

6370-001 - FALL 2021 - WEEK 8 (10/19, 10/21)

**Exercise 0.**

- (1) Show any  $x \in \mathbb{Q}_p$  can be expressed uniquely as  $\sum_{k=-N}^{\infty} a_k p^k$  for  $a_k \in \{0, 1, \dots, p-1\}$ .
- (2) Express  $-1$  in this way.

**Exercise 1.** Let  $K$  be a field with an absolute value  $|\cdot|$ . Recall that  $|\cdot|$  is called *non-archimedean* if there exists  $C > 0$  such that  $|m| \leq C$  for all  $m \in \mathbb{Z}$  (using the natural map  $\mathbb{Z} \rightarrow K$ ).

- (1) Show that  $|\cdot|$  is non-archimedean if and only if the *strong triangle inequality* holds:

$$|x + y| \leq \max(|x|, |y|)$$

- (2) Show that  $|\cdot|$  is non-archimedean and  $|x| \neq |y|$  then

$$|x + y| = \max(|x|, |y|).$$

- (3) Generalize (1) and (2) to  $|\sum_{i=1}^N x_i|$ .

**Exercise 2 (Similar to Milne 7-2).** Let  $K$  be a field with a non-archimedean absolute value  $|\cdot|$ .

- (1) Show that the set of elements in  $K$  of absolute value  $\leq 1$  is a subring (called the valuation ring of  $|\cdot|$ ). Why doesn't this hold for an archimedean absolute value?
- (2) We can define a norm on the vector space  $K^n$  by  $\|(a_1, \dots, a_n)\| = \max(|a_1|, \dots, |a_n|)$ . Show that "any point in a ball in  $K^n$  is its center." (part of the exercise is to make sense what this means! This is already interesting when  $n = 1$ , so feel free to treat just that case).
- (3) *The freshman's dream.* If  $K$  is complete, then for  $a_n$  a sequence in  $K$ , show that the series  $\sum_{n=0}^{\infty} a_n$  converges if and only if  $\lim_{n \rightarrow \infty} a_n = 0$ .

**Exercise 3.** Let  $K$  be complete with respect to a non-archimedean absolute value  $|\cdot|$  and  $\text{char} K = 0$ .

- (1) What are the possible restrictions of  $|\cdot|$  to  $\mathbb{Q} \subseteq K$ ? (Hint: Ostrowski's theorem).
- (2) For which  $x \in K$  does  $\log(1 + x)$  converge, where

$$\log(1 + x) := x - \frac{x^2}{2} + \frac{x^3}{3} + \dots$$

- (3) For which  $x \in K$  does  $\exp(x)$  converge, where

$$\exp(x) := 1 + x + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots$$

- (4) Show that  $\exp$  and  $\log$  are inverse functions when they are defined, i.e.

$$\exp(\log(s)) = s \text{ and } \log(\exp(t)) = t.$$

for values of  $s$  and  $t$  where these make sense (what values are these?).

**Exercise 4.** If you know a little bit of functional analysis, prove that if  $\mathbb{C} \subseteq K$  and  $K$  is complete for an absolute value extending the standard absolute value on  $\mathbb{C}$ , then  $\mathbb{C} = K$ . *Hint:  $K$  is a Banach space; what do you know about the spectrum of a bounded operator on a complex Banach space?*

(No analogous statement holds for non-archimedean absolute values – in particular, there is no "biggest" complete algebraically closed field containing  $\mathbb{Q}_p$ . This fact is one reason that  $p$ -adic analytic geometry behaves like a mixture of complex analytic geometry and algebraic geometry).

**Exercise 5.** Consider the following result:

**Theorem** (Weak Approximation). *Let  $|\cdot|_1, |\cdot|_2, \dots, |\cdot|_n$  be nontrivial inequivalent absolute values on a field  $K$ , and let  $a_1, \dots, a_n$  be elements of  $K$ . For any  $\epsilon > 0$ , there is an element  $a \in K$  such that  $|a - a_i|_i < \epsilon$  for all  $1 \leq i \leq n$ .*

- (1) (Similar to Milne 7-1) Suppose  $A$  is a Dedekind domain,  $K = \text{Frac}(A)$ , and  $|\cdot|_i$  are all absolute values that come from distinct primes of  $A$ . Prove the weak approximation theorem in this case by using the Chinese Remainder Theorem.
- (2) Prove the Weak Approximation theorem (if you get stuck this follows a section in Milne):
  - (a) First show there is an element  $a$  such that  $|a|_1 > 1$  and  $|a|_i < 1$  for  $i \neq 1$ .
  - (b) Use this to construct an element  $a$  with  $|a - 1|_1$  close to 0 and  $|a|_i$  close to zero for  $i \neq 1$ .
  - (c) Conclude.

