the results, let $\mathfrak{g}$ be the complexified Lie algebra of G , $U(\mathfrak{g})$ its enveloping algebra, and $\mathfrak{h} \subseteq \mathfrak{g}$ a Cartan subalgebra. Fix a positive Weyl chamber $C \subseteq \mathfrak{h}^*$, and some translate A of the lattice of weights of finite dimensional representations. Choose a maximal compact subgroup $K \subseteq G$. Suppose we are given a family $\{\pi(\lambda) | \lambda \in A \cap C\} \subseteq \hat{G}$, which depends coherently on λ ([5]). Let $X(\lambda)$ be the space of K -finite vectors for $\pi(\lambda)$, which is an irreducible $U(\mathfrak{g})$ module. Fix any vector $0 \neq x_\lambda \in X(\lambda)$. Let $U_n(\mathfrak{g})$ be the subspace of $U(\mathfrak{g})$ spanned by products of at most n elements of $\mathfrak{g}$. Put $X_n(\lambda) = U_n(\mathfrak{g})x_\lambda$. Recall that a polynomial on $\mathfrak{h}^*$ is called *harmonic* if it is annihilated by all the Weyl group invariant constant coefficient differential operators without constant term.

Theorem 1.1. *With hypotheses as above, $\dim X_n(\lambda)$ is asymptotic to $\frac{c(\lambda)}{d!} n^d$ as n goes to infinity. Both $c(\lambda)$ and d are positive integers independent of the choice of x_λ . The function $c(\lambda)$ is a harmonic polynomial on $\mathfrak{h}^*$, and $2d$ is the Gelfand-Kirillov dimension ([3]) of $U(\mathfrak{g})/\text{Ann } X(\lambda)$.*

We call d the Gelfand-Kirillov dimension of $X(\lambda)$, and $c(\lambda)$ its Bernstein degree.

Let Ω be the Casimir operator of K . If X is a semisimple representation of K , we write $N_X(t)$ for the sum of the dimensions of the eigenspaces of Ω with eigenvalue less than or equal to t^2 .

Theorem 1.2. *Suppose $\pi \in \hat{G}$; let X be the space of K -finite vectors in π , and d the Gelfand-Kirillov dimension of X . Then there is a constant $A > 0$, depending on X , such that for $t \geq 1$,*

$$A^{-1}t^d \leq N_X(t) \leq At^d.$$

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Theorem 1.1 is proved in Section 4, and Theorem 1.2 in Section 5. We conclude the paper with a rather complicated but complete characterization of those representations with maximal Gelfand-Kirillov dimension (Theorem 6.2). Some possible improvements of these results are stated as Conjectures 4.10 and 5.1.

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2. Preliminaries on Gelfand-Kirillov Dimension

We begin by recalling some facts from commutative algebra. Details may be found in [14], Chapter VII, especially Theorem 41. Let R be the polynomial ring $\mathbb{C}[x_1, \dots, x_N]$, graded by degree. Let $M = \sum_{q=0}^{\infty} M_q$ be a graded R module: if $p \in R$ is homogeneous of degree t , and $m \in M_q$, then $p \cdot m \in M_{q+t}$. Suppose now that M is finitely generated. Then

$$\varphi_M(n) = \sum_{q \leq n} \dim_{\mathbb{C}} M_q$$

is finite. By a theorem of Hilbert and Serre, there is a polynomial $\bar{\varphi}_M(n)$ in n , of degree at most N , such that $\bar{\varphi}_M(n) = \varphi_M(n)$ for all sufficiently large n . The degree of $\bar{\varphi}_M$ is written $\text{Dim}(M)$, and its leading coefficient $\frac{c(M)}{(\text{Dim}(M))!}$; $c(M)$ is an integer. If $d = \text{Dim}(M)$, we may write $c_d(M)$ for emphasis. For $d' > \text{Dim}(M)$, we define $c_{d'}(M) = 0$. For reasons which will become clear, we are not interested in lower order terms of $\bar{\varphi}_M$.

Now suppose $\mathfrak{g}$ is a Lie algebra over $\mathbb{C}$. Let $U_n(\mathfrak{g}) \subseteq U(\mathfrak{g})$ be the subspace of $U(\mathfrak{g})$ generated by monomials of the form $g_1 \dots g_m$, with $m \leq n$, and $g_i \in \mathfrak{g}$. By the Poincaré-Birkhoff-Witt theorem, the associated graded algebra $\text{gr}(U(\mathfrak{g}))$ is canonically isomorphic to $S(\mathfrak{g})$, the symmetric algebra of $\mathfrak{g}$ —a polynomial ring in $\dim \mathfrak{g}$ variables. Suppose V is a finitely generated $U(\mathfrak{g})$ module. Choose a generating subspace V_0 , and set $V_n = U_n(\mathfrak{g})V_0$. Define $d_{V, V_0}(n) = \dim V_n$. Set $M_n = V_n/V_{n-1}$, $M = \sum_{n=0}^{\infty} M_n$. An element $g \in \mathfrak{g}$ acts on M in the following way: if $v \in V_n$, and $\bar{v} = v + V_{n-1} \in M_n$, then

$$g \cdot \bar{v} = g \cdot v + V_n \in M_{n+1}.$$

In this way M becomes a module for $S(\mathfrak{g})$. It is trivial to verify that M_0 generates M , and that

$$d_{V, V_0}(n) = \varphi_M(n).$$

Lemma 2.1. *With notation as above, there is a polynomial $d_{V, V_0}(n)$ of degree at most $\text{Dim } \mathfrak{g}$, so that $d_{V, V_0}(n) = d_{V, V_0}(n)$ for large n . The leading coefficient of d_{V, V_0} , which we write as $\frac{c(V)}{(\text{Dim } V)!}$, is independent of the choice of V_0 .*

Proof. The existence of $\bar{d}_{V, V_0}$ follows from the discussion above. Suppose then that V'_0 also generates V . Then for some large integer k , we have $V'_0 \subseteq V_k$, and $V'_0 \subseteq V'_k$. (Here of course $V'_k = U_k(\mathfrak{g})V'_0$.) It is immediate that

$$V'_{n-k} \subseteq V_n \subseteq V'_{n+k}.$$

Hence $\bar{d}_{V, V'_0}(n-k) \leq \bar{d}_{V, V_0}(n) \leq \bar{d}_{V, V'_0}(n+k)$, for all sufficiently large n . Therefore, the polynomials $\bar{d}_{V, V'_0}$ and $\bar{d}_{V, V_0}$ have the same leading term. Q.E.D.

The preceding proof is due to Bernstein [1], who considered only the Heisenberg algebra. The integer $\text{Dim } V$ is defined by Joseph [7] in this situation; we will call it the Gelfand-Kirillov dimension of V . The integer $c(V)$ is called the *Bernstein degree* of V . If $d = \text{Dim } V$, we sometimes write $c(V) = c_d(V)$ for emphasis; and if $d' > d$, we define $c_{d'}(V) = 0$.

Example. Suppose $I \subseteq U(\mathfrak{g})$ is a two-sided ideal. If we consider the algebra $U(\mathfrak{g})/I$ as a left $U(\mathfrak{g})$ module, then $\text{Dim}(U(\mathfrak{g})/I)$ is by definition its Gelfand-Kirillov dimension in the usual sense ([3]).

Lemma 2.2. *Suppose V is a finitely generated $U(\mathfrak{g})$ module, and F is a finite dimensional $U(\mathfrak{g})$ module of dimension f . Then $\text{Dim}(V \otimes F) = \text{Dim } V$, and $c(V \otimes F) = f \cdot c(V)$.*

Proof. Fix a generating subspace V_0 of V . Then $V_0 \otimes F$ generates $V \otimes F$; and in the associated filtration,

$$(V \otimes F)_n = V_n \otimes F.$$

Hence $d_{V \otimes F, V_0 \otimes F}(n) = f \cdot d_{V, V_0}(n)$. The result follows. Q.E.D.

Lemma 2.3. *Suppose $\mathfrak{b} \subseteq \mathfrak{g}$ is a Lie subalgebra, and V is a finitely generated $U(\mathfrak{b})$ module. Define $X = U(\mathfrak{g}) \otimes_{\mathbb{C}} V$. Then X is a finitely generated $U(\mathfrak{g})$ module. Furthermore, $\text{Dim } X = \text{Dim } V + \dim(\mathfrak{g}/\mathfrak{b})$, and $c(X) = c(V)$.*

Proof. Fix a generating subspace V_0 of V . Then one verifies easily that $1 \otimes V_0 = X_0 \subseteq X$ generates X . Choose a complementary subspace $\mathfrak{r} \subseteq \mathfrak{g}$ to $\mathfrak{b}$. Then $U(\mathfrak{g}) \cong S(\mathfrak{r}) \otimes_{\mathbb{C}} U(\mathfrak{b})$, so $X \cong S(\mathfrak{r}) \otimes_{\mathbb{C}} V$. If M is the graded module associated to V and V_0 , and N is the graded module associated to X and X_0 , then $N \cong S(\mathfrak{r}) \otimes_{\mathbb{C}} M$ (graded tensor product). Therefore

$$\begin{aligned} \varphi_N(n) &= \sum_{q \leq n} \dim N_q \\ &= \sum_{q \leq n} \sum_{k=0}^q (\dim S_k(\mathfrak{r})) (\dim M_{q-k}) \\ &= \sum_{k=0}^n (\dim S_k(\mathfrak{r})) \left(\sum_{q=0}^{n-k} \dim M_q \right) \\ &= \sum_{k=0}^n \dim S_k(\mathfrak{r}) \varphi_M(n-k). \end{aligned}$$

Put $r = \dim \tau = \dim \mathfrak{g}/\mathfrak{b}$. Then $\dim(S_k(\tau)) = \binom{r+k-1}{r-1}$ (the binomial coefficient).

So if we replace $\varphi_M(n-k)$ by $\bar{\varphi}_M(n-k)$ (which differs from it for only finitely many values of $n-k$), we make an error which is $O(m^{-1})$. If we change $\bar{\varphi}_M$ by a polynomial of degree less than $\dim V$, we make an error which is $O(m^{r-1+O(\dim V-1)})$ in each term of the sum, and hence of order $m^{r+\dim V-1}$ altogether. So to compute the leading term of $\varphi_N(n)$, we may replace $\bar{\varphi}_M(n-k)$ by $\binom{n-k+\dim V}{\dim V} \cdot c(V)$. This amounts to replacing M by $c(V)$ copies of a symmetric algebra on $\dim V$ variables, so that N is replaced by $c(V)$ copies of a symmetric algebra on $r + \dim V$ variables. The lemma follows. Q.E.D.

Lemma 2.4. Suppose $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$ is an exact sequence of $U(\mathfrak{g})$ modules, and that A and C finitely generated. Then B is finitely generated also; $\dim B = \max(\dim A, \dim C) = d$, and $c_d(B) = c_d(A) + c_d(C)$.

Proof. Fix finite dimensional generating subspaces A_0 and C_0 of A and C , and choose a subspace $B_0 \subseteq B$ so that

$$0 \rightarrow A_0 \rightarrow B_0 \rightarrow C_0 \rightarrow 0$$

is exact. Then B_0 is finite dimensional. Obviously $U(\mathfrak{g}) \cdot B_0$ contains $U(\mathfrak{g}) \cdot A_0 = A$, and the image of $U(\mathfrak{g}) \cdot B_0$ in C is $U(\mathfrak{g}) \cdot C_0 = C$. So B_0 generates B . Put $B_n = U(\mathfrak{g}) \cdot B_0$, $C_n = U(\mathfrak{g}) \cdot C_0$, and $A'_n = B_n \cap A$. We have exact sequences

$$0 \rightarrow A'_n \rightarrow B_n \rightarrow C_n \rightarrow 0;$$

so

$$d_{B, B_0}(n) = \dim A'_n + d_{C, C_0}(n).$$

(*)

Let $\bar{B} = \bigotimes_{n=0}^{\infty} B_n/B_{n-1}$ be the graded $S(\mathfrak{g})$ module associated to B . Since

$$A'_n \cap B_{n-1} = B_{n-1} \cap B_n \cap A = B_{n-1} \cap A = A'_{n-1},$$

the graded $S(\mathfrak{g})$ module $\bar{A} = \bigotimes_{n=0}^{\infty} A'_n/A'_{n-1}$ may be regarded as a submodule of $\bar{B}$. Now $\bar{B}$ is finitely generated, and $S(\mathfrak{g})$ is Noetherian; so $\bar{A}$ is finitely generated, say by elements of degree at most m . It follows that $\mathfrak{g} \cdot \bar{A}'_n = \bar{A}'_{n+1}$ for $n \geq m$. Therefore $\mathfrak{g} \cdot A'_n + A'_n = A'_{n+1}$ for $n \geq m$. Put $A''_0 = A'_m$. By induction,

$$A''_n = U(\mathfrak{g}) \cdot A'_0 = A'_{m+n}$$

for $n \geq 0$. By (*), we have for $n \geq m$,

$$d_{B, B_0}(n) = d_{A, A'_0}(n-m) + d_{C, C_0}(n).$$

The result now follows from Lemma 2.1. Q.E.D.

