

Exercise 2) a) Use the systematic algorithm to find the eigenvalues and eigenbases for the non-diagonal matrix of Exercise 2.

$$A = \begin{bmatrix} 3 & 2 \\ 1 & 2 \end{bmatrix}$$

$$A\vec{v} = \lambda\vec{v}$$

b) Use your work to describe the geometry of the linear transformation in terms of directions that get scaled:

$$T\left(\begin{bmatrix} x_1 \\ x_2 \end{bmatrix}\right) = \begin{bmatrix} 3 & 2 \\ 1 & 2 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

$$(1) (A - \lambda I)\vec{v} = \vec{0}$$

$$|A - \lambda I| = 0 = \begin{vmatrix} 3-\lambda & 2 \\ 1 & 2-\lambda \end{vmatrix} = (3-\lambda)(2-\lambda) - 2 = \lambda^2 - 5\lambda + 6 - 2 = \lambda^2 - 5\lambda + 4$$

$$= (\lambda - 4)(\lambda - 1)$$

$$= 0 \text{ when } \lambda = 4, 1$$

$$E_{\lambda=4} = \text{Nul}(A - 4I)$$

$$E_{\lambda=4} = \text{span}\left\{\begin{bmatrix} 2 \\ 1 \end{bmatrix}\right\}$$

$$\begin{array}{cc|c} -1 & 2 & 0 \\ 1 & -2 & 0 \\ \hline 1 & -2 & 0 \\ 0 & 0 & 0 \end{array}$$

$$\vec{v} = \begin{bmatrix} 2 \\ 1 \end{bmatrix}$$

$$\text{check. } \begin{bmatrix} 3 & 2 \\ 1 & 2 \end{bmatrix} \begin{bmatrix} 2 \\ 1 \end{bmatrix} = \begin{bmatrix} 8 \\ 4 \end{bmatrix} = 4 \begin{bmatrix} 2 \\ 1 \end{bmatrix}$$

Tuesday

$$E_{\lambda=1}$$

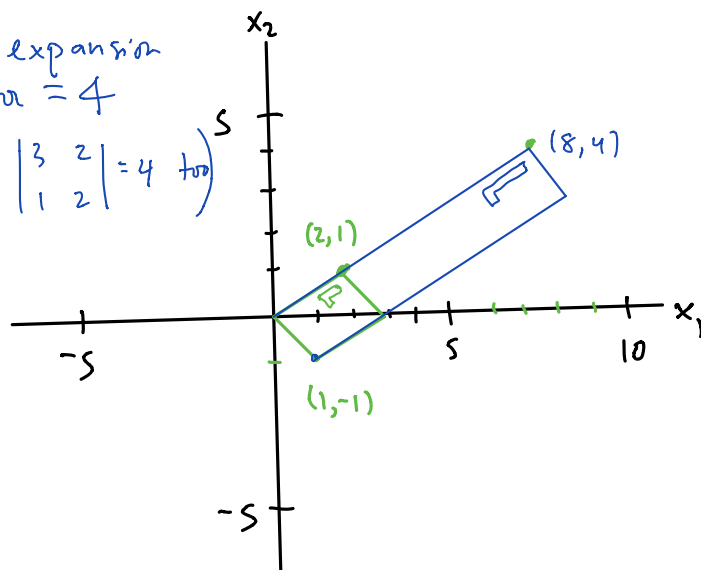
$$\begin{array}{cc|c} 2 & 2 & 0 \\ 1 & 1 & 0 \\ \hline 1 & 1 & 0 \\ 0 & 0 & 0 \end{array}$$

$$E_{\lambda=1} = \text{span}\left\{\begin{bmatrix} 1 \\ -1 \end{bmatrix}\right\}$$

1b) picture of  $T(\vec{x}) = A\vec{x}$

area expansion  
factor = 4

$$(\text{also } \begin{vmatrix} 3 & 2 \\ 1 & 2 \end{vmatrix} = 4 \text{ too})$$



Tues Oct 30

- 5.1-5.2 finding matrix eigenvalues and eigenvectors via the characteristic equation

Announcements:

finish expl on Monday  
then today's notes

scalar  
eigenvalue  
↓  
eigenvector

$$\text{If } A\vec{v} = \lambda\vec{v}$$

Warm-up Exercise:

Find eigenvalues, and then eigenspace bases for the matrix

If  $C = \begin{bmatrix} 3 & 2 \\ 0 & 3 \end{bmatrix} = 3 \underbrace{\begin{bmatrix} 1 & 2/3 \\ 0 & 1 \end{bmatrix}}_{\text{shear}}$

$$C\vec{v} = \lambda\vec{v}$$

$$C\vec{v} - \underbrace{\lambda\vec{v}}_{\lambda I\vec{v}} = \vec{0}$$

$$(C - \lambda I)\vec{v} = \vec{0}$$

so  $\vec{v} \in \text{Nul}(C - \lambda I) \neq \{\vec{0}\}$

$|C - \lambda I| = 0 \leftarrow \text{characteristic eqn}$

degree n poly.  
"characteristic polynomial"

$$C - \lambda I = \begin{bmatrix} 3 & 2 \\ 0 & 3 \end{bmatrix} - \lambda \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

