

Midterm Exam # 2  
 Time: 50 minutes

No calculators, notes, books. Show all work.

Consider the function  $f(x, y) = (2x + y^2) \cdot e^{-x^2}$  and its graph  $S$ . (1) (5 points) Find the first partial derivatives and gradient of  $f$ . (2) (4 points) (a) Find an equation for the tangent plane to  $S$  at the point  $(0, -1, 1)$ . (b) What is the directional derivative of  $f$  at  $(0, -1)$  in the direction of the vector  $\mathbf{v} = \langle 3, 4 \rangle$ ? (c) What is the maximal directional derivative of  $f$  at  $(0, -1)$ ? (3) (9 points) Find the critical points of  $f$ , then find the local maxima and minima of  $f$ . Bonus (2 points): are these also global maxima/minima? (4) (2 points) If  $x = st$  and  $y = s + t$ , find the partial derivatives  $\frac{\partial f}{\partial s}$  and  $\frac{\partial f}{\partial t}$ .

$$(1) \frac{\partial f}{\partial x} = 2e^{-x^2} - 2x(2x + y^2)e^{-x^2} = (2 - 4x^2 - 2xy)e^{-x^2}; \quad \frac{\partial f}{\partial y} = 2ye^{-x^2}$$

$$\text{Therefore: } \vec{\nabla} f(x, y) = \langle (2 - 4x^2 - 2xy)e^{-x^2}, 2ye^{-x^2} \rangle$$

$$(2)(a) \vec{\nabla} f(0, -1) = \langle 2, -2 \rangle \text{ so an equation for the tangent plane is:}$$

$$z - 1 = 2x - 2(y + 1) \text{ or } z = 2x - 2y - 1$$

(b) Recall that for a unit vector  $\vec{u}$ , the directional derivative of  $f$  at  $(x, y)$  in the direction of  $\vec{u}$  is:  $D_{\vec{u}} f(x, y) = \vec{u} \cdot \vec{\nabla} f(x, y)$ .

We first rescale  $\vec{v}$  to have unit length:  $\vec{u} = \frac{\vec{v}}{\|\vec{v}\|} = \langle \frac{3}{5}, \frac{4}{5} \rangle$ ; then:

$$D_{\vec{v}} f(0, -1) = D_{\vec{u}} f(0, -1) = \vec{u} \cdot \vec{\nabla} f(0, -1) = 2 \times \frac{3}{5} - 2 \times \frac{4}{5} = -\frac{2}{5}$$

(c) We know that the maximal directional derivative is  $\|\vec{\nabla} f\|$  (in the direction of  $\vec{\nabla} f$ ). Here:  $\|\vec{\nabla} f\| = \sqrt{4 + 4} = 2\sqrt{2}$

(3) For the critical points, solve  $\frac{\partial f}{\partial x}(x, y) = \frac{\partial f}{\partial y}(x, y) = 0$  for  $x$  and  $y$ .

This gives:  $(y = 0)$  and  $(2 - 4x^2 = 0)$ .

Therefore  $f$  has two critical points:  $(\frac{1}{\sqrt{2}}, 0) = P^+$  and  $(-\frac{1}{\sqrt{2}}, 0) = P^-$

We then use the second partial derivative test to determine whether or not  $f$  has a local maximum or minimum at  $P^+$  and  $P^-$ .

We start by computing the second partial derivatives of  $f$ :

$$\begin{cases} \frac{\partial^2 f}{\partial x^2} = (-8x - 2y^2)e^{-x^2} - 2x(2 - 4x^2 - 2xy^2)e^{-x^2} = (-12x - 2y^2 + 8x^3 + 4x^2y^2)e^{-x^2} \\ \frac{\partial^2 f}{\partial x \partial y} = \frac{\partial^2 f}{\partial y \partial x} = -4xy e^{-x^2} \\ \frac{\partial^2 f}{\partial y^2} = 2e^{-x^2} \end{cases}$$

Evaluating these 3 functions at  $p^+ = (\frac{1}{\sqrt{2}}, 0)$  and  $p^- = (-\frac{1}{\sqrt{2}}, 0)$  gives:

$$\begin{cases} \frac{\partial^2 f}{\partial x^2}(\frac{1}{\sqrt{2}}, 0) = 4x(2x^2 - 3)e^{-x^2} = -4\sqrt{2}e^{-1/2} \\ \frac{\partial^2 f}{\partial x \partial y}(\frac{1}{\sqrt{2}}, 0) = 0 \\ \frac{\partial^2 f}{\partial y^2}(\frac{1}{\sqrt{2}}, 0) = 2e^{-1/2} \end{cases} \quad \begin{cases} \frac{\partial^2 f}{\partial x^2}(-\frac{1}{\sqrt{2}}, 0) = 4\sqrt{2}e^{-1/2} \\ \frac{\partial^2 f}{\partial x \partial y}(-\frac{1}{\sqrt{2}}, 0) = 0 \\ \frac{\partial^2 f}{\partial y^2}(-\frac{1}{\sqrt{2}}, 0) = 2e^{-1/2} \end{cases}$$

determinant "D" or "Δ" =  $\frac{\partial^2 f}{\partial x^2} \cdot \frac{\partial^2 f}{\partial y^2} - (\frac{\partial^2 f}{\partial x \partial y})^2 = -8\sqrt{2}e^{-1} < 0$  | "D" or "Δ" =  $8\sqrt{2}e^{-1} > 0$   
 (book) (class) and  $\frac{\partial^2 f}{\partial x^2} > 0 \Rightarrow f$  has a local minimum at  $p^- = (-\frac{1}{\sqrt{2}}, 0)$   
 $f$  does not have a local min. or max at  $p^+$  ("Saddle point"). Min. value =  $f(p^-) = -\sqrt{2}e^{-1/2}$

Bonus: Along vertical lines ( $x = \text{constant}$ ),  $f(x, y) \xrightarrow{y \rightarrow \pm\infty} \infty$ .  
 In all other directions (think lines  $y = mx + p$ ),  $f(x, y) \rightarrow 0$  ( $e^{-x^2}$  dominates).  
 Therefore the local min. at  $p^-$  is also a global minimum for  $f$ .

4) Write the Chain Rule:

$$\begin{cases} \frac{\partial f}{\partial s} = \frac{\partial f}{\partial x} \frac{\partial x}{\partial s} + \frac{\partial f}{\partial y} \frac{\partial y}{\partial s} \\ \frac{\partial f}{\partial t} = \frac{\partial f}{\partial x} \frac{\partial x}{\partial t} + \frac{\partial f}{\partial y} \frac{\partial y}{\partial t} \end{cases}$$

so:

$$\begin{cases} \frac{\partial f}{\partial s} = (2 - 4x^2 - 2xy^2)e^{-x^2} \cdot t + 2ye^{-x^2} \cdot 1 = (2 - 4s^2t^2 - 2st(s+t)^2)e^{-s^2t^2} \cdot t + 2(s+t)e^{-s^2t^2} \\ \frac{\partial f}{\partial t} = (2 - 4x^2 - 2xy^2)e^{-x^2} \cdot s + 2ye^{-x^2} \cdot 1 = (2 - 4s^2t^2 - 2st(s+t)^2)e^{-s^2t^2} \cdot s + 2(s+t)e^{-s^2t^2} \end{cases}$$