

MATH 2270-2 Exam 2 S2018

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Please, no books, notes or electronic devices.

Questions 4, 8, 9, 10 involve proofs. Please divide your time accordingly.

Extra details can appear on the back side or on extra pages. Please supply a road map for details not on the front side.

Details count 75% and answers count 25%.

QUESTION	VALUE	SCORE
A 1	100	
A 2	100	
A- 3	100	-7
A1A 4	100	
BBBB A- 5	100	-8 -1 -2
A B A 6	100	-5
A 7	100	
A 8	100	
A 9	100	
A- 10	100	-6
TOTAL	1000	-29 = 971

**Problem 1.** (100 points) Define matrix  $A$ , vector  $\vec{b}$  and vector variable  $\vec{x}$  by the equations

$$A = \begin{pmatrix} -2 & 3 & 0 \\ 0 & -4 & 1 \\ 1 & 4 & 1 \end{pmatrix}, \quad \vec{b} = \begin{pmatrix} -3 \\ 5 \\ 1 \end{pmatrix}, \quad \vec{x} = \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix}.$$

For the system  $A\vec{x} = \vec{b}$ , display the formula for  $x_3$  according to Cramer's Rule. To save time, do not compute determinants!

$$x_i = \frac{\det A_i(\vec{b})}{\det A}$$

$$x_3 = \frac{\begin{vmatrix} -2 & 3 & -3 \\ 0 & -4 & 5 \\ 1 & 4 & 1 \end{vmatrix}}{\begin{vmatrix} -2 & 3 & 0 \\ 0 & -4 & 1 \\ 1 & 4 & 1 \end{vmatrix}}$$

Problem 2. (100 points) Define matrix  $A = \begin{pmatrix} 2 & 3 & 0 \\ 6 & 8 & 1 \\ 8 & 14 & -4 \end{pmatrix}$ . Find a lower triangular matrix  $L$  and an upper triangular matrix  $U$  such that  $A = LU$ .

$$\begin{bmatrix} 2 & 3 & 0 \\ 6 & 8 & 1 \\ 8 & 14 & -4 \end{bmatrix} \sim \begin{bmatrix} 2 & 3 & 0 \\ 0 & -1 & 1 \\ 0 & 2 & -4 \end{bmatrix} \sim \begin{bmatrix} 2 & 3 & 0 \\ 0 & -1 & 1 \\ 0 & 0 & -2 \end{bmatrix}$$

$$U = \begin{bmatrix} 2 & 3 & 0 \\ 0 & -1 & 1 \\ 0 & 0 & -2 \end{bmatrix}$$

$$L = \begin{bmatrix} 1 & 0 & 0 \\ 3 & 1 & 0 \\ 4 & -2 & 1 \end{bmatrix}$$

Problem 3. (100 points) Find the complete vector solution  $\vec{x} = \vec{x}_h + \vec{x}_p$  for the nonhomogeneous system

$$A^{-} \begin{pmatrix} 0 & 3 & 1 & 0 & 0 \\ 0 & 3 & 3 & 0 & 6 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \end{pmatrix} = \begin{pmatrix} 3 \\ 1 \\ 0 \end{pmatrix}.$$

Expected: (a) Augmented matrix. (b) Toolkit steps to RREF. (c) Translation of RREF to scalar equations. (d) Scalar general solution. (e) Find the homogeneous solution  $\vec{x}_h$ , which is a linear combination of Strang's special solutions. (f) Find a particular solution  $\vec{x}_p$ . (g) Write the vector general solution  $\vec{x} = \vec{x}_h + \vec{x}_p$ .

$$\left[ \begin{array}{ccccc|c} 0 & 3 & 1 & 0 & 0 & 3 \\ 0 & 3 & 3 & 0 & 6 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{array} \right] \sim \left[ \begin{array}{ccccc|c} 0 & 3 & 1 & 0 & 0 & 3 \\ 0 & 0 & 2 & 0 & 6 & -2 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{array} \right]$$

$$\sim \left[ \begin{array}{ccccc|c} 0 & 3 & 0 & 0 & -3 & 4 \\ 0 & 0 & 1 & 0 & 3 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{array} \right] \sim \left[ \begin{array}{ccccc|c} 0 & 1 & 0 & 0 & -1 & 4/3 \\ 0 & 0 & 1 & 0 & 3 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{array} \right]$$