Corollary 2.5. Finitely generated $U(\mathfrak{g})$ modules of Gelfand-Kirillov dimension at most d form an abelian category; and the Bernstein degree c_d defines a homomorphism of the associated Grothendieck group into the integers.

3. Preliminaries on Verma Modules

We assume in this section that $\mathfrak{g}$ is a complex semisimple Lie algebra, with Borel subalgebra $\mathfrak{b} = \mathfrak{h} + \mathfrak{n}$. Here $\mathfrak{h}$ is a Cartan subalgebra, and $\mathfrak{n}$ is the nil radical of $\mathfrak{b}$. Then $\mathfrak{b}$ determines a set of positive roots $\Delta^+ \subseteq \Delta(\mathfrak{g}, \mathfrak{b})$; we write $\rho = \frac{1}{2} \sum_{\alpha \in \Delta^+} \alpha$. The Weyl group $W = W(\mathfrak{g}, \mathfrak{b})$ acts on $\mathfrak{h}^*$. Fix a nonsingular invariant bilinear form $\langle \cdot, \cdot \rangle$ on $\mathfrak{g}$, which is positive definite on the real span of the roots in $\mathfrak{h}^*$. Define a positive Weyl chamber

$$C = \{\lambda \in \mathfrak{h}^* \mid \operatorname{Re} \langle \lambda, \alpha \rangle > 0 \text{ or } \operatorname{Re} \langle \lambda, \alpha \rangle = 0 \text{ and } \operatorname{Im} \langle \lambda, \alpha \rangle > 0 \text{ for all } \alpha \in \Delta^+\};$$

we write $\bar{C}$ for its closure, which is a fundamental domain for $W \cdot A$ weight λ is called regular or nonsingular if $\langle \lambda, \alpha \rangle \neq 0$ whenever $\alpha \in \Delta^+$. Elements of $\bar{C}$ are called dominant.

Write $\mathfrak{Z}(\mathfrak{g})$ for the center of $U(\mathfrak{g})$. The (translated) Harish-Chandra homomorphism defines for each $\lambda \in \mathfrak{h}^*$ a map $\chi_\lambda: \mathfrak{Z}(\mathfrak{g}) \rightarrow \mathbb{C}$; this identifies $\operatorname{Spec} \mathfrak{Z}(\mathfrak{g})$ with W orbits in $\mathfrak{h}^*$. If $\mathfrak{Z}(\mathfrak{g})$ acts in a $U(\mathfrak{g})$ module by the scalars χ_λ , we will say that the module has infinitesimal character λ ; the infinitesimal character is called nonsingular if λ is. Let $M(\lambda)$ denote the Verma module with highest weight $\lambda - \rho$ (with respect to $\mathfrak{b}$), and $L(\lambda)$ its unique irreducible quotient. Then $M(\lambda)$ and $L(\lambda)$ are finitely generated $U(\mathfrak{g})$ modules, with infinitesimal character λ . For our purposes the main result about Verma modules is

Theorem 3.1 (Joseph [6], Proposition 6.5). $\dim(U(\mathfrak{g})/\operatorname{Ann} L(\lambda)) = 2 \dim L(\lambda)$.

It would be very nice to relate $c(L(\lambda))$ to $c(U(\mathfrak{g})/\operatorname{Ann} L(\lambda))$.

We will also use a technical result of Joseph's. Let $\mathfrak{a}$ be a finite dimensional Lie algebra, and $\mathfrak{b}$ a solvable ad-algebraic subalgebra. Write $\mathfrak{b} = \mathfrak{h} \oplus \mathfrak{n}$, with $\mathfrak{h}$ commutative ad-semisimple, and $\mathfrak{n}$ ad-nilpotent (on $\mathfrak{a}$). Let L be a $U(\mathfrak{a})$ module generated by a finite dimensional $\mathfrak{b}$ submodule L_0 , on which $\mathfrak{n}$ acts nilpotently. Put $L_k = U_k(\mathfrak{a})L_0$, a finite dimensional $\mathfrak{b}$ submodule of L .

Lemma 3.2. (Joseph [7], Lemma 2.5). Under the above hypotheses, there is a positive integer t such that for each positive integer k and each $x \in L_k$,

$$U(\mathfrak{b})x = U_k(\mathfrak{b})x.$$

Now suppose $\mathfrak{t} \subseteq \mathfrak{a}$ is a reductive subalgebra, and choose a triangular decomposition $\mathfrak{t} = \mathfrak{n}_1^- + \mathfrak{t}_1 + \mathfrak{n}_1$. Let L be a $U(\mathfrak{a})$ module generated by a finite dimensional $\mathfrak{t}$ submodule L_0 . Put $L_k = U_k(\mathfrak{a})L_0$.

Corollary 3.3. Under the above hypotheses, there is a positive integer s such that for each positive integer k and each $x \in L_k$,

$$U(\mathfrak{t})x = U_k(\mathfrak{t})x.$$

Proof. Apply Lemma 3.2 to $\mathfrak{b}_1 = \mathfrak{h}_1 + \mathfrak{n}_1$ and $\mathfrak{n}_1^-$ respectively, getting positive integers t and t' . Set $s = t + t'$. If $x \in L_k$ and $u \in U(\mathfrak{t})$, we want to show that $u \cdot x \in U_k(\mathfrak{t})x$. Write $u = \sum u_i u_i'$, with $u_i \in U(\mathfrak{n}_1^-)$ and $u_i' \in U(\mathfrak{b}_1)$; clearly we may as well assume $u = u' u''$. Now $u'' \cdot x \in U_k(\mathfrak{b})x$ by Lemma 3.2; and $u' \cdot x \in L_k$, since L_k

is a $\mathfrak{f}$ submodule of L . Hence $u' \cdot (u' \cdot x) \in U_{k'}(u_1^{-1}) U_k(b_1) x \subseteq U_{k'+r}(f) x$ by Lemma 3.2 again. Q.E.D.

We return now to the assumption that $\mathfrak{g}$ is reductive, and that $\mathfrak{b}$ is a Borel subalgebra. Let $\mathfrak{f}$ be a reductive subalgebra of $\mathfrak{g}$ such that $\mathfrak{f} + \mathfrak{b} = \mathfrak{g}$ (not necessarily a direct sum). Let V and L be two $U(\mathfrak{g})$ modules with a nonsingular pairing $\langle, \rangle$. We suppose that V and L are generated by finite dimensional subspaces V_0 and L_0 , $\mathfrak{f}$ -stable and $\mathfrak{b}$ -stable respectively.

Lemma 3.4. *Under the preceding hypotheses, $\dim V = \dim L$, and $\dim(U(\mathfrak{g})/\text{Ann } V) = \dim(U(\mathfrak{g})/\text{Ann } L)$.*

Proof. Recall the transpose map of $U(\mathfrak{g})$, defined by $'g = -g$ for $g \in \mathfrak{g}$, and extended to an antiautomorphism. Then for $v \in V$, $l \in L$, $u \in U(\mathfrak{g})$, we have $\langle u \cdot v, l \rangle = \langle v, 'u l \rangle$. Hence $\text{Ann } V = (' \text{Ann } L)$, so clearly $\dim U(\mathfrak{g})/\text{Ann } V = \dim(U(\mathfrak{g})/\text{Ann } L)$. For the first statement, define V_k and L_k as in Section 2; choose t as in Lemma 3.2, and s for V as in Lemma 3.3. We claim that $\dim L_k \leq \dim V_k$, and that $\dim V_k \leq \dim L_k$; this will prove the lemma. Notice first that $L = U(\mathfrak{f}) L_0$, and $V = U(\mathfrak{f}) V_0$. We claim that the natural map $V_k \rightarrow L_k$ defined by $\langle, \rangle$ is surjective; this will suffice for the first claim, and the second is similar. So take $x \in L_k$, and suppose $\langle x, V_k \rangle = 0$. By transposition, $\langle U_k(\mathfrak{b}) x, V_0 \rangle = 0$. By Lemma 3.2, $\langle U(\mathfrak{b}) x, V_0 \rangle = 0$, and then $\langle x, U(\mathfrak{b}) V_0 \rangle = \langle x, V \rangle = 0$ by transposition. Thus $x = 0$ by the nonsingularity of $\langle, \rangle$. Q.E.D. This argument is due to Joseph (cf. [7], Lemma 3.2).

4. Coherent Continuation

In the present section we assume that G is a linear reductive Lie group, with abelian Cartan subgroups. Infinitesimal equivalence classes of admissible representations of G are identified with compatible $(\mathfrak{g}, K)$ modules ([9]). (As in the introduction, K is a maximal compact subgroup of G , and $\mathfrak{g}$ is the complexified Lie algebra of G . We write $\mathfrak{g}_0$ for the real Lie algebra, and use analogous notation for other groups.) Let θ denote the Cartan involution of $\mathfrak{g}$; $\mathfrak{g} = \mathfrak{t} + \mathfrak{p}$, with $\mathfrak{p}$ the -1 eigenspace of θ . Fix a θ -invariant maximally split Cartan subgroup $H = T^+ A$ of G , with $T^+ \subseteq K$, and $A \subseteq \exp \mathfrak{p}_0$. We choose an Iwasawa decomposition $G = KAN$. Let M be the centralizer of A in K , so that MAN is a minimal parabolic subgroup of G . If we choose a Borel subalgebra $\mathfrak{b}_m = \mathfrak{t}^+ + \mathfrak{n}_m$, then $\mathfrak{b} = \mathfrak{h} + (\mathfrak{n}_m + \mathfrak{n}) = \mathfrak{h} + \mathfrak{n}'$ is a Borel subalgebra of $\mathfrak{g}$; thus we have a positive Weyl chamber $C \subseteq \mathfrak{h}^*$ as defined in Section 3, etc.

We recall now the theory of coherent continuation developed in [11]. Suppose X is a compatible $(\mathfrak{g}, K)$ module with infinitesimal character $\lambda \in C$. (In particular λ is nonsingular.) Write $\Theta(\lambda) = \Theta(X)$ for the global character of X . Whenever $\tilde{\mu} \in \tilde{H}$ is a weight of a finite dimensional representation of G , a new virtual character $S_\mu(\Theta(\lambda)) = \Theta(\lambda + \tilde{\mu})$ was defined in [11]; here $\lambda + \tilde{\mu}$ is a formal symbol, not a sum. Roughly speaking, $\Theta(\lambda + \tilde{\mu})$ is defined as follows. On a small connected open subset U of the regular set in a Cartan subgroup B of G , $\Theta(\lambda)$

may be written in the form

$$A^{-1}(\mathfrak{b}) \cdot \sum_{w \in W(\mathfrak{f}_0, \mathfrak{b})} a_w \exp(w \lambda'(\log \mathfrak{b}));$$

here A is the Weyl denominator, " $\log$ " is continuous and satisfies

$$\exp(\log(b_1 b_2^{-1})) b_2 = b_1$$

for b_i in U , and $\lambda' \in \mathfrak{h}^*$. Furthermore there is an inner automorphism c of $\mathfrak{g}$ which takes $\mathfrak{h}$ to $\mathfrak{b}$ and λ to λ' . Let μ' be c applied to the differential of $\tilde{\mu}$. Then $\Theta(\lambda + \tilde{\mu})$ is given on U by the same formula, with λ' replaced by $\lambda' + \mu'$. (This is not precisely correct; we refer to [11] for details.) Suppose $\dim X = d$. Write $\mathcal{A}_d$ for the category of $3(\mathfrak{g})$ -finite compatible $(\mathfrak{g}, K)$ modules Y with $\dim Y \leq d$. Then $\mathcal{A}_d$ is closed under tensor products with finite dimensional modules (Lemma 2.2) and under passage to submodules. It follows from the construction of $\Theta(\lambda + \tilde{\mu})$ that every constituent of $\Theta(\lambda + \tilde{\mu})$ belongs to $\mathcal{A}_d$. By Corollary 2.5, we can therefore define $c(\lambda + \tilde{\mu}) = c_d(\Theta(\lambda + \tilde{\mu}))$ by considering $\Theta(\lambda + \tilde{\mu})$ as an element of the Grothendieck group. Let $\mu \in \mathfrak{h}^*$ be the differential of $\tilde{\mu}$. If $\tilde{\mu} \in \tilde{H}$ is another weight of a finite dimensional representation, and $\langle \mu, \alpha \rangle = \langle \gamma, \alpha \rangle$ for all $\alpha \in \Delta(\mathfrak{g}, \mathfrak{h})$, then $\tilde{\mu} - \tilde{\gamma}$ is the weight of a one dimensional representation F_1 of G ; and $\Theta(\lambda + \tilde{\mu}) = \Theta(\lambda + \tilde{\gamma}) \cdot \Theta(F_1)$. Hence $c(\lambda + \tilde{\mu}) = c(\lambda + \tilde{\gamma})$. So c may be regarded as a function of $\lambda + \mu$, independent of the restriction of $\lambda + \mu$ to the center of $\mathfrak{g}$. By passing to a covering group of G , we may assume that (up to the action of the center) any integral weight is a weight of a finite dimensional representation ([11], Lemma 5.19); so we may assume $c(\lambda + \mu)$ is defined whenever μ is integral. The following results were suggested by conversations with G. Zuckerman.

