

# Number Theory/Representation Theory Notes

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*Speaker:* Moshe Adrian

*Number Theorist Perspective:* Number theorists are interested in studying  $\Gamma_{\mathbb{Q}} = \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ . One way to study any group is to study the group's representations.

**Definition.** A representation of a group  $G$  is a homomorphism  $G \longrightarrow \text{GL}(V)$  where  $V$  is a  $\mathbb{C}$ -vector space. Recall that  $\text{GL}(V)$  is the General Linear Group.

*Representation Theorist Perspective:* Representation theorists are interested in studying representations of an arbitrary group.

**Example.** Some basic groups which are of interest are  $\text{GL}_n(\mathbb{R})$ ,  $\text{SL}_n(\mathbb{R})$ ,  $\text{SO}_n(\mathbb{R})$ ,  $\text{U}(n)$ ,  $\dots$

It turns out that number and representation theoretic ideas are intimately related. Understanding this relationship is the primary goal of the Langland's Program.

**Quick Review:**  $\mathbb{R}$  and  $\mathbb{Q}_p$  are examples of local fields, in particular  $\mathbb{R}$  and  $\mathbb{Q}_p$  are completions of  $\mathbb{Q}$  with respect to different absolute values, namely  $|\cdot|_{\mathbb{R}}$  which is an Archimedean absolute value, and  $|\cdot|_{\mathbb{Q}_p}$  which is a non-Archimedean absolute value.

**Definition.** The  $p$ -adic absolute value is defined as follows: let  $p$  be a prime and let  $x \in \mathbb{Q}$  be written as  $x = p^r \frac{m}{n}$ , where  $(m, p) = (n, p) = 1$ . Then,

$$\left| p^r \frac{m}{n} \right|_p = \frac{1}{p^r}.$$

**Hasse-Principle:** To understand most problems along  $\mathbb{Q}$  it is sometimes enough to understand the analogous problem over  $\mathbb{R}$  and  $\mathbb{Q}_p$ , for every prime  $p$ . Therefore, to study representations  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \longrightarrow \text{GL}_n(\mathbb{C})$  we can try to study representations of  $\text{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q}_p) \longrightarrow \text{GL}_n(\mathbb{C})$  and  $\text{Gal}(\mathbb{C}/\mathbb{R}) \longrightarrow \text{GL}_n(\mathbb{C})$ . Once the solutions in  $\mathbb{Q}_p$  and  $\mathbb{R}$  are known we essentially patch those solutions together to learn something about  $\mathbb{Q}$ .

**Representation Theory Question.** *Given a matrix group  $G$  (like  $\mathrm{GL}_n(\mathbb{R})$ ,  $\mathrm{GL}_n(\mathbb{Q}_p)$ ,  $\dots$ ) is how can we parameterize representations of  $G$ ?*

**Notation.** *To specify the notation of a group over a particular field we use  $G(\mathbb{R})$ ,  $G(\mathbb{C})$ ,  $G(\mathbb{Q}_p)$ .*

## 1 First Attempt

The first attempt to answer the above question was to let  $F$  be either  $\mathbb{R}$  or  $\mathbb{Q}_p$  and consider the following:

$$\{\text{Representations of } G(F)\} \longleftrightarrow \{\text{Representations of } \mathrm{Gal}(\overline{F}/F)\}$$

Unfortunately, this attempt has many problems, namely

1. There is no  $G$  on the right hand side.
2. If  $F = \mathbb{R}$ , the right hand side is trivial.  $\mathrm{Gal}(\mathbb{C}/\mathbb{R}) \simeq \mathbb{Z}/2$ .

$$\{\mathrm{GL}_n(\mathbb{R}) \longrightarrow \mathrm{GL}(V)\} \longleftrightarrow ?$$

$$\{\mathrm{SL}_n(\mathbb{R}) \longrightarrow \mathrm{GL}(V)\} \longleftrightarrow ?$$

$$\{\mathrm{SL}_n(\mathbb{Q}_p) \longrightarrow \mathrm{GL}(V)\} \longleftrightarrow ?$$