$$\begin{cases} x_1 \text{ free} \\ x_2 = 4/3 + x_5 \\ x_3 = -1 - x_5 \\ x_4 \text{ free} \\ x_5 \text{ free} \end{cases}$$

$$\vec{x} = \begin{pmatrix} x_1 \\ x_2 \\ -x_5 - 1 \\ x_4 \\ x_5 \end{pmatrix} = x_1 \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix} + x_4 \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} + x_5 \begin{pmatrix} 0 \\ 4/3 \\ -1 \\ 0 \\ 1 \end{pmatrix} + \begin{pmatrix} 0 \\ 4/3 \\ -1 \\ 0 \\ 0 \end{pmatrix}$$

$$\vec{x} = \underbrace{t_1 \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix} + t_2 \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} + t_3 \begin{pmatrix} 0 \\ 1 \\ -1 \\ 0 \\ 1 \end{pmatrix}}_{\vec{x}_h} + \underbrace{\begin{pmatrix} 0 \\ 4/3 \\ -1 \\ 0 \\ 0 \end{pmatrix}}_{\vec{x}_p}$$

Problem 4. (100 points)

Definition. If  $\vec{b}_1, \vec{b}_2, \vec{b}_3$  are a basis for subspace  $W$  of vector space  $V$ , and  $\vec{x} = c_1\vec{b}_1 + c_2\vec{b}_2 + c_3\vec{b}_3$  is a given linear combination of these vectors, then the uniquely determined constants  $c_1, c_2, c_3$  are called the *coordinates of  $\vec{x}$  relative to the basis  $\vec{b}_1, \vec{b}_2, \vec{b}_3$* .

Below, let  $V$  be the vector space of all functions on  $(-\infty, \infty)$ . Define subspace  $S = \text{span}\{\vec{v}_1, \vec{v}_2, \vec{v}_3\}$  where  $\vec{v}_1, \vec{v}_2, \vec{v}_3$  are independent vectors defined respectively by the equations  $y = 1 + x$ ,  $y = 2 + x^2$ ,  $y = 2 + x + x^2$ .

A (a) [40%] Let  $W = \text{span}\{1, x, x^2\}$ . Assume known that  $1, x, x^2$  are independent functions. Already,  $S = \text{span}\{1 + x, 2 + x^2, 2 + x + x^2\}$  is a subset of  $W$ . Prove that  $W$  is a subset of  $S$  (this proves that  $W = S$ , therefore  $\vec{v}_1, \vec{v}_2, \vec{v}_3$  are independent).

A (b) [60%] Define vector  $\vec{v}$  in  $S$  by equation  $y = 3 + 4x + x^2$ . Compute  $c_1, c_2, c_3$  satisfying the equation  $\vec{v} = c_1\vec{v}_1 + c_2\vec{v}_2 + c_3\vec{v}_3$ , using coordinate map methods.

Expected in (b): Vectors  $\vec{v}_1, \vec{v}_2, \vec{v}_3$  are defined by  $1+x, 2+x^2, 2+x+x^2$ , respectively. Calculations of  $c_1, c_2, c_3$  are to be done using column vectors from  $\mathbb{R}^3$ , not functions from  $V$ . Zero credit for not using column vectors.

(a) Let  $\vec{w} \in W$ , then  $\vec{w}$  is of the form  
 $c_1 + c_2x + c_3x^2$  for some  $c_1, c_2, c_3 \in \mathbb{R}$ .

Have that any  $\vec{s} \in S$  is of the form  $c_1(1+x) + c_2(2+x^2) + c_3(2+x+x^2)$   
 $= c_1 + c_1x + 2c_2 + c_2x^2 + 2c_3 + c_3x + c_3x^2 = (c_1 + 2c_2 + 2c_3) + (c_1 + c_3)x + (c_2 + c_3)x^2$

for some  $c_1, c_2, c_3 \in \mathbb{R}$ . Therefore,  $\vec{w} \in S$  and  $W \subseteq S$ .