Lemma 4.1. *With notation as above, suppose $\mu, \gamma \in \mathfrak{h}^*$ are integral. Then if $W = W(\mathfrak{q}, \mathfrak{b})$,*

$$(4.2) \quad c(\lambda + \mu) = |W|^{-1} \sum_{\sigma \in W} c(\lambda + \mu + \sigma \cdot \gamma).$$

Proof. Whenever F is a finite dimensional representation, write $A(F)$ for the set of weights of F with multiplicities. Then

$$\Theta(\lambda + \tilde{\mu}) \cdot \Theta(F) = \sum_{\gamma \in A(F)} \Theta(\lambda + \tilde{\mu} + \tilde{\gamma})$$

(see [11], Lemma 5.3). Put $f = \dim F$. Using Lemmas 2.2 and 2.4, we deduce that

$$f \cdot c(\lambda + \mu) = \sum_{\gamma \in A(F)} c(\lambda + \mu + \gamma).$$

By a standard argument (using induction on the length of γ , for example), (4.2) is a linear combination of such equations for various F . Q.E.D.

One should be able to replace W in Lemma 4.1 by the Weyl group W_λ generated by reflections about roots with respect to which λ is integral. It is not at all clear how to prove this, however. Because of the following lemma, such a

result would give an enormous amount of information about c . Let $A = \{\lambda + \mu | \mu$ is integral\}.

Lemma 4.3. *Let $\mathcal{H}$ denote the space of functions on A satisfying (4.2). Then $\mathcal{H}$ is the restriction to A of the space of harmonic polynomials on $\mathfrak{h}^*$.*

Proof. Recall that the harmonic polynomials are those satisfying every W -invariant differential operator without constant term. (Elementary properties of these functions may be found for example in [16].) We denote this space by $\mathcal{H}_0$. Recall that $\dim \mathcal{H}_0 = |W|$. It is easy to see that $\mathcal{H}_0 \subseteq \mathcal{H}$; for $c \in \mathcal{H}_0$, then

$$h(\gamma) = c(\lambda + \mu) - \frac{1}{|W|} \sum_{\sigma \in W} c(\lambda + \mu + \sigma \cdot \gamma)$$

is a W -invariant harmonic polynomial vanishing at zero, and therefore identically. So it is enough to show $\dim \mathcal{H} \leq |W|$. The referee has pointed out that the desired result can be deduced fairly easily from Theorem 2.2 of [17]. Since that result is itself not entirely trivial, we will give a direct argument. For this we may as well assume $\lambda = 0$ and that $\mathfrak{g}$ is semisimple. For each $\sigma \in W$, we will define an integral weight p_σ . Suppose $c \in \mathcal{H}$, and $c(p_\sigma) = 0$ for all $\sigma \in W$. Then we will show that $c = 0$. This will prove the lemma. Let $B \subset \Delta^+$ be the set of simple roots. If $A \subseteq B$, write χ_A for the characteristic function of A . Define an integral weight δ_A by

$$\frac{2\langle \delta_A, \beta \rangle}{\langle \beta, \beta \rangle} = \chi_A(\beta), \quad \text{for all } \beta \in B.$$

If $\sigma \in W$, put $S_\sigma = \{\alpha \in \Delta^+ | \sigma \alpha \notin \Delta^+\}$, $\tau(\sigma) = S_\sigma \cap B$. Define $p_\sigma = \sigma \cdot \delta_{\tau(\sigma)}$. Suppose then that $c \in \mathcal{H}$, and $c(p_\sigma) = 0$ for all σ . We want to show that $c(\mu) = 0$ for every integral weight μ . We proceed by induction on the length of μ ; suppose then that $c(\mu') = 0$ whenever $|\mu'| < |\mu|$. Choose $\sigma_1 \in W$ so that $\sigma_1 \cdot \mu$ is dominant, and set

$$A = \{\alpha \in B | \langle \alpha, \sigma_1 \cdot \mu \rangle \neq 0\}.$$

Suppose first that there is a root $\alpha \in A$ such that $\frac{2\langle \alpha, \sigma_1 \cdot \mu \rangle}{\langle \alpha, \alpha \rangle} \geq 2$. Then by (4.2),

$$c(\mu - \sigma_1^{-1} \delta_\alpha) = \frac{1}{|W|} \sum_{\tau \in W} c(\mu - \sigma_1^{-1} \delta_\alpha + \tau \delta_\alpha). \quad (*)$$

Since $\sigma_1 \mu - \delta_\alpha$ and δ_α are dominant, $|\mu - \sigma_1^{-1} \delta_\alpha| < |\mu|$. Suppose $|\mu - \sigma_1^{-1} \delta_\alpha + \tau \delta_\alpha| \geq |\mu|$. Then $\sigma_1 \mu - \delta_\alpha$ and $\sigma_1 \tau \cdot \delta_\alpha$ lie in a common Weyl chamber, by an easy argument. Now $\sigma_1 \mu - \delta_\alpha$ annihilates only those roots not in A . Write $W(B-A)$ for the Weyl group generated by those roots. Then there is some $\sigma_2 \in W(B-A)$ such that $\sigma_2(\sigma_1 \tau \cdot \delta_\alpha)$ is dominant; so

$$\sigma_1 \tau \cdot \delta_\alpha = \sigma_2 \cdot \delta_\alpha = \delta_\alpha,$$

since δ_α also annihilates those roots not in A . So $\tau \cdot \delta_\alpha = \sigma_1^{-1} \delta_\alpha$, and $\mu - \sigma_1^{-1} \delta_\alpha + \tau \cdot \delta_\alpha = \mu$. Thus $(*)$ may be written as

$$c(\mu) = \sum_{|\mu'| < |\mu|} a_i c(\mu'_i),$$

which implies that $c(\mu) = 0$ by induction.

So we may assume that $\frac{2\langle \alpha, \sigma_1 \cdot \mu \rangle}{\langle \alpha, \alpha \rangle} = \chi_A(\alpha)$, i.e. that $\sigma_1 \mu = \delta_A$. Set $\sigma = \sigma_1^{-1}$, so that $\mu = \sigma \cdot \delta_A$. Modifying σ on the right by an element of $W(B-A)$ changes nothing; so we may assume that $S_\sigma \cap (B-A)$ is empty, i.e. that $\tau(\sigma) \subseteq A$. If $\tau(\sigma) = A$, then $\mu = p_\sigma$, and $c(\mu) = 0$ by hypothesis. Otherwise set $C = A - \tau(\sigma)$. We may assume by induction that $c(\sigma' \delta_A) = 0$ whenever $\langle \sigma' \delta_A, \rho \rangle < \langle \sigma \delta_A, \rho \rangle$. We have

$$c(\sigma \cdot \delta_{\tau(\sigma)}) = \frac{1}{|W|} \sum_{s \in W} c(\sigma \cdot \delta_{\tau(\sigma)} + (\sigma \cdot s) \cdot \delta_C). \quad (**)$$

Just as before, $|\sigma \cdot \delta_{\tau(\sigma)}| < |\mu|$. Suppose

$$|\sigma \cdot \delta_{\tau(\sigma)} + (\sigma \cdot s) \cdot \delta_C| \geq |\mu| = |\delta_{\tau(\sigma)} + \delta_C|.$$

Then $\delta_{\tau(\sigma)}$ and $s \cdot \delta_C$ lie in a common Weyl chamber as before. Hence there is an element $s_1 \in W(B-A)$ such that $s \cdot \delta_C = s_1 \cdot \delta_C$. Modifying s_1 on the right by an element of $W(B-A)$, we may assume that $\tau(s_1) \subseteq C$. We have

$$\begin{aligned} \sigma \cdot \delta_{\tau(\sigma)} + (\sigma \cdot s) \cdot \delta_C &= (\sigma s_1)(\delta_{\tau(\sigma)} + \delta_C) \\ &= (\sigma s_1)(\delta_A). \end{aligned}$$

To complete the proof, it is enough to show that if $\sigma s_1(\delta_A) \neq \sigma \delta_A$, then $\langle \sigma s_1(\delta_A), \rho \rangle < \langle \sigma \delta_A, \rho \rangle$; for then we can argue by rearranging $(**)$ as in the first case. If $\sigma s_1(\delta_A) \neq \sigma \delta_A$, then since $s_1(\delta_{\tau(\sigma)}) = \delta_{\tau(\sigma)}$, we must have $s_1 \delta_C \neq \delta_C$. Since $s_1 \in W(B-A)$, it follows that $s_1 \delta_C = \delta_C - Q$, with Q a nonempty sum of roots in $B - \tau(\sigma)$. By definition of $\tau(\sigma)$, $\sigma \cdot Q$ is then a nonempty sum of positive roots. So

$$\langle \sigma s_1 \delta_A - \sigma \delta_A, \rho \rangle = \langle -\sigma Q, \rho \rangle < 0. \quad \text{Q.E.D.}$$

If Θ is a character, write $\dim \Theta$ for the maximum of the dimensions of the irreducible constituents of Θ .

Corollary 4.4. *Let Θ be a character with nonsingular (dominant) infinitesimal character λ ; write $\Theta = \Theta(\lambda)$. Let $\{\Theta(\lambda + \tilde{\mu}) | \tilde{\mu} \text{ a weight of a finite dimensional representation}\}$ be the associated coherent family. Put $d = \dim \Theta$. Then there is a harmonic polynomial c on $\mathfrak{h}^*$ such that if μ is the differential of $\tilde{\mu}$,*

$$c(\lambda + \mu) = C_d(\Theta(\lambda + \tilde{\mu})).$$

To complete the proof of Theorem 1.1, we need the following result of Casselman (which is apparently unpublished, but fairly well known).

Lemma 4.5 (Casselman). *Let X be a $\mathfrak{Z}(\mathfrak{g})$ -finite compatible $(\mathfrak{g}, K)$ module, and let $\mathfrak{b} \subseteq \mathfrak{g}$ be the Borel subalgebra defined at the beginning of this section (using an Iwasawa decomposition of G). Let $X_{\mathfrak{b}}^*$ denote the $\mathfrak{b}$ -finite vectors in the dual of X . Then $X_{\mathfrak{b}}^*$ is finitely generated, and the canonical pairing between X and $X_{\mathfrak{b}}^*$ is nonsingular.*

Corollary 4.6. *In the setting of 4.5, suppose X is irreducible, and G is connected. Then there is a nonsingular pairing between X and some irreducible b -highest weight module $L(\lambda)$.*

Proof. Obviously X^* has some irreducible submodule $L(\lambda)$. The pairing between X and $L(\lambda)$ is non-zero, and hence nonsingular since X is irreducible for $\mathfrak{g}$. Q.E.D.

Corollary 4.7. *If X is an irreducible $(\mathfrak{g}, K)$ module, then $\dim(U(\mathfrak{g})/\text{Ann } X) = 2 \dim X$.*

Proof. If G is connected, this follows from 3.1, 3.4, and 4.6. In general, let K_0 denote the identity component of K . Obviously

$$X = X_1 \oplus \cdots \oplus X_r$$

as a $(\mathfrak{g}, K_0)$ module, with X_i irreducible, and all X_i conjugate by elements of $\text{Ad}(\mathfrak{g})$ normalizing $\mathfrak{k}$. (Any element of $\text{Ad}(\mathfrak{g})$ normalizing $\mathfrak{k}$ obviously acts on the set of $(\mathfrak{g}, \mathfrak{k})$ modules. The elements we need are those in $\text{Ad}(K)$.) Thus $\dim(X_i) = \dim(X_j)$ for all i and j , so $\dim(X_i) = \dim(X)$. Now $\text{Ann}(X_i)$ is a two sided ideal in $U(\mathfrak{g})$, and is therefore stable under $\text{Ad}(\mathfrak{g})$; so $\text{Ann}(X_i) = \text{Ann}(X)$, for any i . Hence $\dim(U(\mathfrak{g})/\text{Ann } X) = \dim(U(\mathfrak{g})/\text{Ann } X_i) = 2 \dim X_i = 2 \dim X$. Q.E.D.