**Solution.** *It was quickly realized that a new approach was needed which led to the definition of something called the Weil Group of a field  $F$ , denoted  $W'_F$ . This object is highly complicated to define, therefore, we omit the details at this point and provide some examples.*

**Example.** 1. If  $F = \mathbb{R}$ :  $W'_\mathbb{R} = \langle \mathbb{C}^\times, j \rangle$  such that  $jzj^{-1} = \bar{z}$  for all  $z \in \mathbb{C}^\times$  and  $j^2 = -1$ .  
*This Weil group is related to the Galois group by the exact sequence*

$$1 \longrightarrow \mathbb{C}^\times \longrightarrow W'_\mathbb{R} \longrightarrow \mathrm{Gal}(\mathbb{C}/\mathbb{R}) \longrightarrow 1$$

2. If  $F = \mathbb{Q}_p$ , then  $W'_{\mathbb{Q}_p}$  is more complicated.

## 2 Second Attempt

The second attempt in trying to answer the above Representation Theory Question was to try and find a correspondence

$$\{\text{Representations of Galois Groups}\} \longleftrightarrow \{\text{Representations of Matrix Groups}\}$$

This attempt brought about what is known as the Local Langland's Correspondence for  $\text{GL}_n(F)$  which is

$$\{G(F) \longrightarrow \text{GL}(V)\} \longleftrightarrow \{W'_F \longrightarrow \text{GL}(W)\}$$

where  $W$  is a vector space over some field. Unfortunately, this attempt cannot be true since there is no  $G$  on the right hand side of the correspondence. However, we obtain an immediate result, namely

1. The above correspondence is true if  $G = \text{GL}_n(F)$ , and satisfies some natural technical conditions. Therefore, we should try to generalize this to arbitrary matrix groups.

**Idea:** We want to bring  $G$  to the right hand side of the above correspondence. To establish this we need to define the Langland's Dual Group.

**Definition.** *To each group  $G$  there is an associated group, called the Langland's Dual Group, denoted  $L_{G^\circ}$ , which is given by combinatorial data.*

**Example.** *The  $G$ 's in the table represent groups and the  $L_{G^\circ}$  is the associated Langland's Dual Group*

$G$	$L_{G^\circ}$
$\text{GL}_n(F)$	$\text{GL}_n(\mathbb{C})$
$\text{SL}_n(F)$	$\text{PGL}_n(\mathbb{C})$
$\text{PGL}_n(F)$	$\text{SL}_n(\mathbb{C})$
$\text{Sp}(2n, F)$	$\text{SO}(2n + 1, \mathbb{C})$

## 3 Third Attempt

The third attempt is known as parameterization. Part of  $W'_{\mathbb{Q}_p}$  sits inside  $\text{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q}_p)$ . We are thus led to the following conjecture

**Local Langland's Conjecture.** *There exists the following correspondence*

$$\{\text{Finite unions of representations } G(F) \rightarrow \mathrm{GL}(V)\} \leftrightarrow \{\text{Homomorphisms } W'_F \rightarrow L_{G^\circ} \rtimes \mathrm{Gal}(\overline{F}/F)\}$$

*where the set on the right must satisfy some technical conditions. In many cases the semi-direct product is actually a direct product.*

**Terminology:** The finite union of representations is often called  $L$ -packets in the literature.

**Concluding Remarks:**

1. This particular area of interest seems to be related to most pure branches of mathematics.
2. The Local Langland's Conjecture has been proven in some special cases (completely for  $F = \mathbb{R}$ ) which is due to Langland's himself. Another case where the conjecture has been proven is for the  $\mathrm{GL}_n(F)$  case (due to Harris, Taylor, and Henniart).

