

Let  $K/\mathbb{Q}$  be a fin. ext.  $[K:\mathbb{Q}] < \infty$

$$\mathcal{O}_K := \{ a \in K \mid M_a(x) \in \mathbb{Z}[x] \}.$$

Example: If  $n \in \mathbb{Z}$  is squarefree, then

$$\begin{aligned} O_{\mathbb{Q}(\sqrt{n})} &= \mathbb{Z}[\sqrt{n}] = \mathbb{Z} + \mathbb{Z}\sqrt{n} \quad \text{if } n \equiv 2 \text{ or } 3 \pmod{4} \\ &\mathbb{Z}\left[\frac{1+\sqrt{n}}{2}\right] = \mathbb{Z} + \mathbb{Z}\frac{1+\sqrt{n}}{2} \quad \text{if } n \equiv 1 \pmod{4}. \end{aligned}$$

**Big Theorem:** If  $[K:\mathbb{Q}] < \infty$

- 1)  $\mathbb{Q} \cdot \mathcal{O}_K = K$ .  
 2)  $\mathcal{O}_K$  is a subring of  $K$   
 3)  $\mathcal{O}_K$  is integrally closed.  
 4)  $\mathcal{O}_K$  is a free  $\mathbb{Z}$ -module of rank  $= [K : \mathbb{Q}]$ .

hard part is to see it's finitely generated.

1 is elementary. 2-3 Follow from much more general statements.

4 special to this situation.

Proof of 1: Suppose  $\alpha \in K$

$$M_\alpha(x) = x^n + \frac{a_{n-1}}{s}x^{n-1} + \dots + \frac{a_0}{s}$$

$a_i \in \mathbb{Z}, \quad s \in \mathbb{Z}.$

$$B = 5\alpha \quad (\rightarrow) \quad \alpha = \frac{B}{5}.$$

$$B \text{ is a root of } M_s(y) = \frac{y^n}{s^n} + \frac{m-1}{s^n} y^{n-1} + \frac{m-2}{s^{n-1}} + \dots + \frac{a_0}{s}.$$

$$M_D(y) = \frac{y^n + a_{n-1} y^{n-1} + \dots + a_0 s^{n-1}}{\text{has interior coeff.}}$$

so  $b \in O_K$ .

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Some definitions for integral elements. (Rings are always commutative w/ 1).

Def'n:  $R \subseteq S$ .

- We say  $s \in S$  is integral over  $R$  if there is a monic polynomial  $F(x) \in R[x]$  s.t.  $f(s)=0$ .
- $S$  is integral over  $R$  if every  $s \in S$  is integral over  $R$ .
- $R$  is integrally closed in  $S$  if only elements of  $S$  integral over  $R$  are in  $R$ .  
(Integral closure of  $R$  in  $S$  is  $R$ )
- Integral closure of  $R$  in  $S$  = everything in  $S$  integral over  $R$ .

For  $R$  a domain, we say  $R$  is integrally closed if it is integrally closed in  $\text{Frac } R$ .

Example:  $\mathbb{Z}$  is integrally closed. If  $K$  is a field,  $K[x]$  is integrally closed.

In fact, any UFD is integrally closed.

Example:  $\mathbb{Z}[\sqrt{5}] \subseteq \mathbb{Q}(\sqrt{5})$  is not integrally closed.  
 $\frac{1+\sqrt{5}}{2} \notin \mathbb{Z}[\sqrt{5}]$  but is a root of  
 $x^2 - 2x - 2$ .  $\leftarrow$  coeff.  
are in  $\mathbb{Z}$   
so also in  $\mathbb{Z}[\sqrt{5}]$ .

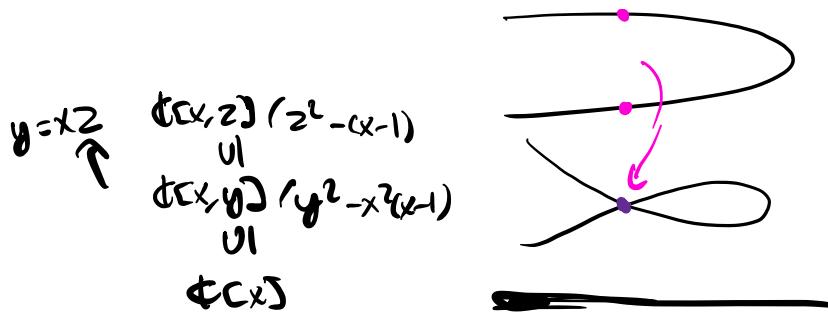
Example:  $R = \mathbb{Q}[x, y]/(y^2 - x^2(x-1)) \subseteq \text{Frac } R$ .