Theorem 1.1 now follows from 4.4 and 4.7. We close this section with some related results. Let X be an irreducible compatible $(\mathfrak{g}, K)$ module, with infinitesimal character $\lambda \in \bar{C}$. By [11], 6.18, there is a unique coherent family $\Theta(\lambda + \bar{\mu})$ ($\bar{\mu}$ a weight of a finite dimensional representation) such that $\Theta(\lambda) = \Theta(\lambda + \bar{\mu})$ and $\Theta(\lambda + \bar{\mu})$ is the character of an irreducible representation whenever $\lambda + \bar{\mu} \in \bar{C}$. The constituents of $\Theta(\lambda + \bar{\mu})$ are obtained from X by tensoring with finite dimensional representations and taking subrepresentations, so $\dim(\Theta(\lambda + \bar{\mu})) \leq \dim X = d$. So we obtain a canonical harmonic polynomial c associated to X , with $c_\lambda(X) = c(\lambda)$. Let

$$A_\lambda = \left\{ \alpha \in \Delta(\mathfrak{g}, \mathfrak{k}) \mid \frac{2 \langle \alpha, \lambda \rangle}{\langle \alpha, \alpha \rangle} \in \mathbb{Z} \right\},$$

and let C_λ be the associated Weyl chamber. By [11], Theorem 5.20, $\Theta(\lambda + \bar{\mu})$ is the character of an irreducible representation $(X(\lambda + \bar{\mu}))$ whenever $\lambda + \bar{\mu} \in \bar{C}_\lambda$. If $X(\lambda + \bar{\mu}) \neq 0$, the remarks above imply that $\dim(X(\lambda + \bar{\mu})) = d$, so $c(\lambda + \bar{\mu}) > 0$. Let B_λ be the set of simple roots for the positive system $A_\lambda^+ = A^+ \cap A_\lambda$. The Borho-Jantzen-Duflo τ -invariant of X is a subset of B_λ defined by

$$\tau(X) = \{ \alpha \in B_\lambda \mid X(\lambda + \bar{\mu}) = 0 \text{ for all } \lambda + \bar{\mu} \in \bar{C}_\lambda \text{ such that } \langle \alpha, \lambda + \bar{\mu} \rangle = 0 \}$$

(cf. [2]; the definition in [4] is different). Then $\tau(X)$ depends only on $\text{Ann } X$. Because of the remarks above, this definition can be reformulated as

$$\tau(X) = \{ \alpha \in B_\lambda \mid c(\lambda + \bar{\mu}) = 0 \text{ for all } \lambda + \bar{\mu} \in \bar{C}_\lambda \text{ such that } \langle \alpha, \lambda + \bar{\mu} \rangle = 0 \}.$$

Set $p_\lambda(\lambda) = \langle \alpha, \lambda \rangle$; then since c is a polynomial, we have

$$\tau(X) = \{ \alpha \in B_\lambda \mid p_\alpha \text{ divides } c \}.$$

Suppose $\alpha \in \tau(X)$. By [11], Theorem 5.20, we have for $\lambda + \bar{\mu} \in C_\lambda$,

$$\Theta(\lambda + \bar{\mu}) = -\Theta(s_\alpha(\lambda + \bar{\mu})).$$

(If $\frac{2 \langle \alpha, \lambda + \bar{\mu} \rangle}{\langle \alpha, \alpha \rangle} = n$, $s_\alpha(\lambda + \bar{\mu})$ simply means $\lambda + (\bar{\mu} - n\alpha)$, which makes sense since $\bar{\mu} - n\alpha$ is a weight of finite dimensional representation.) Thus

$$c(\lambda + \bar{\mu}) = -c(s_\alpha(\lambda + \bar{\mu})).$$

Now W acts on polynomials on $\mathfrak{h}^*$ by

$$(\sigma \cdot p)(\gamma) = p(\sigma^{-1} \gamma),$$

so this condition may be written as $s_\alpha \cdot c = -c$. Suppose on the other hand that $\alpha \notin \tau(X)$. By [11], Corollary 6.17, if $\lambda + \bar{\mu} \in C_\lambda$,

$$\Theta(s_\alpha(\lambda + \bar{\mu})) = \Theta(\lambda + \bar{\mu}) + \Theta_0(\lambda + \bar{\mu})$$

with $\Theta_0(\lambda + \bar{\mu})$ the character of a representation. We call $\Theta_0(\lambda + \bar{\mu})$ the α -trash term; if Y is any constituent of $\Theta_0(\lambda + \bar{\mu})$, then $\alpha \in \tau(Y)$ (cf. the remarks after Theorem 5.20 in [11]). In particular, $c(s_\alpha(\lambda + \bar{\mu})) \geq c(\lambda + \bar{\mu})$ for $\lambda + \bar{\mu} \in C_\lambda$, so $s_\alpha \cdot c \neq -c$. Thus

$$\tau(X) = \{ \alpha \in B_\lambda \mid s_\alpha \cdot c = -c \}.$$

These ideas have several amusing consequences. For example,

Proposition 4.8. *Suppose α and β are adjacent simple roots of the same length in B_λ , $\alpha \in \tau(X)$, and $\beta \notin \tau(X)$. Then the β -trash term has Gelfand-Kirillov dimension $d = \dim X$.*

Proof. As constituents of the coherent continuation of X , the constituents of the β -trash term have Gelfand-Kirillov dimension $\leq d$. Suppose strict inequality holds. Then if we write

$$\Theta(s_\beta(\lambda + \bar{\mu})) = \Theta(\lambda + \bar{\mu}) + \Theta_0(\lambda + \bar{\mu}),$$

with $\Theta_0(\lambda + \bar{\mu})$ the β -trash term, we have $c_\beta(\Theta_0(\lambda + \bar{\mu})) = 0$, so that $c(s_\beta(\lambda + \bar{\mu})) = c(\lambda + \bar{\mu})$. Hence $s_\beta \cdot c = c$. Since $\alpha \in \tau(X)$, $s_\alpha \cdot c = -c$. Let W_1 be the Weyl group generated by s_α and s_β . Then W_1 acts on c by scalars. But $W_1 \cong S_3$, the permutation group on three elements; so its only one dimensional representations are the trivial representation and the sign representation, and clearly the action on c is neither of these. This contradiction proves the result. Q.E.D.

Among the annihilators of irreducible representations of $\mathfrak{g}$ with infinitesimal character λ , there are a largest and a smallest, I_0 and I_1 ; representations with these annihilators are characterized by the property $\tau(X) = B_\lambda$ or $\tau(X) = \emptyset$.

respectively. Put $r = |\lambda^+|$, $r_\lambda = |\lambda_\lambda^+|$; then

$$\dim(U(\mathfrak{g})/I_0) = 2r - 2r_\lambda$$

$$\dim(U(\mathfrak{g})/I_1) = 2r$$

(see [7], Corollary 3.5).

Proposition 4.9. *With notation as above, suppose X is an irreducible $(\mathfrak{g}, K)$ module with infinitesimal character $\lambda \in \bar{C}$; define the harmonic polynomial c as above.*

- a) *If $\tau(X) = \emptyset$, then c is constant.*
- b) *If $\tau(X) = B_\lambda$, then c is divisible by the dimension function $\prod_{\alpha \in \lambda_\lambda^+} p_\alpha = \delta_\lambda$ of Δ_λ .*

Proof. For (a), suppose $\lambda + \mu \in C$. By the proof of Theorem 6.18 of [11], for any $w \in W_\lambda$,

$$\Theta(w(\lambda + \tilde{\mu})) = \Theta(\lambda + \tilde{\mu}) + \Theta_0;$$

and each constituent Y of Θ_0 satisfies $\tau(Y) \neq B_\lambda$. Hence $\text{Ann}(Y) \neq I_1$. The proof of Lemma 6.6 of [6] shows that $\dim Y < r = \dim X$. Hence $\dim \Theta_0 < r$, so $c_1(\Theta_0) = 0$, and

$$c(w(\lambda + \mu)) = c(\lambda + \mu).$$

It follows that $w \cdot c = c$ for all $w \in W_\lambda$. We have seen that $c(\lambda + \mu) \geq 0$ whenever $\lambda + \mu \in \bar{C}_\lambda$ (with μ a weight of a finite dimensional representation). Now let $\tilde{c}$ be the highest degree term of c . Then it follows that $\tilde{c}$ is a non-negative harmonic polynomial, and hence a constant.

For (b), we have seen that $s_\alpha \cdot c = -c$ whenever $\alpha \in B_\lambda$; it follows that c transforms according to the sign representation of W_λ . Hence $c = c_1 \delta_\lambda$, with c_1 a W_λ -invariant polynomial. Q.E.D.

Conjecture 4.10. *If X is an irreducible $(\mathfrak{g}, K)$ module of Gelfand-Kirillov dimension d , and c is the harmonic polynomial associated to X as above, then c is homogeneous of degree $|\lambda^+| - d$, and harmonic with respect to W_λ .*

All of the results of this section can be formulated and proved for highest weight modules without essential change.

5. Asymptotics of $N_X(t)$

We turn now to Theorem 1.2 and related matters; we continue to assume that G is reductive, as in Section 4. Recall that Ω is the Casimir operator of $\mathfrak{t}$. If X is a semisimple representation of K and $t > 0$, we define $X_{(t)}$ to be the span of the eigenspaces of Ω in X with eigenvalue at most t^2 , and put $N_X(t) = \dim X_{(t)}$. Our goal is to study the asymptotics of N_X . Clearly N_X can be defined in the Grothendieck group. To motivate the results below, we begin with a conjecture.

Conjecture 5.1. *Suppose X is an irreducible $(\mathfrak{g}, K)$ module with infinitesimal character $\lambda \in \bar{C}$. Embed $\Theta(\lambda) = \Theta(\lambda)$ in a coherent family of characters as in the*

last part of Section 4. Then there is a homogeneous harmonic polynomial $\tilde{c}$ on $\mathfrak{h}^*$, of degree $|\lambda^+| - \dim X$, such that $\tilde{c}(\lambda) \neq 0$ and $\lim_{t \rightarrow \infty} (t^{-\dim X} N_{\Theta(\lambda + \mu)}(t)) = \tilde{c}(\lambda + \mu)$ whenever $\tilde{\mu}$ is a weight of a finite dimensional representation.

Our results fall far short of this, unfortunately. All of the sharp asymptotic results rely on

Proposition 5.2. (Wallach [13]). *Let $K^0 \subseteq K$ be a closed subgroup, and F a finite dimensional representation of K^0 of dimension f . Put $d = \dim K/K^0$, $X = \text{Ind}_{K^0}^K F$. Then $N_X(t) \sim C(K, K^0) \cdot f \cdot t^d$.*

(We have replaced Wallach's (G, K, Γ) by $(K, K^0, \{1\})$. Although Wallach's hypotheses no longer hold, his proof still applies, and in fact simplifies substantially. The referee has pointed out that such results have been known for a very long time—see for example [15]. I would like to thank J. Rosenberg for bringing these ideas to my attention.) The proof is by heat equation methods—a representation-theoretic proof might be very enlightening.

Corollary 5.3. *Let $P_1 = M_1 A_1 N_1$ be a parabolic subgroup of G , $\delta \in \hat{M}_1$ a finite dimensional representation, and $v \in \hat{A}_1$ a (non-unitary) character. Put $X = \text{Ind}_{P_1}^G \delta \otimes v \otimes 1$. (Here and henceforth, Ind is used to mean the K -finite vectors in the induced representation.)*

$$N_X(t) \sim c(P_1)(\dim \delta) t^{d \dim N_1}.$$

Proof. We only need to observe that

$$X|_K = \text{Ind}_{M_1 \cap K}^K (\delta|_{M_1 \cap K}),$$

and that $\dim K/M_1 \cap K = \dim N_1$. Q.E.D.

Proposition 5.4 (*G reductive*). *Let X be a finitely generated $U(\mathfrak{g})$ module, semisimple for $\mathfrak{t}$; put $d = \dim X$. Then there is a constant $A > 0$ depending only on $\mathfrak{g}$, such that for all sufficiently large t ,*

$$N_X(t) \geq A c(X) t^d.$$

Proof. Fix a finite dimensional $\mathfrak{t}$ -invariant generating subspace $X_0 \subseteq X$, and set $X_n = U_n(\mathfrak{g}) X_0$. Recall that $\mathfrak{p}$ is the orthogonal complement of $\mathfrak{t}$ in X ; let $S_{(n)}(\mathfrak{p})$ be the space of polynomials of degree at most n in $\mathfrak{p}$. Since X_0 is $\mathfrak{t}$ -invariant, X_n is isomorphic to a quotient of $S_{(n)}(\mathfrak{p}) \otimes X_0$ as a $\mathfrak{t}$ module. Fix a Cartan subalgebra $\mathfrak{t}$ of $\mathfrak{t}$. Let c_1 be the length of the longest weight of $\mathfrak{t}$ in $\mathfrak{p}$, and c_2 the length of the longest weight of $\mathfrak{t}$ in X_0 . Then the longest weight of $\mathfrak{t}$ in $S_{(n)}(\mathfrak{p}) \otimes X_0$ has length at most $c_2 + n c_1$. So the greatest eigenvalue of Ω in $S_{(n)}(\mathfrak{p}) \otimes X_0$ is at most $c_3(c_2 + n c_1)^2 + c_4(c_2 + n c_1)$, for some positive constants c_3 and c_4 depending only on $\mathfrak{t}$. So if $B > c_1 c_3$, we have

$$X_n \subseteq X_{(Bn)}$$

for all sufficiently large n . The proposition follows. Q.E.D.