February 3

*Speaker:* Gordan Savin

Let  $\zeta_p = e^{2\pi i/p}$  be a primitive  $p$ -th root of unity, namely  $\zeta^p = 1$  and  $\zeta^k \neq 1$  for any  $1 \leq k < p$ . We will be interested in studying the field  $\mathbb{Q}(\zeta_p)$ , which is called a cyclotomic field extension. Consider the following:

$$0 = x^p - 1 = (x - 1)(x^{p-1} + x^{p-2} + \dots + x + 1).$$

We call  $x^{p-1} + x^{p-2} + \dots + x + 1$  the  $p$ -th cyclotomic polynomial and denote it  $\Phi_p(x)$ . By Galois theory we know that  $\mathbb{Q}(\zeta_p)$  is Galois since it is the splitting field for  $\Phi_p(x)$ .

**Question.** *What is  $\text{Gal}(\mathbb{Q}(\zeta_p)/\mathbb{Q})$ ?*

**Solution.** *Notice that any automorphism  $\sigma \in \text{Gal}(\mathbb{Q}(\zeta_p)/\mathbb{Q})$  is determined by what it does to  $\zeta_p$ . We consider the following mapping*

$$\text{Gal}(\mathbb{Q}(\zeta_p)/\mathbb{Q}) \longrightarrow (\mathbb{Z}/p)^\times$$

*by defining  $\sigma(\zeta_p) = \zeta_p^k$  for some  $k \in (\mathbb{Z}/p)^\times$ . We observe that this mapping is a homomorphism since*

$$(\zeta_p^k)^l = \zeta_p^{kl}$$

*where  $kl$  is computed in  $\mathbb{Z}/p$ . Moreover, the trivial automorphism  $\sigma_{id}$  fixes  $\zeta_p$ , thus  $\text{Gal}(\mathbb{Q}(\zeta_p)/\mathbb{Q}) \hookrightarrow (\mathbb{Z}/p)^\times$ . Moreover,  $[\mathbb{Q}(\zeta_p) : \mathbb{Q}] = p - 1$ , which is the degree of  $\Phi_p(x)$ , and since  $|(\mathbb{Z}/p)^\times| = p - 1$  we necessarily have that the above mapping is an isomorphism. Therefore, the Galois group of  $\mathbb{Q}(\zeta_p) \supset \mathbb{Q}$  is  $(\mathbb{Z}/p)^\times$ .*

More work is needed to show that

$$\text{Gal}(\mathbb{Q}_{\zeta_n}/\mathbb{Q}) \simeq (\mathbb{Z}/n)^\times.$$

A deep theorem in Class Field Theory is that the above extensions constitute all abelian extensions. The theorem is due to Kronecker and Weber and is stated as follows:

**Theorem 1.** *(Kronecker-Weber) Every finite abelian extension of  $\mathbb{Q}$  is contained in a cyclotomic extension.*

We now turn our attention to the task of constructing abelian extensions of the complex quadratic field  $\mathbb{Q}(i) \subset \mathbb{C}$ .

## 4 Elliptic Curves

We approach this problem by studying elliptic curves as Riemann Surfaces. Let  $L$  be a lattice, then an elliptic curve  $E$  is defined as  $E = \mathbb{C}/L$ . Topologically, elliptic curves are products of circles.

Each quadratic complex field gives a lattice ( $\mathbb{Q}(i)$  has the lattice  $\mathbb{Z}[i]$ ).

**Definition.** We define the Weierstrass  $\wp$ -function as  $\wp(z) = \frac{1}{z^2} + \sum_{w \in L \setminus \{0\}} \left( \frac{1}{(z-w)^2} - \frac{1}{w^2} \right)$

If we take  $z \in \mathbb{C}/L$  and consider  $z \mapsto (\wp(z), \wp'(z)) \in \mathbb{C}^2$  we see that these are precisely the points satisfying the cubic equation  $y^2 = 4x^3 + px + q$ .

**Example.** We can use the cubic equation  $y^2 = x^3 + x$  to construct abelian extensions of  $\mathbb{Q}(i)$ .

If we attach  $p$ -torsion points, for  $p$  a prime, to the curve  $y^2 = x^3 + x$  we will obtain an abelian extension of  $\mathbb{Q}(i)$ .