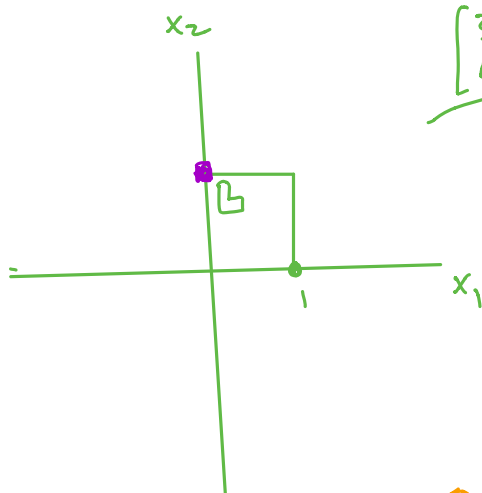
$$|C - \lambda I| = \begin{vmatrix} 3-\lambda & 2 \\ 0 & 3-\lambda \end{vmatrix} = (3-\lambda)^2 \text{ set } = 0$$

$\lambda = 3$

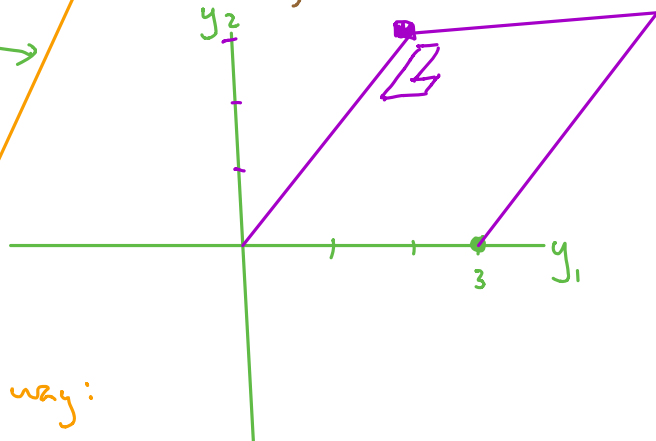
$$E_{\lambda=3}: \text{Nul}(C - 3I)$$

$$\begin{array}{cc|c} 0 & 2 & 0 \\ 0 & 0 & 0 \end{array}$$

$$E_{\lambda=3} = \text{span} \left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right\} \text{ because } 1 \cdot \begin{bmatrix} 0 \\ 0 \end{bmatrix} + 0 \cdot \begin{bmatrix} 2 \\ 0 \end{bmatrix} = \vec{0}$$



$$\begin{bmatrix} 3 & 2 \\ 0 & 3 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$



long way:

$$\begin{array}{cc|c} 0 & 1 & 0 \\ 0 & 0 & 0 \end{array}$$

backsolve  $v_1 = t$  free  $v_2 = 0$   $\vec{v} = t \begin{bmatrix} 1 \\ 0 \end{bmatrix} \checkmark$

Exercise 1) Find the eigenvalues and eigenspace bases for

$$B := \begin{bmatrix} 4 & -2 & 1 \\ 2 & 0 & 1 \\ 2 & -2 & 3 \end{bmatrix}.$$

(i) Find the characteristic polynomial and factor it to find the eigenvalues.  $(p(\lambda) = -(\lambda - 2)^2(\lambda - 3))$

(ii) for each eigenvalue, find bases for the corresponding eigenspaces.

(iii) Can you describe the transformation  $T(\mathbf{x}) = B\mathbf{x}$  geometrically using the eigenbases? Does  $\det(B)$  have anything to do with the geometry of this transformation?

$$\text{Nul}(B - 2I)$$

$$(i) |B - \lambda I| = 0$$

$$\begin{vmatrix} 4-\lambda & -2 & 1 \\ 2 & -\lambda & 1 \\ 2 & -2 & 3-\lambda \end{vmatrix}$$

$$= \begin{vmatrix} 4-\lambda & -2 & 1 \\ 2 & -\lambda & 1 \\ 0 & \lambda-2 & 2-\lambda \end{vmatrix}$$

$$\sim R_2 + R_3 \rightarrow R_3 \quad \begin{vmatrix} 4-\lambda & -2 & 1 \\ 2 & -\lambda & 1 \\ 0 & \lambda-2 & 2-\lambda \end{vmatrix}$$

$$= (2-\lambda) \begin{vmatrix} 4-\lambda & -2 & 1 \\ 2 & -\lambda & 1 \\ 0 & -1 & 1 \end{vmatrix}$$

$$= (2-\lambda) \begin{vmatrix} 4-\lambda & -1 & 1 \\ 2 & -\lambda+1 & 1 \\ 0 & 0 & 1 \end{vmatrix}$$