(b)  $\vec{v} = c_1\vec{v}_1 + c_2\vec{v}_2 + c_3\vec{v}_3$

$[\vec{v}]_W = c_1[\vec{v}_1]_W + c_2[\vec{v}_2]_W + c_3[\vec{v}_3]_W$

$[\vec{v}]_W = \begin{bmatrix} [\vec{v}_1]_W & [\vec{v}_2]_W & [\vec{v}_3]_W \end{bmatrix} [\vec{v}]_C$

Therefore, the coordinates

are  $\begin{cases} c_1 = 1 \\ c_2 = -2 \\ c_3 = 3 \end{cases}$

$\left[ \begin{array}{ccc|c} 1 & 2 & 2 & 3 \\ 1 & 0 & 1 & 4 \\ 0 & 1 & 1 & 1 \end{array} \right] \sim \left[ \begin{array}{ccc|c} 1 & 2 & 2 & 3 \\ 0 & -2 & -1 & 1 \\ 0 & 1 & 1 & 1 \end{array} \right] \sim \left[ \begin{array}{ccc|c} 1 & 2 & 2 & 3 \\ 0 & -2 & -1 & 1 \\ 0 & 0 & \frac{1}{2} & \frac{3}{2} \end{array} \right] \sim \left[ \begin{array}{ccc|c} 1 & 2 & 2 & 3 \\ 0 & 1 & \frac{1}{2} & -\frac{1}{2} \\ 0 & 0 & 1 & 3 \end{array} \right]$

**Problem 5.** (100 points) The functions  $1, x^2, x^3$  represent independent vectors  $\vec{u}_1, \vec{u}_2, \vec{u}_3$  in the vector space  $V$  of all functions on  $0 < x < \infty$ . The set  $S = \text{span}\{\vec{u}_1, \vec{u}_2, \vec{u}_3\}$  is a subspace of  $V$ . Let vectors  $\vec{v}_1, \vec{v}_2, \vec{v}_3$  in  $V$  be defined by the functions  $1-x^2, x^3+x^2, 3+2x^3$ , respectively. The coordinate map defined by

$$c_1\vec{u}_1 + c_2\vec{u}_2 + c_3\vec{u}_3 \rightarrow \begin{pmatrix} c_1 \\ c_2 \\ c_3 \end{pmatrix}$$

maps the vectors  $\vec{u}_1, \vec{u}_2, \vec{u}_3$  into the following images in  $\mathcal{R}^3$ , respectively:

$$\begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix}, \quad \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}, \quad \begin{pmatrix} 3 \\ 0 \\ 2 \end{pmatrix}.$$

The independence tests below can decide independence of vectors  $\vec{v}_1, \vec{v}_2, \vec{v}_3$  by formulating the independence question in vector space  $V$  or in vector space  $\mathcal{R}^3$ , because the coordinate map takes independent sets to independent sets.

**Check below** all independence tests which apply to decide independence of  $\vec{v}_1, \vec{v}_2, \vec{v}_3$ . Explain how each checked test applies, giving details/reasons. **Zero credit for checking a box without explanation.**

Wronskian test Nonzero Wronskian determinant of  $f_1, f_2, f_3$  at invented value  $x = x_0$  implies independence of  $f_1, f_2, f_3$ .

B Explain:  $\vec{v}_1, \vec{v}_2, \vec{v}_3$  are functions, so you can test independence directly with this method.

Sampling test Nonzero sampling determinant for invented samples  $x = x_1, x_2, x_3$  implies independence of  $f_1, f_2, f_3$ .

B Explain: Like above,  $\vec{v}_1, \vec{v}_2, \vec{v}_3$  are functions, let  $\vec{v}_1 = f_1, \vec{v}_2 = f_2, \vec{v}_3 = f_3$  and can be tested directly with this method.

Rank test Three column vectors are independent if their augmented matrix has rank 3.

B Explain:  $\vec{v}_1, \vec{v}_2, \vec{v}_3$  can be mapped to column vectors in  $\mathbb{R}^3$  by the coordinate mapping.

Determinant test Three column vectors are independent if their augmented matrix is square and has nonzero determinant.

B Explain: Same as above, the coordinate mapping is isomorphic and will preserve the dependence relation between the vectors.

Orthogonality test Three column vectors are independent if they are all nonzero and pairwise orthogonal.

A- Explain: Expected: Test  $v_1 \cdot v_2 = 0$  for col vectors  $v_1, v_2$ .

Pivot test Three column vectors are independent if their augmented matrix  $A$  has 3 pivot columns.