Given an elliptic curve  $E$  we consider the endomorphisms of  $E$ , denoted  $\text{End}(E)$ . Clearly  $\mathbb{Z} \subset \text{End}(E)$  by considering  $x \in E$ ,  $x \mapsto nx$  for  $n \in \mathbb{Z}$ . For  $\mathbb{C}/\mathbb{Z}[i]$ , multiplication of  $i$  will simply permute the Gaussian lattice, therefore,  $\text{End}(E) = \mathbb{Z}[i]$ .

Take a pair  $(x, y) \mapsto (-x, iy)$ , this transformation will preserve the elliptic curve since

$$\begin{aligned} (iy)^2 &= (-x)^3 + (-x) \\ -y^2 &= -x^3 - x \\ y^2 &= x^3 + x. \end{aligned}$$

The automorphism, multiplication by  $i$  can be described by the Weierstrass function defined above.

Let  $F$  be an algebraic extension of  $\mathbb{Q}(i)$ , if  $\sigma \in \text{Gal}(F/\mathbb{Q}(i))$ , then  $\sigma$  acts on  $E(p)$ , the  $p$ -torsion points of  $E$ . This action commutes with the Galois action, namely  $\sigma$  commutes with multiplication by  $i$ . In particular  $E(p) \simeq \mathbb{F}_p^2$ . Moreover,  $\mathbb{Z}[i]$  acts on  $E(p)$  so  $\mathbb{Z}[i]/(p) = \mathbb{F}_p[i]$  acts on  $E(p)$ .

By definition  $\mathbb{F}_p[i] = \mathbb{F}_p \cdot 1 + \mathbb{F}_p \cdot i$ , multiplication by  $a + bi$  on the basis  $\{1, i\}$  gives the matrix  $\begin{pmatrix} a & -b \\ b & a \end{pmatrix}$ .

**Theorem 2.** *Let  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  be a  $2 \times 2$  matrix that commutes with  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ , then  $d = a$ ,  $c = -b$ .*

The proof of the proposition is an elementary exercise in matrix multiplication and is left to the reader.

The element  $\sigma$  will be given by a matrix commuting with  $i$ , hence of the form  $\begin{pmatrix} a & -b \\ b & a \end{pmatrix}$  so

$$\text{Gal}(F/\mathbb{Q}(i)) \subset \mathbb{F}_p[i]^\times = \begin{cases} \mathbb{F}_{p^2}^\times, & \text{if } p \equiv 3 \pmod{4} \\ (\mathbb{F}_p^\times)^2, & \text{if } p \equiv 1 \pmod{4} \end{cases}.$$

February 10

Remi Lodh

## 5 Algebraic Varieties

**Definition.** Let  $k$  denote a field (such as  $\mathbb{Q}, \mathbb{R}, \mathbb{C} \dots$ ). Consider projective  $n$ -space denoted

$$\mathbb{P}^N(k) = \{[a_0 : a_1 : \dots : a_N] : a_i \in k, \text{ some } a_j \neq 0\} / \sim$$

where  $\sim$  is given by  $[a_0 : a_1 : \dots : a_N] \sim [a_0\lambda : a_1\lambda : \dots : a_N\lambda]$  for all  $\lambda \in k^\times$ .

Looking at the complex points  $k = \mathbb{C}$ , one gets a complex manifold  $\mathbb{P}^N(\mathbb{C})$ .

Let  $F_1, F_2, \dots, F_r$  be homogeneous polynomials in variables  $X_0, X_1, \dots, X_N$ , with coefficients in  $k$ . Consider the set of points in projective space where all polynomials vanish simultaneously.

**Definition.** We define the set of common zeros of the polynomials  $F_i$  (called an algebraic variety) to be

$$V(k) = \{x \in \mathbb{P}^N(\mathbb{C}) : F_i(x) = 0 \text{ for all } i\}$$

In particular,  $V(\mathbb{C}) \subset \mathbb{P}^N(\mathbb{C})$ .  $V(\mathbb{C})$  is a topological space with the subspace topology and may not be a complex manifold.