Proposition 5.5 (*G reductive*). *Let X be a finitely generated, $\mathfrak{Z}(\mathfrak{g})$ -finite $U(\mathfrak{g})$ module, semisimple for $\mathfrak{t}$; put $d = \dim X$. Then there is a constant $B > 0$, depending*

only on $\mathfrak{g}$, such that for all sufficiently large t ,

$$N_X(t) \leq B c(X) t^r.$$

Proof. We would like to reverse the argument given for Proposition 5.4; this would amount to showing that small representations of $\mathfrak{t}$ cannot occur in $S_n(\mathfrak{p})$ for large n . Such a statement is false; but it can be modified along the following lines: let J be the space of $\mathfrak{t}$ -invariant polynomials on $\mathfrak{p}$, and let H be the space of harmonic polynomials on $\mathfrak{p}$. (These are the polynomials annihilated by all $\mathfrak{t}$ -invariant differential operators on $\mathfrak{p}$ without constant term — for details see [8].) Then by a theorem of Kostant and Rallis, $S(\mathfrak{p}) \cong H \otimes J$. Choose a finite dimensional $\mathfrak{t}$ -invariant, $U(\mathfrak{g})^{\mathfrak{t}}$ -invariant generating subspace X_0 of X . Let H_n be the space of harmonic polynomials of degree n . Under the identification $U(\mathfrak{g}) \cong S(\mathfrak{p}) \otimes U(\mathfrak{t})$, J is identified with a subspace of $U(\mathfrak{g})^{\mathfrak{t}}$. It follows that, as a $\mathfrak{t}$ module, X_n/X_{n-1} may be identified with a submodule of $H_n \otimes_{\mathbb{C}} X_0$. We claim that for some constant a_1 depending only on $\mathfrak{g}$, and all large t ,

$$X_0 \subseteq X_{a_1 t}.$$

We will specify a_1 in a moment; this will prove the proposition. Suppose not. Then there is an integer $m > a_1 t$, and a $\mathfrak{t}$ -type π_1 occurring in X_0 , such that π_1 also occurs in X_m/X_{m-1} , and hence in $H_m \otimes X_0$. Let γ_1 be an extremal weight of π_1 . Since π_1 occurs in X_0 , $|\gamma_1| \leq a_2 t$, for some constant a_2 depending only on $\mathfrak{t}$. Let a_3 be the length of the longest weight of $\mathfrak{t}$ in X_0 . Since π_1 occurs in $H_m \otimes X_0$, H_m has a $\mathfrak{t}$ -type π_2 in common with $\pi_1 \otimes X_0^*$; for

$$0 \neq \text{Hom}_{\mathfrak{t}}(\pi_1, H_m \otimes X_0) \cong \text{Hom}_{\mathfrak{t}}(\pi_1 \otimes X_0^*, H_m).$$

By a standard fact about tensor products, π_2 has an extremal weight of the form $\gamma_2 = \gamma_1 + \gamma_0$, with γ_0 a weight of X_0 ; so $|\gamma_2| \leq a_2 t + a_3$.

Lemma 5.6. *There is a constant $a_4 > 0$ such that if a representation π_2 of $\mathfrak{t}$ with extremal weight γ_2 occurs in H_m , then $|\gamma_2| \geq a_4 m$.*

Assume this lemma for a moment. Then we have

$$a_2 t + a_3 \geq |\gamma_2| \geq a_4 m > a_1 a_4 t.$$

If we choose $a_1 > a_2 a_4^{-1}$, this is impossible for large t , proving the proposition.

Proof of Lemma 5.6. Let $\{q_1, q_2, \dots, q_r\}$ be the set of θ -invariant Borel subalgebras of $\mathfrak{g}$ containing $\mathfrak{t}$; let n_i be the nil radical of q_i . Choose elements $\{t_1, \dots, t_r\}$ in $\mathfrak{t}$, and a constant $b_1 > 0$ so that if α is a weight of $\mathfrak{t}$ in n_i , then $\alpha(t_i) \geq b_1$; this is certainly possible. By the Schwarz inequality, there is a constant $b_2 > 0$ so that if $\gamma \in \mathfrak{t}^*$, $|\gamma| \geq b_2 |\gamma(t_i)|$ for all i .

Now H_m is generated as a $\mathfrak{t}$ module by the various $S_m(n_i \cap \mathfrak{p})$ (see [8]). So the representation π_2 of the lemma contains some weight γ occurring in $S_m(n_i \cap \mathfrak{p})$. By the preceding paragraph, $\gamma(t_i) > m b_1$, so $|\gamma| \geq m b_1 b_2$. The longest weights of any representation of $\mathfrak{t}$ are the extremal weights, so $|\gamma| \leq |\gamma_2|$. The lemma follows, with $a_4 = b_1 b_2$. Q.E.D.

Theorem 1.2 follows from 5.4 and 5.5.

Proposition 5.7. *Let X be an irreducible $(\mathfrak{g}, K)$ module. Then $\dim X \leq \dim N$ (here $G = KAN$ is an Iwasawa decomposition of G).*

Proof. Recall the minimal parabolic subgroup $P = MAN$ of G described at the beginning of Section 4. By Harish-Chandra's subquotient theorem, there is an irreducible representation $\delta \in \hat{M}$ and a character $v \in \hat{A}$ such that X is a constituent of $Y = \text{Ind}_P^G \delta \otimes v \otimes 1$. By Corollary 5.3,

$$N_X(t) \leq N_Y(t) \sim c t^{\dim N}.$$

The proposition now follows from Theorem 1.2 Q.E.D.

Corollary 5.8. *Let X be an irreducible $(\mathfrak{g}, K)$ module. If $\text{Ann } X$ is the minimal ideal described before Proposition 4.9, then G is quasplit (i.e. P is a Borel subgroup of G , and MA is abelian).*

6. Large Representations

Definition 6.1. The irreducible $(\mathfrak{g}, K)$ module X is called large if its annihilator is the minimal primitive ideal, i.e. $\tau(X) = \mathfrak{g}$.

By Corollary 5.8, large representations exist only for quasplit groups. Recall that for these groups, $MA = H$ is a maximally split Cartan subgroup. The following theorem was suggested in part by B. Speh; related results for complex groups have been obtained by M. Duflo in [4].

Theorem 6.2. *Suppose G is quasplit. Let X be an irreducible $(\mathfrak{g}, K)$ module. (As always, we assume that G is linear and reductive, with abelian Cartan subgroups; it need not be connected.) Set $r = \dim N = |\Lambda^+(\mathfrak{g}, \mathfrak{h})|$. The following conditions are equivalent:*

- X is large.
- $\dim X = r$.
- $c_r(X) = 2^{-r} \cdot a_G$; here s is an integer depending on X , and a_G depends only on G .
- $N_X(t) \sim 2^{-r} \cdot b_G t^r$ as $t \rightarrow \infty$.
- There is a representation $\delta \in \hat{M}$ and a character $v \in \hat{A} \cong \hat{\mathfrak{a}}^*$ such that if $\gamma \in \mathfrak{h}^*$ is the differential of $\delta \otimes v$, then
 - $\text{Re } v$ is dominant with respect to the restricted roots of $\mathfrak{a}$ in $\mathfrak{n}$.
 - If $\alpha \in \Delta^+$ and $\alpha - \theta \alpha$ is a root, then $\frac{2\langle \alpha, \gamma \rangle}{\langle \alpha, \alpha \rangle}$ is not a negative integer.
 - X is infinitesimally equivalent to a subrepresentation of $\text{Inf}_P^G \delta \otimes v \otimes 1$.
- There is a cuspidal parabolic subgroup $P' = M' A' N' \supseteq P$, a representation $\delta' \in \hat{M}'$ in the limits of the discrete series, and a character $v' \in \hat{A}'$, such that the Weyl chamber in the compact Cartan subalgebra of $\mathfrak{m}'$ defined by the Harish-Chandra parameter of δ' has all simple roots noncompact; and X is infinitesimally equivalent to $\text{Ind}_{P'}^G \delta' \otimes v' \otimes 1$. In this case the integer s of (c) and (d) depends only on M' .

Proof. The equivalence of (a) and (b) has been noted in the proof of 4.9, and clearly (d) and (c) imply (a). We will show (e) $\Rightarrow$ (b), (b) $\Rightarrow$ (e), (a) $\Rightarrow$ (f), (f) $\Rightarrow$ (d), and (f) $\Rightarrow$ (c); this will prove the theorem. (The study of (e) is thus unrelated to the rest of the theorem; the reader may skip it without loss.) For $\gamma \in \mathfrak{h}^*$, define

$$A_\gamma = \left\{ \alpha \in \Delta(\mathfrak{g}, \mathfrak{h}) \mid \frac{2\langle \alpha, \gamma \rangle}{\langle \alpha, \alpha \rangle} \in \mathbb{Z} \right\}.$$

If β is a restricted root of $\mathfrak{a}$ in $\mathfrak{n}$, we write s_β for the corresponding simple reflection; s_β acts on $\mathfrak{h}^*$ and $\hat{H}$. Suppose β is a restricted root such that 2β is not a restricted root. Let $\mathfrak{m}^\beta$ be the span of $\mathfrak{h}$ and the root vectors for roots which restrict to $\pm\beta$ and $\pm 2\beta$. Then $\mathfrak{m}^\beta = (\mathfrak{m}^\beta)_{\mathfrak{e}}$; $\mathfrak{m}^\beta$ is a rank one reductive Lie algebra. More precisely, there are three possibilities.

- 1) β is real, and $\mathfrak{m}^\beta \cong \mathfrak{sl}(2, \mathbb{R}) + \text{center}$.
- 2) β is the restriction of a complex root α orthogonal to $\theta\alpha$; and $\mathfrak{m}^\beta \cong \mathfrak{sl}(2, \mathbb{C}) + \text{center}$.
- 3) β is the restriction of a complex root α not orthogonal to $\theta\alpha$; $\alpha - \theta\alpha$ is a real root (namely 2β), and $\mathfrak{m}^\beta \cong \mathfrak{su}(2, 1) + \text{center}$.

In cases (2) and (3), β is simple as a restricted root iff α and $-\theta\alpha$ are simple for Δ^+ . If β is simple, there is a parabolic subgroup $P^\beta = M^\beta A^\beta N^\beta \supseteq P$, with $\mathfrak{m}^\beta$ the Lie algebra of M^β , and $\mathfrak{a}^\beta = \ker \beta \subseteq \mathfrak{a}$.

To study condition (e), we need some facts about principal series representations. Call the restricted root β *totally non-integral* with respect to $\gamma \in \mathfrak{h}^*$ if (in case (1)) $\frac{2\langle \beta, \gamma \rangle}{\langle \beta, \beta \rangle} \notin \mathbb{Z}$, or (in cases (2) and (3)) $\frac{2\langle \alpha, \gamma \rangle}{\langle \alpha, \alpha \rangle} \notin \mathbb{Z}$ for α a complex root of $\mathfrak{h}$ in $\mathfrak{m}^\beta$. It is easy to see that if $\gamma \in \mathfrak{h}^*$ is the differential of a character $\delta \otimes v \in \hat{H}$, then A_γ is θ -invariant.

Lemma 6.3. Fix $\delta \otimes v \in \hat{H}$, with differential γ ; write $\pi(\delta \otimes v) = \text{Ind}_P^G \delta \otimes v \otimes 1$. Let β be a simple restricted root, and $\alpha \in \Delta^+$ a simple root with $\alpha|_{\mathfrak{a}} = \beta$.

- a) If β is totally non-integral with respect to γ , then $\pi(\delta \otimes v) \cong \pi(s_\beta(\delta \otimes v))$ (infinitesimal equivalence).
- b) If $\mathfrak{m}^\beta \cong \mathfrak{sl}(2, \mathbb{C}) + \text{center}$, and $\langle \alpha, \gamma \rangle$ and $\langle -\theta\alpha, \gamma \rangle$ have opposite sign (or one vanishes), then $\pi(\delta \otimes v) \cong \pi(s_\beta(\delta \otimes v))$.

- c) If $\mathfrak{m}^\beta \cong \mathfrak{sl}(2, \mathbb{C})$, and $\frac{2\langle \alpha, \gamma \rangle}{\langle \alpha, \alpha \rangle} = n$ and $\frac{2\langle \theta\alpha, \gamma \rangle}{\langle \alpha, \alpha \rangle}$ are negative integers, set $\delta_\alpha \otimes v_\alpha = \delta \otimes v - n\alpha$; here we consider α as an element of $\hat{H}$, and write the group operation in $\hat{H}$ additively. Then there is an embedding

$$\pi(\delta \otimes v) \hookrightarrow \pi(\delta_\alpha \otimes v_\alpha).$$

Proof. If $\mathfrak{g}_0 \cong \mathfrak{sl}(2, \mathbb{R})$, $\mathfrak{su}(2, 1)$, or $\mathfrak{sl}(2, \mathbb{C})$, the lemma is known. The general case follows using induction by stages (through the parabolic subgroup P^β). Q.E.D.