$$z = \frac{y}{x} \quad z^2 = x^2(x-1),$$

so  $z$  is a root of  $t^2 - (x-1)$

Integral closure is  $(\mathbb{C}[x, z]/z^2 - (x-1))$ , coeff. in  $\mathbb{C}[x] \subseteq R$ ,

$$\text{frac } R = \mathbb{C}(x) (\pm \sqrt{z^2 - (x-1)}) = \mathbb{C}(x) (\pm \sqrt{x-1}).$$



**Lemma:**  $R \subseteq S$ . Then  $s \in S$  is integral  $\Leftrightarrow R[s]$  is finite as an  $R$ -module.

Proof:  $R[S]$  is the image of

$$R[x] \rightarrow S \\ x \mapsto s.$$

If  $s$  is integral, then  $\exists f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0$   $a_i \in R$  s.t.  $f(s) = 0$ .

→ This factors through

$$\underbrace{R[x]}_{(f(x))} \rightarrow R[S] \hookrightarrow S$$

finite as an  $R$ -module.

$$x^n, x^{n-1}, \dots, 1 \rightarrow s^{n-1}, s^{n-2}, \dots, 1.$$

If  $R[S]$  is finite, then there are generators

$$b_1, \dots, b_m.$$

Each of these is an  $R$ -linear combination of powers of  $s$ ,

only finitely many  $\Rightarrow$

$1, s, s^2, s^3, \dots, s^N$  is a generating set.

$$\text{So } s^{N+1} = a_N s^N + a_{N-1} s^{N-1} + \dots + a_0 \cdot 1.$$

for  $a_i \in R$  so that gives.

$$\underbrace{s^{N+1} - a_N s^N - a_{N-1} s^{N-1} - \dots - a_0}_{\text{minus w/coeff. in } R} = 0$$

satisfied by  $s$ .

So  $s$  is integral.  $\blacksquare$

Proposition:

$$R = \mathbb{Z}, S = K \text{ integral closure is } \mathcal{O}_K$$

$[K:\mathbb{Q}] < \infty$  gives part (2) of main theorem.

(1)  $R \subseteq S$ , integral closure of  $R$  in  $S$  is a subring of  $S$ .

(2)  $R \subseteq S \subseteq T$ , if  $S$  is integral over  $R$  and  $t \in T$   
 $t$  is integral over  $S$ , then  $t$  is integral over  $R$ .

$R = \mathbb{Z}, S = \mathcal{O}_K, T = K$  (fractional)  
 $[K:\mathbb{Q}] < \infty$  gives part (3) of main theorem.

Proof: Only going to prove (1), proof of (2) same idea.

Step 1: Suppose  $R$  is Noetherian

$\tilde{R}$  integral closure of  $R$  in  $S$ .

$$a, b \in \tilde{R}. \quad R[a, b]. \leftarrow \text{This a finite } R\text{-module}$$

$$\bigcup_{i=0}^m \bigcup_{j=0}^n a^i b^j \quad \text{generated by } a^i b^j, i, j \leq N$$

$$R[a+b] \quad R[ab].$$

$R$  Noetherian  $\Rightarrow$  sub-modules also finite.

$S$ , Lemma gives that  $a+b, ab$  are integral.

Step 2:  $R$  not necessarily Noetherian.

$$a, b \in \mathbb{R} \quad f, g \in R[x] \text{ monic}$$

s.t.  $f(a) = 0$  and  $g(b) = 0$ .

$$f = x^n + a_{n-1}x^{n-1} + \dots + a_0$$

$$g = x^m + b_{m-1}x^{m-1} + \dots + b_0.$$

$$A = \mathbb{Z}[a_0, a_1, \dots, a_{n-1}, b_0, b_1, \dots, b_{m-1}]$$

$A \subseteq R \subseteq S$ ,  $a, b$  are integral over  $A$ .

but  $A$  is Noetherian.

So  $a+b, ab$  are integral over  $A$   
 $\Rightarrow$  integral over  $R$  since  $A \subseteq R$ .

How to see it  $[K:\mathbb{Q}] < \infty$ ,  $\mathcal{O}_K$  is a finite  $\mathbb{Z}$ -module

This enough for part 4.

Tori free - structure  
theorem for modules  
over a PID says it's  
free.

Surprisingly subtle!

Suffices to put  $\mathcal{O}_K$  inside some finite  $\mathbb{Z}$ -module inside of  $K$   
(because  $\mathbb{Z}$  is Noetherian).

Philosophy: • In graduate algebra, saw the power of  
thinking of  $L/K$  a field extension  
as a vector space.

• In graduate NT, we'll use that

a (separable) field extension  $L/K$   
is naturally equipped w/ a non-degenerate  
bilinear form.