**Goal:** Study  $V(\mathbb{Q}) \subset V(\mathbb{C})$ .

**Example.** Let  $F_n = X_0^n + X_1^n + X_2^n$  for some  $n \in \mathbb{N}$ . Define  $C_n(\mathbb{C}) = \{x \in P^2(\mathbb{C}) : F_n(x) = 0\}$ , called a Fermat Curve. Fermat's Last Theorem states that  $C_n(\mathbb{Q}) = \emptyset$  for  $n$ -even,  $n \geq 4$ , or more precisely:  $F_n = 0$  has no solution in the rationals if  $X_0X_1X_2 \neq 0$ , and  $n \geq 3$ .

## 6 Algebraic Curves

**Definition.** An algebraic curve is an algebraic variety with one complex dimension (2 real dimensions).

**Example.** 1. Fermat Curve  $X_0^n + X_1^n + X_2^n$ .

2.  $E(\mathbb{C}) = \{x \in P^2(\mathbb{C}) : P(x) = 0\}$  where  $P(X_0, X_1, X_2) = X_2X_1^2 - X_0^3 - X_0X_2^2$ .  $P$  is called an elliptic curve.

We will only consider smooth curves (complex manifolds).



## 6.1 Topological Classification of Smooth Curves

Every curve is homeomorphic to one of  $\{\Sigma_g\}$  for  $g \geq 0$ , where  $\Sigma_g$  is the genus- $g$  surface. The only algebraic invariant we have is the genus of the curve.

We have the following trichotomy: let  $g$  be the genus of some fixed smooth curve  $C$  then

	$C(\mathbb{Q})$
$g = 0$	$C(\mathbb{Q}) = \emptyset$ or $C(\mathbb{Q}) = \mathbb{Q} \cup \{\infty\}$
$g = 1$	$C(\mathbb{Q}) = \emptyset$ or $C(\mathbb{Q})$ is a finitely generated abelian group
$g > 1$	$C(\mathbb{Q})$ is finite

*Case  $g = 1$ :*  $C$  is an elliptic curve (assume set of rational points is  $\neq \emptyset$ ). Consider the complex points  $C(\mathbb{C}) = \mathbb{C}/\Lambda$  where  $\Lambda = \mathbb{Z} + \tau\mathbb{Z}$ ,  $\text{Im}(\tau) > 0$ , e.g.  $\tau = i$ , then  $\mathbb{C}/\mathbb{Z}[i] = E(\mathbb{C})$  in the notation of the previous example.

We know that  $C(\mathbb{Q}) = \mathbb{Z}^r \oplus \text{finite abelian group}$ . The possibilities for the finite abelian groups was proved by Barry Mazur, the difficult part is determining the rank  $r$  of the free part  $\mathbb{Z}^r$ .

Let  $\overline{\mathbb{Q}}$  be the algebraic closure of  $\mathbb{Q} \subset \mathbb{C}$  and let  $G_{\mathbb{Q}} = \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ . Studying  $C(\mathbb{Q})$  is the same as studying  $C(\overline{\mathbb{Q}})$  with the  $G_{\mathbb{Q}}$ -action, where  $G_{\mathbb{Q}}$  is acting on the coordinates of rational points. Unfortunately, we don't know very much about  $C(\overline{\mathbb{Q}})$  but we can weaken the problem to studying

$$C(k)[2^n] = \{x \in C(k) : 2^n x = 0\}.$$

**Fact:**  $C(\overline{\mathbb{Q}})[2^n] = C(\mathbb{C})[2^n]$ , the  $2^n$ -torsion points in  $C(\mathbb{C})$ .

Recall that  $C(\mathbb{C}) = \mathbb{C}/\Lambda$ , so  $C(\mathbb{C})[2^n] = \frac{\frac{1}{2^n}\Lambda}{\Lambda} \simeq \Lambda/2^n\Lambda$ , so we must have a Galois action on  $\Lambda/2^n\Lambda$  since we have a Galois action on  $C(\overline{\mathbb{Q}})[2^n]$ .