Proof of (e) $\Rightarrow$ (b). Recall the module X_b^* of b -finite vectors in the dual of X , introduced in Lemma 4.5. Given a representation $\delta \otimes v \in \hat{H}$, we can define a compatible $(\mathfrak{g}, M)$ module (in the obvious sense) as follows: let $\mathbb{C}_{\delta \otimes (v' - \rho)}$ be the one dimensional module for M and $\mathfrak{b} = \mathfrak{h} + \mathfrak{n}$ in which M and $\mathfrak{h}$ act by the

indicated weight, and $\mathfrak{n}$ acts trivially. Define

$$V(\delta' \otimes v') = U(\mathfrak{g}) \otimes_{\mathfrak{b}} \mathbb{C}_{\delta \otimes (v' - \rho)}.$$

By Frobenius reciprocity,

$$(6.4) \quad \text{Hom}_{(\mathfrak{g}, K)}(X, \pi(\delta \otimes v)) \cong \text{Hom}_{(\mathfrak{g}, M)}(V(\delta^* \otimes (-v)), X_b^*).$$

Consider the set L of all $\delta' \otimes v' \in \hat{H}$ such that X is infinitesimally equivalent to a subrepresentation of $\pi(\delta \otimes v)$, and such that the differential γ' of $\delta' \otimes v'$ satisfies the following conditions with respect to each restricted root β of $\mathfrak{a}$ in $\mathfrak{n}$

- L1 If $\mathfrak{m}_\beta^\beta \cong \mathfrak{sl}(2, \mathbb{R}) + \text{center}$, then $\frac{2\langle \gamma', \beta \rangle}{\langle \beta, \beta \rangle}$ is not a negative integer.
- L2 If $\mathfrak{m}_\beta^\beta \cong \mathfrak{sl}(2, \mathbb{C}) + \text{center}$, and $\alpha|_{\mathfrak{a}} = \beta$ for some $\alpha \in \Delta^+$, and $\frac{2\langle \alpha, \gamma' \rangle}{\langle \alpha, \alpha \rangle}$ is a negative integer, then $\langle -\theta\alpha, \gamma' \rangle > 0$.
- L3 If $\mathfrak{m}_\beta^\beta \cong \mathfrak{su}(2, 1) + \text{center}$, and $\alpha|_{\mathfrak{a}} = \beta$ for some $\alpha \in \Delta^+$, then $\frac{2\langle \alpha, \gamma' \rangle}{\langle \alpha, \alpha \rangle}$ is not a negative integer.

It is trivial to check that the element $\delta \otimes v$ given by (e) lies in L ; so L is non-empty. Now choose an element $\delta \otimes v \in L$ so that $\text{Re } v$ is as long as possible. We claim that in this case $\frac{2\langle \alpha, \gamma \rangle}{\langle \alpha, \alpha \rangle}$ is never a negative integer, for any root $\alpha \in \Delta^+$.

For suppose not. Let $R \subseteq \Delta^+$ be the positive root system

$$R = \{ \alpha \in \Delta^+ \mid \langle \alpha, \gamma \rangle > 0 \text{ or } \langle \alpha, \gamma \rangle = 0 \text{ and } \alpha \in \Delta^{+*} \}.$$

Let $\alpha \in \Delta^+$ be a root with $\frac{2\langle \alpha, \gamma \rangle}{\langle \alpha, \alpha \rangle}$ a negative integer. By L1-L3, $\langle -\theta\alpha, \gamma \rangle > 0$. Replacing α by $-\theta\alpha$, we have a root α with $\frac{2\langle \alpha, \gamma \rangle}{\langle \alpha, \alpha \rangle}$ a positive integer, and $2\langle -\theta\alpha, \gamma \rangle$ a negative integer. Write $\alpha = \delta_1 + \dots + \delta_r$, a sum of simple roots in R .

For some i , we must have $\frac{2\langle -\theta\delta_i, \gamma \rangle}{\langle \delta_i, \delta_i \rangle}$ a negative integer. If $\langle \delta_i, \gamma \rangle = 0$, then $-\delta_i \in R$ by L2; so $\frac{2\langle \delta_i, \gamma \rangle}{\langle \delta_i, \delta_i \rangle}$ is a positive integer. Suppose for definiteness that

$\delta_i \in \Delta^{+*}$; the other case is very similar, and is left to the reader. Assume that all choices have been made so that δ_i involves as few simple roots of Δ^+ as possible. We claim that then δ_i is simple. Suppose not; choose a simple restricted root β so that $\langle \beta, \delta_i \rangle_{\mathfrak{a}} > 0$, and write $\delta_i|_{\mathfrak{a}} = d \cdot \beta + \beta'$, with $\beta' = s_\beta(\delta_i)|_{\mathfrak{a}}$. We want to replace γ by $s_\beta \gamma$; this will replace δ_i by $s_\beta \delta_i$, which involves fewer simple roots of Δ^+ than δ_i . This will contradict the choice of γ and δ_i originally made, and prove that δ_i is simple. If β is totally nonintegral with respect to γ , then X embeds in $\pi(s_\beta(\delta \otimes v))$ by Lemma 6.3 (a); and it is easy to check that $s_\beta \gamma$ satisfies L1-L3. So in this case we may in fact replace γ by $s_\beta \gamma$. If $\mathfrak{m}_\beta^\beta \cong \mathfrak{sl}(2, \mathbb{C}) + \text{center}$, and $\alpha|_{\mathfrak{a}} = \beta$ for some $\alpha \in \Delta^+$, then we may as well assume $\frac{2\langle \alpha, \gamma \rangle}{\langle \alpha, \alpha \rangle} = n \in \mathbb{Z}$ (the totally non-integral case having been treated already). If $\langle \alpha, \gamma \rangle$ and $\langle -\theta\alpha, \gamma \rangle$

have opposite sign, then X embeds in $\pi(s_\beta(\delta \otimes v))$ by Lemma 6.3 (b); and $s_\beta \gamma$ satisfies L1-L3. By L2, we may assume $\langle \alpha, \gamma \rangle$ and $\langle -\theta\alpha, \gamma \rangle$ are both non-negative. Either $\langle \alpha, \delta_i \rangle$ or $\langle -\theta\alpha, \delta_i \rangle$ is positive; assume for definiteness that the first holds. Then $\delta_i = t\alpha + \alpha'$, for $\alpha' = s_\alpha \delta_i$. Since $\alpha \in \Delta_+$ and $\delta_i \in \Delta_+$, $\alpha' \in \Delta_+$. But $\langle \alpha, \gamma \rangle \geq 0$, and $\alpha \in \Delta^+$, so $\alpha \in R$. By the simplicity of δ_i in R , $\langle \alpha', \gamma \rangle < 0$. On the other hand, $-\theta\delta_i = -t\theta\alpha - \theta\alpha'$. By hypothesis, $\langle -\theta\delta_i, \gamma \rangle < 0$ and $\langle -\theta\alpha, \gamma \rangle \geq 0$; so $\langle -\theta\alpha', \gamma \rangle < 0$. Thus α' and $-\theta\alpha'$ are positive, and $\frac{2\langle \alpha', \gamma \rangle}{\langle \alpha', \alpha' \rangle}$ and $\frac{2\langle -\theta\alpha', \gamma \rangle}{\langle \alpha', \alpha' \rangle}$ are negative integers; this contradicts L2 and L3. This contradiction proves that $m_\beta^\theta \neq s(2, \mathbb{C}) + \text{center}$. If $m_\beta^\theta \cong s(2, 1) + \text{center}$, an identical argument produces a contradiction; in this case $\langle \alpha, \gamma \rangle$ and $\langle -\theta\alpha, \gamma \rangle$ are non-negative by L3. If $m_\beta^\theta \cong s(2, \mathbb{R}) + \text{center}$, we may assume as before that $\frac{2\langle \beta, \gamma \rangle}{\langle \beta, \beta \rangle}$ is an integer, which

is non-negative by L1. Then $\delta_i = d \cdot \beta + \alpha'$, with $\alpha' = s_\beta \delta_i$; as before $\alpha' \in \Delta_+$. Now $\beta \in R$, so $\alpha' \notin R$ by the simplicity of δ_i in R ; so $\langle \alpha', \gamma \rangle < 0$. On the other hand, $-\theta\delta_i = d \cdot \beta - \theta\alpha'$; so $\langle -\theta\alpha', \gamma \rangle < 0$ as before, contradicting L2 and L3. Hence δ_i is in fact simple in Δ^+ . Set $\beta = \delta_i|_{\mathfrak{a}}$. By Lemma 6.3 (b) and a simple argument like those already given, we may replace γ by $s_\beta \gamma$; this makes $-\delta_i$ a simple root for Δ^+ . (If we had considered the case $-\delta_i \in \Delta^+$ earlier, we would have ended up with this same situation.) We now take $-\delta_i = \alpha$ in Lemma 6.3 (c). Put $n = \frac{2\langle \gamma, \delta_i \rangle}{\langle \delta_i, \delta_i \rangle}$, $\delta' \otimes v = \delta \otimes v - n\delta_i$, and let γ' be the differential of $\delta' \otimes v$. We would like to say that $\delta' \otimes v' \in L$. This is not quite true. By Lemma 6.3 (c), X embeds in $\pi(\delta' \otimes v)$. We claim that γ' satisfies L1 and L3, and

L2': If $m_\beta^\theta \cong s(2, \mathbb{C}) + \text{center}$, and $x|_{\mathfrak{a}} = \beta$ for some $x \in \Delta^+$, and $\frac{2\langle x, \gamma' \rangle}{\langle x, x \rangle}$ is a negative integer, then $\langle -\theta x, \gamma' \rangle \geq 0$.

We will verify only L2'. Now $\gamma' = s_\beta \gamma$; so $s_\beta \alpha \in \Delta_+$, and $\langle s_\beta \alpha, \gamma' \rangle < 0$. Hence $s_\beta \alpha \in -R$. Since $\alpha \neq \delta_i$ (for $\delta_i \notin \Delta^+$), and δ_i is simple for R , $\alpha \in -R$. Since $\alpha \in \Delta^+$, $\langle \alpha, \gamma' \rangle < 0$. By L2, $\langle -\theta\alpha, \gamma' \rangle > 0$, so $-\theta\alpha \in R$. Since $-\theta\alpha \neq \delta_i$, $s_{\delta_i}(-\theta\alpha) \in R$, so $\langle -\theta\alpha, \gamma' \rangle \geq 0$; this proves L2'.

Let $\delta'' \otimes v' \in \tilde{H}$ be chosen so that $\text{Re}\langle v', \rho \rangle$ is as large as possible with respect to the conditions

- 1) X embeds in $\pi(\delta'' \otimes v')$;
- 2) The differential γ'' of $\delta'' \otimes v'$ satisfies L1, L3, and L2'; and
- 3) $|\text{Re } v'| = |\text{Re } v'|$.

We claim that $\delta'' \otimes v' \in L$. It is enough to show that $\text{Re } v'$ is dominant; for then L2' easily implies L2. Suppose not; let β be a simple restricted root with $\text{Re}\langle \beta, v' \rangle < 0$. By L1, L2', and L3, either β is totally nonintegral with respect to γ , or (with $\alpha \in \Delta^+$, $\alpha|_{\mathfrak{a}} = \beta$) $m_\beta^\theta \cong s(2, \mathbb{C}) + \text{center}$, and $\langle \alpha, \gamma' \rangle$ and $\langle -\theta\alpha, \gamma' \rangle$ have opposite sign (or one vanishes). By Lemma 6.3 (a) and (b), X embeds in $\pi(s_\beta(\delta'' \otimes v'))$; it is easy to verify that $s_\beta \gamma'$ satisfies L1, L2', and L3; and $|\text{Re } v'| = |\text{Re } s_\beta v'|$. But $\text{Re}\langle s_\beta v', \rho \rangle > \text{Re}\langle v', \rho \rangle$, a contradiction. So $\delta'' \otimes v' \in L$. But $|\text{Re } v'| = |\text{Re } v'|$; and it is easy to compute that $|\text{Re } v'| > |\text{Re } v|$ (cf. [11], Corollary 6.3). This contradicts the choice of γ (with $|\text{Re } v|$ maximal), proving our claim

that $\frac{2\langle \alpha, \gamma \rangle}{\langle \alpha, \alpha \rangle}$ is never a negative integer. It follows that the Verma module $V(\delta^* \otimes (-v))$ is irreducible. Since we have a non-zero map

$$X \hookrightarrow \pi(\delta \otimes v),$$

(6.4) provides an embedding of $V(\delta^* \otimes (-v))$ in X^* . By Lemma 3.4, $\text{Dim } X = \text{Dim } V(\delta^* \otimes (-v))$, which is r by Lemma 2.3. This proves (e) $\Rightarrow$ (b).