$$= (2-\lambda) \begin{vmatrix} 4-\lambda & -1 \\ 2 & -\lambda+1 \end{vmatrix}$$

$$= (2-\lambda) [(4-\lambda)(-\lambda+1) + 2]$$

$$= (2-\lambda) [\lambda^2 - 5\lambda + 4 + 2]$$

$$= (2-\lambda) [(\lambda-3)(\lambda-2)] = -(\lambda-2)^2(\lambda-3) = 0$$

$$\lambda = 2, 3.$$

$$E_{\lambda=2}: \begin{array}{ccc|c} 2 & -2 & 1 & 0 \\ 2 & -2 & 1 & 0 \\ 2 & -2 & 1 & 0 \\ \hline 1 & -1 & .5 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{array}$$

$$E_{\lambda=2} = \text{span} \left\{ \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 2 \end{bmatrix} \right\}$$

OR backsolve

$$\begin{aligned} v_1 &= v_2 - .5v_3 \\ v_2 &= v_2 \text{ free} \\ v_3 &= v_3 \text{ free} \end{aligned}$$

$$\vec{v} = v_3 \begin{bmatrix} -.5 \\ 0 \\ 1 \end{bmatrix} + v_2 \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}$$

$$E_{\lambda=2} = \text{span} \left\{ \begin{bmatrix} -1 \\ 0 \\ 2 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} \right\}$$

$$E_{\lambda=3}: \begin{array}{ccc|c} 1 & -2 & 1 & 0 \\ 2 & -3 & 1 & 0 \\ 2 & -2 & 0 & 0 \\ \hline 1 & -2 & 1 & 0 \end{array}$$

$$-2R_1 + R_2 \rightarrow R_2 \quad \begin{array}{ccc|c} 1 & -2 & 1 & 0 \\ 0 & 1 & -1 & 0 \\ 2 & -2 & 0 & 0 \end{array}$$

$$-2R_1 + R_3 \rightarrow R_3 \quad \begin{array}{ccc|c} 1 & -2 & 1 & 0 \\ 0 & 1 & -1 & 0 \\ 0 & 2 & -2 & 0 \end{array}$$

$$2R_2 + R_3 \rightarrow R_3 \quad \begin{array}{ccc|c} 1 & 0 & -1 & 0 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 0 \end{array}$$

$$-2R_2 + R_3 \rightarrow R_3 \quad \begin{array}{ccc|c} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{array}$$

$$E_{\lambda=3} = \text{span} \left\{ \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \right\}$$

Your solution will be related to the output below:

The screenshot shows the WolframAlpha interface. The input is `eigenvalues{{4,-2,1},{2,0,1},{2,-2,3}}`. The results are as follows:

**Input:**

eigenvalues	$\begin{pmatrix} 4 & -2 & 1 \\ 2 & 0 & 1 \\ 2 & -2 & 3 \end{pmatrix}$
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**Results:**

$\lambda_1 = 3$

$\lambda_2 = 2$

$\lambda_3 = 2$

**Corresponding eigenvectors:**

$v_1 = (1, 1, 1)$

$v_2 = (-1, 0, 2)$

$v_3 = (1, 1, 0)$

Handwritten blue annotations include a bracket grouping  $\lambda_2 = 2$  and  $\lambda_3 = 2$ , and another bracket grouping  $v_2 = (-1, 0, 2)$  and  $v_3 = (1, 1, 0)$ .

It often turns out that by collecting bases from each eigenspace for the matrix  $A_{n \times n}$ , and putting them together, we get a basis for  $\mathbb{R}^n$ . This lets us understand the geometry of the transformation

$$T(\underline{x}) = A \underline{x}$$

almost as well as if  $A$  is a diagonal matrix. It does not always happen that the matrix  $A$  has a basis of  $\mathbb{R}^n$  made consisting of eigenvectors for  $A$ . (Even when all the eigenvalues are real.) When it does happen, we say that  $A$  is diagonalizable.

There are situations where we are guaranteed a basis of  $\mathbb{R}^n$  made out of eigenvectors of  $A$ :

Theorem 1: Let  $A$  be an  $n \times n$  matrix with distinct real eigenvalues  $\lambda_1, \lambda_2, \dots, \lambda_n$ . Let  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$  be corresponding (non-zero) eigenvectors,  $A \mathbf{v}_j = \lambda_j \mathbf{v}_j$ . Then the set

$$\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$$

is linearly independent, and so is a basis for  $\mathbb{R}^n$ .....this is one theorem we can prove!

Exercise 2) Find the eigenvalues and eigenspace bases for the matrix below, and explain why there is no basis for  $\mathbb{R}^2$  consisting of eigenvectors for this matrix: *This was an warm-up exercise!*

$$C = \begin{bmatrix} 3 & 2 \\ 0 & 3 \end{bmatrix}.$$