**Definition.** *Define*

$$T_2(C) = \{(\lambda_n)_{n \in \mathbb{N}} : \lambda_n \in \Lambda/2^n\Lambda, 2\lambda_n = \lambda_{n-1}\}$$

$T_2(C)$  is called a Tate Module.

$T_2(C)$  has a  $G_{\mathbb{Q}}$  action, we want to study this particular action. As a module  $T_2(C) = \mathbb{Z}_2^2$  where

$$\mathbb{Z}_2 = \left\{ \sum_{n=0}^{\infty} a_n 2^n : a_n \in \mathbb{Z} \right\}$$

is the 2-adic integers. More generally we could replace 2 by any prime  $p$  and consider  $T_p(C)$ .

**Theorem 3.** (*Faltings*) To know  $T_p(C)$  and  $G_{\mathbb{Q}}$ -action is almost the same as knowing  $C$ .

Stated more precisely

$$\mathrm{Hom}(C_1, C_2) \otimes_{\mathbb{Z}} \mathbb{Z}_p = \mathrm{Hom}_{G_{\mathbb{Q}}}(T_p C_1, T_p C_2)$$

So we should be able to recover  $r = \mathrm{rank}(C(\mathbb{Q}))$  from  $T_p(C)$  and  $G_{\mathbb{Q}}$ -action.

**Local Langland's Conjecture.** (*Birch and Swinnerton Dyer*) Recipe to recover  $r$  from  $T_p(C)$  and  $G_{\mathbb{Q}}$ -action.

*Case  $g > 1$ :* Here  $|C(\mathbb{Q})| < \infty$ . One can also define  $T_p(C)$  and gain more information. There exists a nonabelian version of  $T_p(C)$  denoted  $\Pi_1^{et}(C)$  or  $\Pi_1(C)$  for short, which has a Galois action. For  $g = 1$ ,  $\Pi_1(C) = \prod_p T_p(C)$ , where  $\prod_p$  denotes the product over all primes  $p$ .

## 7 p-adic Integers

**Definition.** The  $p$ -adic integers are defined as  $\mathbb{Z}_p = \left\{ \sum_{n=0}^{\infty} a_n p^n : a_n \in \mathbb{Z} \right\}$ .

**Definition.** We can define the  $p$ -adic rational numbers as  $\mathbb{Q}_p = \mathbb{Z}_p \left[ \frac{1}{p} \right]$ .

The  $p$ -adic numbers are helpful because  $G_{\mathbb{Q}_p} = \mathrm{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q}_p)$  is far less mysterious than  $G_{\mathbb{Q}}$ .

**Theorem 4.** (*Mochizuki*) To know  $\Pi_1(C)$  with  $G_{\mathbb{Q}_p}$ -action is the same as knowing  $C/\mathbb{Q}_p$ .

Stated more precisely the map

$$\mathrm{Hom}_{dom}(C_1, C_2) \longrightarrow \mathrm{Hom}_{G_{\mathbb{Q}_p}}(\Pi_1(C_1), \Pi_1(C_2))$$

is an isomorphism. The proof of this fact uses something known as  $p$ -adic Hodge Theory which we outline as follows:

From a map

$$\Pi_1(C_1) \longrightarrow \Pi_1(C_2)$$

we get a map

$$(\mathrm{Hom}^1(\Pi_1(C_1), \mathbb{Q}_p)^*)^{G_{\mathbb{Q}_p}} \longrightarrow (H^1(\Pi_1(C_2), \mathbb{Q}_p)^*)^{G_{\mathbb{Q}_p}}$$

which induces a map

$$\mathbb{P}(H^0(C_1, \Omega'_{C_1})) \longrightarrow \mathbb{P}(H^0(C_2, \Omega'_{C_2}))$$

where  $C_1$  sits inside  $\mathbb{P}(H^0(C_1, \Omega'_{C_1}))$  and  $C_2$  sits inside  $\mathbb{P}(H^0(C_2, \Omega'_{C_2}))$ .