Proof of (b) $\Rightarrow$ (e). By the Casselman-Jacquet subrepresentation theorem, we can find some $\delta \otimes v \in \tilde{H}$ so that X embeds in $\pi(\delta \otimes v)$. Choose such $\delta \otimes v$ with differential γ so that $\text{Re}\langle \gamma, \rho \rangle$ is as large as possible. To simplify the notation we will write $V(-\gamma)$ for $V(\delta^* \otimes (-v))$. By (6.4), we can find a non-zero map $V(-\gamma) \rightarrow X^*$; write $\tilde{V}(-\gamma)$ for the image. We claim $\tilde{V}(-\gamma) \rightarrow V(-\gamma) \subseteq X^*$. By (6.4), not; then there is some $\gamma' \neq \gamma$ and a non-zero map $V(-\gamma') \rightarrow V(-\gamma) \subseteq X^*$. But clearly $\gamma' = \gamma + 2n_i\alpha_i$, with $\alpha_i \in \Delta^+$ and $n_i \geq 0$; so $\text{Re}\langle \gamma', \rho \rangle > \text{Re}\langle \gamma, \rho \rangle$, a contradiction. We have a non-zero pairing between X and $\tilde{V}(-\gamma)$; since both of these are irreducible, it is nonsingular. By Lemma 3.6, $\text{Dim } X = \text{Dim } \tilde{V}(-\gamma)$. Now the irreducible quotient of a reducible Verma module has Gelfand-Kirillov dimension strictly less than r (see for example [6], Proposition 6.5); so since $\text{Dim } X = r$, $\tilde{V}(-\gamma) \cong V(-\gamma)$ is irreducible. Thus $\frac{2\langle \alpha, \gamma \rangle}{\langle \alpha, \alpha \rangle}$ is not a negative integer for any $\alpha \in \Delta^+$. To show that $\delta \otimes v$ satisfies the conditions of (e), it remains only to verify that $\text{Re } v$ is dominant. A similar statement was proved above at the end of the proof that (c) $\Rightarrow$ (b); the same proof works here. This proves (b) $\Rightarrow$ (e).

Proof of (a) $\Rightarrow$ (f). Let X be an irreducible $(\mathfrak{g}, K)$ module with $\tau(X) = \emptyset$. We want to show that X is of the form specified by (f). A simple argument using Zuckerman's "periodicity" and the Borho-Jantzen "translation principle" (cf. [2], Theorem 2.12, and [11], Corollary 5.12 and Theorem 5.15) shows that we may assume the infinitesimal character $\lambda \in \mathfrak{h}^*$ of X to be nonsingular; we may as well take $\lambda \in C$. By the Langlands classification theorem, there is a parabolic subgroup $P' = M'A'N'$ containing P , a discrete series representation $\delta \in M'$, and a character $v \in A'$, so that X is infinitesimally equivalent to the Langlands quotient $\pi(\delta \otimes v)$ of $\pi(\delta \otimes v) = \text{Ind}_{P'}^G \delta \otimes v \otimes 1$. Suppose $\pi(\delta \otimes v)$ is reducible. By [11], Theorems 6.15 and 6.16, it is easy to see that $\tau(X)$ is non-empty. (In the terminology of [11], X is α -singular for some α .) This contradicts $\tau(X) = \emptyset$; so $\pi(\delta \otimes v)$ is irreducible, and hence

$$X \cong \pi(\delta \otimes v) \cong \pi(\delta \otimes v).$$

Let $\Theta(\lambda + \tilde{\mu})$ be the coherent family of characters with $\Theta(\lambda) = \Theta(X)$ (defined in Section 4). By Proposition 4.9 (a), $\Theta(\lambda + \tilde{\mu})$ never vanishes. Suppose that in the Weyl chamber defined by the Harish-Chandra parameter for δ , there is a simple compact root. By [11], Corollary 5.12, and the first Hecht-Schmid character identity ([5], Proposition 3.6) we can choose $\tilde{\mu}$ (at least for some covering group of G) so that $\Theta(\lambda + \tilde{\mu}) = 0$; we need only make $\lambda + \mu$ lie on an appropriate root hyperplane. This contradiction completes the proof that (a) $\Rightarrow$ (f).

Proof of (f) $\Rightarrow$ (d). We proceed by downward induction on $\dim A'$. If $\dim A' = \dim A$, then $A = A'$, $P = P'$. Since M is abelian, δ is one dimensional; so the result follows from Corollary 5.3. Suppose then that $A' \neq A$, and that the result is proved for all parabolic subgroups of parabolic rank greater than $\dim A'$. We will need the following lemma, which is of some interest in its own right.

Lemma 6.5. *Let G be a reductive Lie group (not necessarily quasplit), and $P = MAN$ a parabolic subgroup (not necessarily minimal). Let Y be a finitely generated compatible $(m + a, M \cap K)$ module; K is a maximal compact subgroup of G , and the Langlands decomposition of P is chosen so that $M \cap K = P \cap K$. Suppose there is a nonsingular pairing of Y with Y_1 . Put $X = \text{Ind}_P^G(Y \otimes 1)$, $X_1 = U(\mathfrak{g}) \otimes_p Y_1$. (Here p is the Lie algebra of P .) Then there is a nonsingular pairing of X with X_1 . (Here p is the Lie algebra of P .) Then there is a nonsingular pairing of X with X_1 . If Y is $3(m + a)$ -finite, then $\dim X = \dim Y + \dim N$.*

Proof. If $x \in X$, we may consider x as an analytic function on G/MAN with values in Y ; recall that we consider only the K -finite vectors in the induced representation. Thus $x(1) \in Y$; so if $y \in Y_1$, $\langle y, x(1) \rangle$ is well defined. This gives a mapping $Y_1 \hookrightarrow X^*$. The image of Y_1 is annihilated by $\mathfrak{n}$, so this induces a map $X_1 \rightarrow X^*$. We may identify X_1 with $S(\mathfrak{n}^-) \otimes_{\mathbb{C}} Y_1$; here $\mathfrak{p}^- = \mathfrak{m} + \mathfrak{a} + \mathfrak{n}^-$ is the parabolic opposite to $\mathfrak{p}$. Now X may be identified with the K -finite sections of the vector bundle on $K/M \cap K$ defined by $Y|_{M \cap K}$. In this way X_1 is identified with a space of differential operators on this bundle, supported at the identity. From this point of view it is clear that X_1 actually embeds in X^* ; and that any element of X annihilated by X_1 vanishes to infinite order at the identity. Since X consists of analytic functions, such an element is zero. Thus the pairing between X and X_1 is nonsingular. If Y is $3(m + a)$ finite, we may take Y_1 to consist of b -finite vectors (cf. Lemma 4.5), in which case $\dim X = \dim X_1 = \dim Y_1 + \dim N = \dim Y + \dim N$ by Lemma 3.4 and Lemma 2.3. Q.E.D.

Corollary 6.6. *In the setting of 6.5, suppose Y is irreducible but not large. Then no constituent of X is large.*

We return now to our quasplit group G . Let $\{\alpha_1, \dots, \alpha_t\}$ be the simple roots of $A^+(g, h)$; choose corresponding root vectors $X_{\pm \alpha_i}$. If α_i is real, we may assume $X_{\pm \alpha_i} \in \mathfrak{g}_0$; if α_i is complex, then (writing bar for the complex structure of $\mathfrak{g}$) we have $\bar{\alpha}_i = -\theta \alpha_i$, and we may assume $X_{\pm \alpha_i} = X_{\mp \theta \alpha_i}$. Let $\{\beta_1, \dots, \beta_s\}$ be the simple restricted roots. For each subset $B \subseteq \{\beta_1, \dots, \beta_s\}$, we define an automorphism σ_B of G as follows. We first define σ_B on $\mathfrak{g}$: σ_B centralizes $\mathfrak{h}$, and $\sigma_B \cdot X_{\pm \alpha_i} = \varepsilon \cdot X_{\pm \alpha_i}$, here $\varepsilon = 1$ if $\alpha_i|_0 \notin B$, and $\varepsilon = -1$ if $\alpha_i|_0 \in B$. Then it is easy to check that σ_B preserves $\mathfrak{g}_0$. Thus σ_B is the differential of an automorphism σ_B of some linear covering group $\tilde{G}_0$ of G_0 , and preserves the center of $\tilde{G}_0$ pointwise. Hence σ_B actually defines an automorphism of G_0 . Now σ_B centralizes $M \cap G_0$; defining σ_B to centralize M , we get an extension of σ_B to $MG_0 = G$, centralizing MA . It is easy to see that any automorphism of $\mathfrak{g}_0$ coming from $\text{Ad}(\mathfrak{g})$ differs from some σ_B by an inner automorphism from G_0 . We write R for the group generated by the σ_B .

Recall now our parabolic subgroup $P' = M'A'N' \supseteq P$. We construct the compact Cartan subgroup T' of M' as follows: choose a maximal strongly

orthogonal set $\{\gamma_1, \dots, \gamma_t\}$ of real roots of $\mathfrak{h}$, with root vectors X_{γ_i} ; then $\mathfrak{n}_0$ and the various $Z_i = X_{\gamma_i} + \theta X_{\gamma_i}$ span a compact Cartan subalgebra $\mathfrak{t}_0'$ of $\mathfrak{m}_0'$. If $B \subseteq \{\beta_j\}$, then σ_B normalizes M' , and $\sigma_B \cdot Z_i = \sigma_B \cdot Z_i = \pm Z_i$; so σ_B normalizes $\mathfrak{t}_0'$, and hence defines an element $w_B \in W(\mathfrak{m}'/\mathfrak{t}')$. Suppose $w_B \in W(M'/T')$. Then we can modify σ_B by an inner automorphism (from M') so that the resulting automorphism σ_B' centralizes $\mathfrak{t}$. But any automorphism of a connected reductive group centralizing a compact Cartan subgroup is inner; so σ_B is inner for M' . Let $R_{M'}$ be the preimage of $W(M'/T')$ in R under the map $\sigma_B \mapsto w_B$; then we have shown that $R_{M'}$ is the subgroup of M' -inner automorphisms. Define the integer $s = s(M')$ by $2^s = |R_{M'}|$.

Let Ψ be a system of positive roots for $\mathfrak{t}'$ in $\mathfrak{m}'$. We call Ψ good if every simple root of Ψ is noncompact. (Since $\mathfrak{t}'$ preserves the Cartan decomposition $\mathfrak{m}' = (\mathfrak{m}' \cap \mathfrak{f}) \oplus (\mathfrak{m}' \cap \mathfrak{p})$, the roots of $\mathfrak{t}'$ in $\mathfrak{m}'$ fall into two classes, called compact and noncompact.) Two systems of positive roots are called equivalent if they are conjugate under $W(M'/T')$; we write (Ψ) for the equivalence class of Ψ . To each representation $\delta \in \tilde{M}'$ in the limits of the discrete series, Harish-Chandra has associated an equivalence class (Ψ) of positive root systems for $\mathfrak{t}'$ in $\mathfrak{m}$ (the extension to limits of discrete series may be found in [5]). Any element $w \in W(\mathfrak{m}'/\mathfrak{t}')$ which preserves the sets of compact and noncompact roots can be realized by an automorphism of $\mathfrak{m}_0'$ coming from $\text{Ad}(\mathfrak{m}')$, and hence (up to inner automorphism) by an element of R . It follows that any two equivalence classes of good positive root systems are conjugate by R ; the stabilizer of such a class is clearly $R_{M'}$. Thus there are 2^s equivalence classes of good positive root systems.