B Explain: Same as above, this is actually equivalent to the rank test.

Expected: Display matrix  $A$ , toolkit sequence, RREF, determinant.  
For Wronskian: Display matrix  $W$ , choose  $x = x_0$  to make  $|W| \neq 0$   
" Sampling: Display matrix, select samples  $x_1, x_2, x_3$  then Test  $\det \neq 0$ .

Problem 6. (100 points) Consider a  $3 \times 3$  real matrix  $A$  with eigenpairs

$$\left( 5, \begin{pmatrix} 1 \\ 1 \\ -1 \end{pmatrix} \right), \left( 2+i, \begin{pmatrix} i \\ 1 \\ 0 \end{pmatrix} \right), \left( 2-i, \begin{pmatrix} -i \\ 1 \\ 0 \end{pmatrix} \right).$$

**A** (a) [30%] Display an invertible matrix  $P$  and a diagonal matrix  $D$  such that  $AP = PD$ .  
Matrices  $P$  and  $D$  can contain complex numbers.

**B** (b) [30%] Display a real invertible matrix  $P_1$  and a real diagonal matrix  $D_1$  such that  $AP_1 = P_1D_1$ . Neither  $P_1$  nor  $D_1$  can contain complex numbers. The construction of  $D_1$  uses the map  $a + ib \rightarrow \begin{pmatrix} a & b \\ -b & a \end{pmatrix}$ .

**A** (c) [40%] Display a matrix product formula for  $A$  in which the factors contain only real numbers. To save time, do not evaluate any matrix products.

(a)  $A$  has 3 linearly independent eigenvectors, so by the diagonalization theorem

$$AP = PD \quad \text{where} \quad P = \begin{bmatrix} 1 & i & -i \\ 1 & 1 & 1 \\ -1 & 0 & 0 \end{bmatrix} \quad \text{and} \quad D = \begin{bmatrix} 5 & 0 & 0 \\ 0 & 2+i & 0 \\ 0 & 0 & 2-i \end{bmatrix}$$

(b)  $D_1 = \begin{bmatrix} 5 & 0 & 0 & 0 & 0 \\ 0 & 5 & 0 & 0 & 0 \\ 0 & 0 & 2 & 1 & 0 \\ 0 & 0 & -1 & 2 & 0 \\ 0 & 0 & 0 & 0 & 2 \\ 0 & 0 & 0 & 0 & 2 \end{bmatrix}$  Has to be  $3 \times 3$

(c) Since  $AP_1 = P_1D_1 \Rightarrow A = P_1D_1P_1^{-1}$



Problem 7. (100 points)

Definition: A subset  $S$  of a vector space  $V$  is a subspace of  $V$  provided

- (1) The zero vector is in  $S$
- (2) If vectors  $\vec{x}$  and  $\vec{y}$  are in  $S$ , then  $\vec{x} + \vec{y}$  is in  $S$ .
- (3) If vector  $\vec{x}$  is in  $S$  and  $c$  is any scalar, then  $c\vec{x}$  is in  $S$ .

**A** Let  $V$  be the vector space of all real-valued functions on  $(-\infty, \infty)$ . Invent an example of a nonvoid subset  $S$  of  $V$  that satisfies two of the items in the above definition of subspace, but fails the third item.

Let  $S$  be the set of functions defined on  $[0, \infty)$  and where  $f$  is ~~positive~~ **Non-negative**.  
Then  $\vec{0} \in S$ ,  $\vec{x} + \vec{y} \in S$ , but  $c\vec{x}$  is not in  $S$ , because  $c > 0$   
 $S$ , because  $c$  can be negative

$$f: [0, \infty) \rightarrow [0, \infty)$$

Need  $f \in V$

~~$f(x) = x^a$  for all  $a \in \mathbb{R}$~~

~~$\sin x, \cos x$~~

~~there is no  $a$  where  $f(x) = 0$ .~~

~~So zero vector not in set.~~

~~$x^a + x^a = 2x^a$~~

**Problem 8. (100 points)** Define  $S$  to be the set of all vectors  $\vec{x}$  in  $\mathbb{R}^3$  whose components  $x_1, x_2, x_3$  satisfy the two restriction equations  $x_1 + x_2 = x_3$  and  $2x_1 + 5x_2 = x_3$ . Prove that  $S$  is a subspace of  $\mathbb{R}^3$ .