**Exercise 6.** We have the following important results on roots and factorization:

**Theorem** (Simple Hensel's lemma for roots). *Let  $A$  be a complete DVR with residue field  $\kappa$  (e.g.  $A = \mathbb{Z}_p$  or  $A = \kappa[[t]]$ ). For  $f \in A[x]$ , write  $\bar{f}$  for the image in  $\kappa[x]$  by reducing all the coefficients modulo the maximal ideal. Show that if there is an  $\bar{a} \in \kappa$  such that  $\bar{f}(\bar{a}) = 0$  and  $\bar{f}'(\bar{a}) \neq 0$ , then there is a unique  $a \in A$  with reduction  $\bar{a}$  such that  $f(a) = 0$ . In other words, simple roots in  $\kappa$  lift uniquely to simple roots in  $A$ .*

**Theorem** (Strong Hensel's lemma for roots). *Let  $K$  be complete for a non-archimedean absolute value  $|\cdot|$ , and let  $A \subset K$  be the valuation subring / unit ball consisting of  $k \in K$  with  $|k| \leq 1$ . Suppose  $f(x) \in A[x]$  and  $a_0 \in K$  is such that  $|f(a_0)| < |f'(a_0)|^2$ . Show there is a unique root  $a$  of  $f(x)$  with  $|a - a_0| \leq |f(a_0)/f'(a_0)|$ .*

**Theorem** (Hensel's lemma for factorization). *Let  $A$  be a complete DVR with residue field  $\kappa$ . Suppose  $f \in A[x]$  is monic and  $\bar{f}(x) = \bar{g}_1(x) \dots \bar{g}_m(x)$  where the  $\bar{g}_i(x)$  are pairwise coprime in  $\kappa[x]$ . Then the factorization lifts uniquely to a factorization  $f(x) = g_1(x) \dots g_m(x)$  in  $A[x]$ .*

- (1) What is the relation between these three results? (I.e. which imply which?)
- (2) Compute  $\mu(\mathbb{Q}_p)$ , the group of roots of unity in  $\mathbb{Q}_p$ . *Hint: Hensel's lemma will do most of the job, but you'll also need an earlier computation for  $p$ th roots. Pay attention when  $p = 2$ !*
- (3) Show that  $(x^2 - 2)(x^2 - 17)(x^2 - 34)$  has a root in  $\mathbb{Z}_p$  for all  $p$  and in  $\mathbb{R}$ , but has no root in  $\mathbb{Q}$ .
- (4) Prove strong Hensel's lemma for roots (*Hint: use Newton's method.*).
- (5) Show that  $5x^3 - 7x^2 + 3x + 6$  has a root  $\alpha \in \mathbb{Z}_7$  with  $|\alpha - 1|_7 < 1$ . Find  $a \in \mathbb{Z}$  such that  $|\alpha - a|_7 < 7^{-4}$ .
- (6) Prove Hensel's lemma for factorization, or read the proof in Milne (Theorem 7.33).

**Exercise 7.**

- (1) Show that for  $p$  odd,  $\mathbb{Q}_p^\times \cong \mathbb{Z}_p^\times \times p^\mathbb{Z} \cong (1 + p\mathbb{Z}_p) \times \mu(\mathbb{Q}_p) \times p^\mathbb{Z}$ .
- (2) What happens for  $p = 2$ ? *Hint: the first identity still holds, but what about the second one?*
- (3) Compute  $\mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^2$ . *Hint: use Hensel's lemma or the exponential/logarithm, but pay attention when  $p = 2$ !*
- (4) How many quadratic extensions of  $\mathbb{Q}_p$  are there?
- (5) Show  $\mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^n$  is finite for any  $p$  and  $n$  – in particular, if  $\mathbb{Q}_p$  contains the  $n$ th roots of unity, deduced that there are only finitely many cyclic degree  $n$  extensions of  $\mathbb{Q}_p$ . *Next week we will see that there are only finitely many extensions of any fixed degree of  $\mathbb{Q}_p$ .*