By the hypotheses of (f), we are given a representation $\delta \in \tilde{M}'$ in the limits of the discrete series, associated to a good equivalence class (Ψ) . Recall that M' is noncompact, and that (f) $\Rightarrow$ (c) is known for all smaller parabolic subgroups. By the second Hecht-Schmid character identity (cf. [10], Theorem 9.4, and [11], Proposition 5.22), we can arrange the following situation. There is a cuspidal parabolic subgroup $P'' = M''A''N''$ contained in P' , with $\dim A'' = \dim A' + 1$; a representation $\delta' \in \tilde{M}''$ in the limits of the discrete series; and a character $\nu' \in A''$. Choose a compact Cartan subalgebra $\mathfrak{t}_0'' \subseteq \mathfrak{m}_0''$, and extend it to a compact Cartan subalgebra $\mathfrak{t}_0'$ of $\mathfrak{m}_0'$. (This choice of $\mathfrak{t}'$ may differ from our previous one, but they are conjugate by $M'_0 \cap K$.) Choose a representative Ψ' of the equivalence class of positive root systems associated to δ' . Then we may take δ'' associated to the restriction of Ψ' to $\mathfrak{t}_0''$. The annihilator of $\mathfrak{t}'$ in $(\mathfrak{t}')^*$ is spanned by a single noncompact root β . Let $s_\beta \in W(\mathfrak{m}'/\mathfrak{t}')$ be the corresponding reflection. Set $Y = \text{Ind}_{P''}^G \delta' \otimes \nu' \otimes 1$. There are three cases to consider. If $s_\beta \in W(M'/T')$, then Y has two (possibly reducible) composition factors X and Y_0 ; and any constituent Y_0 of Y_0 satisfies $\tau(Y_0) \neq \emptyset$. (For this and similar assertions below, see [11], Proposition 5.22.) Hence $N_{Y_0}(t) \subseteq A'^{r-1}$ by Theorem 1.2. If δ'' is not large, then by Corollary 6.6, X is not large, a contradiction. So δ'' is large. As indicated in the proof that (a) $\Rightarrow$ (f), it follows that the associated positive root system is good. If we replace Y by $Y_\nu = \text{Ind}_{P''}^G \delta' \otimes \nu \otimes 1$, then $N_\nu(t)$ does not change; but for almost all ν , Y_ν is irreducible. By inductive hypothesis, $N_\nu(t) \sim 2^{-s(\mathfrak{m}')} b_\nu t'$, so the same holds for $N_X(t) = N_Y(t) - N_{Y_0}(t)$. So we only have to show that $s(M') = s(M')$. Clearly $R_{M'} \supseteq R_{M''}$. Fix $\sigma_B \in R_{M''}$; we must show $\sigma_B \in R_{M'}$. We may assume that $\mathfrak{t}''$ is constructed as indicated earlier for M' , so that σ_B preserves $\mathfrak{t}''$.

Then σ_B preserves the centralizer of t' in $m' \cap \mathfrak{t}$, which is just t' . Write t_β for the orthogonal complement of t' in t' ; then σ_B preserves t'' and t_β . Since $\sigma_B \in R_{M'}$, we can find $\tau_\beta \in W(M'/T'')$ so that $\tau_\beta \sigma_B$ centralizes t'' . Hence $\tau_\beta \sigma_B$ acts by ± 1 on t_β . Possibly modifying τ_β by s_β , we find $\tau_\beta \in W(M'/T')$ so that $\tau_\beta \sigma_B$ centralizes t' ; so $\sigma_B \in R_{M'}$. This proves (d) when $s_\beta \in W(M'/T')$.

Suppose next that $s_\beta \notin W(M'/T')$; we will divide this into two cases. Then Y has three composition factors, Y_0 , X , and X_1 . Any constituent Y'_0 of Y_0 satisfies $\tau(Y'_0) \neq \emptyset$, so we can disregard Y_0 as before. X_1 is of the form $\text{Ind}_P^G \delta'_1 \otimes v' \otimes 1$; and δ'_1 is a representation in the limits of the discrete series, associated to the root system $s_\beta \cdot \Psi$. Suppose first that $s_\beta \cdot \Psi$ is good. Then we can find an element $\sigma_B \in R$ so that $\sigma_B \cdot \Psi$ is equivalent to $s_\beta \cdot \Psi$. In this case (as follows from the detailed form of the Hecht-Schmid character identity) $\sigma_B \cdot \delta' \cong \delta'_1$; here we make the automorphism σ_B of M' act on $\hat{M}$. It follows that $N_X(t) = N_{X_1}(t)$; since $N_\beta(t) = N_{Y_0}(t) + N_X(t) + N_{X_1}(t)$, an argument like that given in the first case shows that

$$N_X(t) \sim 2^{-\langle s(\alpha'), \gamma \rangle + 1} b_\alpha t'.$$

So we must show that $|R_{M'}/R_{M'}| = 2$. Since $(\sigma_B \Psi) = (s_\beta \Psi)$, we can find an element $\tau_B \in W(M'/T')$ so that $\tau_B \sigma_B$ acts on t' by s_β . Since $s_\beta \notin W(M'/T')$, it follows that $\sigma_B \notin R_{M'}$. We want to show that $\sigma_B \in R_{M'}$. By the argument given in the first case, σ_B preserves t'' and t_β ; since s_β does as well, τ_B preserves t'' and t_β . Let X_β and $X_{-\beta}$ be root vectors for the root β , chosen so that $(\alpha_\beta)_0 = \alpha'_0 \cap m'_0$ is spanned by $X_\beta + X_{-\beta}$. Choose a representative m_β for τ_β , with $m_\beta \in M' \cap K$; then $m_\beta \cdot X_\beta = cX_{\pm\beta}$. Modifying m_β by an element of T' , we may take $c = 1$, so that m_β centralizes α_β . Then $m_\beta \in M''$, so $\tau_B|_{M''} \in W(M''/T'')$. Since $\tau_B \sigma_B|_{M''}$ is the identity, it follows that $\sigma_B \in R_{M''}$. The argument given in case 1 shows that σ_B generates $R_{M'}/R_{M'}$, which therefore has order two.

Finally suppose $s_\beta \cdot \Psi$ is not good (with $s_\beta \notin W(M'/T')$). Then $s_\beta \cdot \Psi$ has a compact simple root; and as noted before, it follows that δ'_1 is not large. So no constituent of X_1 is large. Hence $N_X(t) \sim 2^{-\langle s(\alpha'), \gamma \rangle} b_\alpha t'$, and we need only show that $R_{M'}/R_{M'} \subseteq R_{M'}$. Suppose $\sigma_B \in R_{M'}$; choose $\tau_B \in W(M''/T'')$ so that $\tau_B \sigma_B$ centralizes t' . Everything extends to t' ; it follows that $\tau_B \sigma_B$ is 1 or s_β on t' . If $\tau_B \sigma_B = s_\beta$, then $(s_\beta \cdot \Psi) = (\tau_B \sigma_B \Psi) = (\sigma_B \Psi)$, which is good; a contradiction. So $\tau_B \sigma_B = 1$, and $\sigma_B \in R_{M'}$. This completes the proof that (f) $\Rightarrow$ (d).

Proof of (f) $\Rightarrow$ (c). One can give an identical argument here; the only difficulty is starting the induction. So suppose $P = M'AN'$ is the minimal parabolic; put $\pi(\delta \otimes v) = \text{Ind}_P^G \delta \otimes v \otimes 1$. To start the induction, we need only show that $c_\ell(\pi(\delta \otimes v))$ is independent of δ and v . We first fix δ , and show that it is independent of v . All of the $\pi(\delta \otimes v)$ are realized on the fixed space $X(\delta \otimes v) = X(\delta) = \text{Ind}_M^K (K\text{-finite vectors in the induced representation, as usual})$. Fix a bounded set $\Omega \subseteq \hat{A}$; we will show $c_\ell(\pi(\delta \otimes v))$ is constant as a function of v on Ω . There is a finite set $F \subseteq \hat{K}$ such that for $v \in \Omega$, any irreducible constituent of $\pi(\delta \otimes v)$ contains a K -type $\gamma \in F$ (this follows for example from [12]. Theorem 1). It follows that $\lambda_1(v)$ has a finite dimensional K -invariant subspace X_0 , which is (t, q) -cyclic in $X(\delta \otimes v)$ for all $v \in \Omega$. Now symmetrization provides a map $\beta: \mathfrak{N}(\mathfrak{p}) \rightarrow \mathfrak{t}(\mathfrak{q})$ which commutes with adjoint action of $\mathfrak{t}$. Since $U(\mathfrak{g}) \cong \text{St}(\mathfrak{p}) \otimes U(\mathfrak{h})$

we may identify $X_\beta/X_{\beta-1}$ with

$$\bar{X}_n(\delta \otimes v) = S_n(\mathfrak{p}) \cdot X_\alpha(\delta \otimes v).$$

If $\gamma \in \hat{K}$, write $d(n, \gamma, v)$ for the multiplicity of γ in $\bar{X}_n$. Then $d(n, \gamma, v)$ is the rank of some matrix whose entries are analytic functions of v . Thus there is an integer $\bar{d}(n, \gamma)$ such that

$$d(n, \gamma, v) \leq \bar{d}(n, \gamma),$$

and equality holds for almost all v . So we can choose v such that $d(n, \gamma, v) = \bar{d}(n, \gamma)$ for all n ; it follows that $\sum_{n=0}^\infty \bar{d}(n, \gamma)$ is the multiplicity of γ in $X(\delta)$, which is finite. On the other hand, if $v \in \Omega$, then $\sum_{n=0}^\infty d(n, \gamma, v)$ is also the multiplicity of γ in $X(\delta)$; so for $v \in \Omega$, $d(n, \gamma, v) = \bar{d}(n, \gamma)$. It follows that

$$\dim \bar{X}_n(\delta \otimes v) = \sum_{\gamma \in \hat{K}} d(n, \gamma, v)(\dim \gamma)$$

is independent of v for $v \in \Omega$. Therefore $c_\ell(\pi(\delta \otimes v))$ is independent of v for $v \in \Omega$. Since Ω was an arbitrary bounded subset of $\hat{A}$, $c_\ell(\pi(\delta \otimes v))$ is independent of v ; we denote it by $c(\delta)$.

Now choose δ and v so that the differential γ of $\delta \otimes v$ is dominant and nonsingular, and $\pi(\delta \otimes v)$ is irreducible. Let $\hat{\mu} \in \hat{H}$ be a weight of a finite dimensional representation, and let $\Theta(\gamma + \hat{\mu})$ be the corresponding coherent continuation of $\pi(\delta \otimes v)$ (defined in Sect. 4). By [11], Corollary 5.12, $\Theta(\gamma + \hat{\mu}) = \Theta(\pi(\delta \otimes v + \hat{\mu}))$. By Proposition 4.9,

$$c_\ell(\gamma + \hat{\mu}) = c(\delta + \hat{\mu}|_M)$$

is constant. But $\hat{\mu}|_M$ can be arbitrary; so $c(\delta) = a_G$ is independent of δ . The proof that (f) $\Rightarrow$ (c) is now identical to the one that (f) $\Rightarrow$ (d). This proves Theorem 6.2. Q.E.D.

We could add to Theorem 6.2 two supplementary results: necessary and sufficient conditions for the reducibility of the induced representations considered in 6.2 (f), and a determination of all large composition factors of principal series representations. These results are immediate consequences of the theorems of [11]; but since their statement require some technical ideas from [11], we omit them. The following result is relatively easy, however.

Corollary 6.7. *In the setting of Theorem 6.2, suppose $\delta \otimes v \in \hat{H}$. Then the principal series representation $\text{Ind}_P^G \delta \otimes v \otimes 1 = Y$ has a large composition factor X , which occurs with multiplicity one. X is unique up to conjugation by outer automorphisms of G coming from $\text{Ad}(\mathfrak{g})$, and fixing Y .*

Proof. Y has finitely many irreducible composition factors, say $X_1, \dots, X_r$; so $N_Y(t) = \sum_{i=1}^r N_{X_i}(t)$. Since $N_Y(t) \sim b_G t'$ by Corollary 5.3, at least one of the X_i is large; say X_1 is. Choose a cuspidal parabolic subgroup $P' = M'A'N' \supseteq P$, a

representation $\delta' \in \hat{M}'$ in the limits of the discrete series, and a character $v' \in \hat{A}'$ as in 6.2 (f), so that $X_1 \cong \text{Ind}_G^G \otimes v' \otimes 1$. Recall now the proof of (f) $\Rightarrow$ (d). We had a group $R = \{\sigma_B\}$ of automorphisms of G , centralizing MA , and a subgroup R_M . The various σ_B preserve M' ; and, in the induced action on representations, $\sigma_B \cdot \delta$ is equivalent to δ iff $\sigma_B \in R_M$. By computing the global character of X_1 , one can deduce that $\sigma_B \cdot X_1$ is equivalent to X_1 iff $\sigma_B \in R_M$. On the other hand, σ_B centralizes MA , so $\sigma_B \cdot Y \cong Y$. So all of the various $\sigma_B \cdot X_1$ occur as composition factors of Y , and there are $|R/R_M| = 2^s$ such representations. Furthermore, by Theorem 6.2 (d), $N_{X_1}(t) \sim 2^{-s} b_G t^r$. So the representations $\sigma_B \cdot X_1$ account completely for the asymptotic behaviour of $N_Y(t)$; so Y can have no other large composition factors. Q.E.D.

Using Corollary 6.7, Kostant has recently shown that large representations are precisely those which admit a so-called Whittaker model. Similar results for $G = GL(n, \mathbb{R})$ have been obtained by Casselman and Zuckerman.

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Erratum

On Certain Problems of Chebyshev, Zolotarev, Bernstein and Akhiezer

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We correct here a few misprints appeared in [1].

Page	↓ Lines	Should be	For
87	5	$ P^k(0) \leq M n^k$	$\text{Max}_{-1 \leq x \leq 1} P^k(x) \leq \frac{M n^k}{(k)!}$
87	16	$2m = 2n + 4$	$m = 2n + 4$
88	12	$n 4^{2n}$	$n 4^n$
98	12	k	k
99	3	$2n$	n
99	5	$(n-1)$	$(2n-1)$
99	5	p	p_n/q_n
99	5, 7, 17	q	x^{2n}

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