**Expected:** Cite a known theorem or else verify the 3 conditions for the definition of a subspace (see the preceding exam problem).

A

Have that

$$\begin{aligned} x_1 + x_2 &= x_3 \\ 2x_1 + 5x_2 &= x_3 \end{aligned} \implies x_1 + 4x_2 = 0 \implies \begin{aligned} x_2 &= -x_1/4 \\ x_3 &= \frac{3x_1}{4} \end{aligned}$$

So the set is all vectors of the form

$$\vec{v} = \begin{bmatrix} t \\ -t/4 \\ 3t/4 \end{bmatrix} \text{ for all } t \in \mathbb{R} = t \begin{pmatrix} \text{strang's} \\ \text{sol} \end{pmatrix}$$

Let  $t=0$ , then

$$\vec{v} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

Use Span Theorem:  $S = \text{Span} \begin{pmatrix} \text{strang's} \\ \text{sol} \end{pmatrix}$  is a subspace.

So the zero vector is in  $S$ .

Let  $\vec{v}$  and  $\vec{w}$  be arbitrary vectors in  $S$ , then

$$\vec{v} + \vec{w} = \begin{bmatrix} t \\ -t/4 \\ 3t/4 \end{bmatrix} + \begin{bmatrix} s \\ -s/4 \\ 3s/4 \end{bmatrix} = \begin{bmatrix} s+t \\ \frac{-(s+t)}{4} \\ \frac{3(s+t)}{4} \end{bmatrix}, \text{ so } \vec{v} + \vec{w} \in S$$

Let  $c \in \mathbb{R}$  and  $\vec{v} \in \mathbb{R}$ , then

$$c\vec{v} = c \begin{bmatrix} t \\ -t/4 \\ 3t/4 \end{bmatrix} = \begin{bmatrix} ct \\ -(ct)/4 \\ 3(ct)/4 \end{bmatrix} \text{ so } c\vec{v} \in S.$$

Therefore,  $S$  is a subspace of  $\mathbb{R}^n$ .

Problem 9. (100 points) Let  $A$  be a  $4 \times 3$  matrix. Assume the columns of  $A^T A$  are independent. Prove or disprove that  $A$  has independent columns.

Expected: To prove a claim, assemble details and theorem citations to support the claim. To disprove a claim, invent a specific detailed example that violates the claim.

Assume for contradiction that  $A$  has dependent columns. Then, there is a nontrivial solution to  $A\vec{x} = \vec{0}$ .

However,

$$A\vec{x} = \vec{0} \iff A^T A\vec{x} = A^T \vec{0} \iff A^T A\vec{x} = \vec{0}$$

Therefore,  $A^T A\vec{x} = \vec{0}$  shares this nontrivial solution.

But this is a contradiction, since we assumed the columns of  $A^T A$  are independent.

Therefore,  $A$  must have independent columns.

Problem 10. (100 points) Let  $U$  be a  $2 \times 2$  matrix with  $U^T U = I$ . Let  $\vec{u}_1, \vec{u}_2$  denote the columns of  $U$ . Prove that the columns of  $U$  are orthonormal.

A-

$$U^T U = \begin{bmatrix} U^T \vec{u}_1 & U^T \vec{u}_2 \end{bmatrix} = \begin{bmatrix} \vec{e}_1 & \vec{e}_2 \end{bmatrix}$$

or

$$\begin{bmatrix} u_1^T u_1 \\ u_2^T u_1 \end{bmatrix} = U^T \vec{u}_1 = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

$$\Rightarrow \|u_1\|^2 = 1 \text{ \& } u_2 \cdot u_1 = 0$$

$$U^T \vec{u}_2 = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

$$\Rightarrow \|u_2\|^2 = 1 \text{ \& } u_1 \cdot u_2 = 0$$

Since  $U^T U = I$ ,  $U$  is invertible and

$U^T$  is the inverse of  $U$ . true

Then, the columns of  $U$  are linearly independent true

and  $U \sim I$ . therefore,

$$\vec{u}_1 \cdot \vec{u}_2 = 0$$

← leap of faith...

Extraneous material that does not show

$$\|c_1\| = \|c_2\| = 1 \text{ \& } c_1 \perp c_2$$