$K/\mathbb{Q}$  a finite extension  $\alpha \in K$

$\alpha \cdot : K \rightarrow K$   
is a  $\mathbb{Q}$ -linear transformation

$\text{Tr}_{K/\mathbb{Q}}(\alpha)$  = trace of linear transformation  
 $\text{Tr}_{K/\mathbb{Q}} : K \rightarrow \mathbb{Q}$ .

Example:  $\text{Tr}_{K/\mathbb{Q}}(\alpha + \beta) = \text{Tr}_{K/\mathbb{Q}}(\alpha) + \text{Tr}_{K/\mathbb{Q}}(\beta)$

$\text{Tr}_{K/\mathbb{Q}}(c\alpha) = c \text{Tr}_{K/\mathbb{Q}}(\alpha)$  if  $c \in \mathbb{Q}$ .

(Just write down a basis!)

$\text{Tr}_{K/\mathbb{Q}}(1) = \text{Tr}(\text{Id} : K \rightarrow K) = [K : \mathbb{Q}]$ .

I get a  $\mathbb{Q}$ -bilinear form on  $K$  with values in  $\mathbb{Q}$ .  
 $(\alpha, \beta) := \text{Tr}_{K/\mathbb{Q}}(\alpha\beta)$ .

$$\begin{aligned} \text{Non-degenerate} \quad (\alpha, \frac{\alpha^{-1}}{[K:\mathbb{Q}]}) &= \text{Tr}_{K/\mathbb{Q}}\left(\frac{1}{[K:\mathbb{Q}]} \right) \\ &= \frac{1}{[K:\mathbb{Q}]} \text{Tr}_{K/\mathbb{Q}}(1) \\ &= \frac{[K:\mathbb{Q}]}{[K:\mathbb{Q}]} = 1. \end{aligned}$$

$K \rightarrow K^* = \text{Hom}_{\mathbb{Q}}(K, \mathbb{Q})$

$\alpha \mapsto (\alpha, -) : \beta \mapsto (\alpha, \beta)$ .

is injective thus an isomorphism.

(because same dimension)

Take a basis  $e_1, \dots, e_n$  for  $K$  as  
a  $\mathbb{Q}$ -vector space.  
by part (1) of main theorem, can assume  
 $e_i \in \mathcal{O}_K$ .

Let  $f_i$  be the dual basis.

$f_i \in K$  s.t.

$$(f_i, e_j) = \begin{cases} 1 & \text{if } i=j \\ 0 & \text{if } i \neq j. \end{cases}$$

Claim:  $\mathcal{O}_K \subseteq \mathbb{Z} f_1 + \mathbb{Z} f_2 + \dots + \mathbb{Z} f_n$ .

$$\begin{aligned} \text{For } \alpha \in K, \quad \alpha &= \sum (e_i, \alpha) f_i. \\ &= \sum \text{Tr}(e_i \alpha) f_i. \end{aligned}$$

If  $\alpha \in \mathcal{O}_K$ ,  $e_i \alpha \in \mathcal{O}_K$   
because  $e_i \in \mathcal{O}_K$   
and  $\mathcal{O}_K$  is a ring.

$\Rightarrow \text{Tr}(e_i \alpha) \in \mathbb{Z}$ . which is what we needed.

Finishes part (1) of the main theorem.

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$V$  is a  $\mathbb{Q}$ -vector space with a non-degenerate  
bilinear pairing  $(\cdot, \cdot)$ .

$M \subseteq V$  is a  $\mathbb{Z}$ -lattice = free  $\mathbb{Z}$ -module  
of finite rank that generates  $V$

$$M^* = \{f \in V \text{ s.t. } (f, m) \in \mathbb{Z} \forall m \in M\}.$$

Interesting to think about  $M \subseteq M^*$   
 and  $|M^*/M|$  a positive integer.

Apply this to  $\mathcal{O}_K \subseteq K$

$\cong$  lattice in  $K$ .

$\mathcal{O}_K \subseteq (\mathcal{O}_K)^*$  ...

$$|\mathcal{O}_K^*/\mathcal{O}_K| = |\text{disc}(\mathcal{O}_K/\mathbb{Z})| \\ |\text{disc}(K/\mathbb{Q})|.$$

$\mathcal{O}_K$  is a free  $\mathbb{Z}$ -module  $[n = [K : \mathbb{Q}]]$ .  
 choose a basis  $e_1, \dots, e_n$ .

$f_1, \dots, f_n$  dual basis.

$$\mathcal{O}_K^* = \mathbb{Z}f_1 + \mathbb{Z}f_2 + \dots + \mathbb{Z}f_n.$$

$$|\mathcal{O}_K^*/\mathcal{O}_K| = \underbrace{|\det \text{ of change of basis matrix}|}_{\text{from } f_i \text{ to } e_i}.$$

// disc.

$\det(\text{Tr}(e_i e_j))$ . For any basis.