

### § 3.2: Ordered Fields

$\mathbb{R}$  is a complete ordered field. We will explain what this means.

Assume we have a set  $R$  with two operations, addition + and multiplication  $\cdot$  satisfying:

A1:  $\forall x, y \in R, x + y \in R$ . If  $x = w$  and  $y = z$  then  $x + y = w + z$

A2:  $\forall x, y \in R, x + y = y + x$  ( $\leftarrow$  commutative law of addition)

A3:  $\forall x, y, z \in R, x + (y + z) = (x + y) + z$  (associative law of addition)

A4:  $\exists!$  number  $0 \in R$  s.t.  $x + 0 = x \quad \forall x \in R$ .

A5: For each  $x \in R \exists!$  number  $y \in R$  s.t.  $x + y = 0$ . i.e.  
 $y = -x$ .

M1:  $\forall x, y \in R, x \cdot y \in R$  and if  $x = w$  and  $y = z$ , then  $x \cdot y = w \cdot z$

M2:  $\forall x, y \in R, x \cdot y = y \cdot x$  (commutative law of multiplication)

M3:  $\forall x, y, z \in R, (x \cdot y) \cdot z = x \cdot (y \cdot z)$  (associative law of multiplication)

M4:  $\exists!$  number  $1 \in R$  s.t.  $1 \neq 0$  and  $x \cdot 1 = x \quad \forall x \in R$ .

M5: For each  $x \in R$  with  $x \neq 0 \exists!$   $x^{-1} \in R$  s.t.  $x \cdot x^{-1} = 1$ .

DL: For any  $x, y, z \in R, x \cdot (y + z) = x \cdot y + x \cdot z$ . (Distributive law)

These are the field axioms. Any system (a set with two operations) which satisfy these axioms is called a field.

We can think of + and  $\cdot$  as maps from  $\mathbb{R} \times \mathbb{R}$  to  $\mathbb{R}$ .

Ex: Which axioms does  $\mathbb{N}$  satisfy? (Not A4, not A5) (Not M5)

Which axioms does  $\mathbb{Z}$  satisfy? (All but M5)  $\leftarrow$  Commutative Ring

Which axioms does  $\mathbb{Q}$  satisfy? All  $\leftarrow$  field

~~Let~~ Let  $M =$  set of all  $2 \times 2$  matrices. Which axioms does  $M$  satisfy? (Not M2 or M5)  $\leftarrow$  Noncommutative ring.

The real numbers also satisfy the four order axioms:

O1: For  $x, y \in \mathbb{R}$ , exactly one of the relations  $x=y$ ,  $x < y$ , or  $y < x$  holds. (Trichotomy Law)

O2:  $\forall x, y, z \in \mathbb{R}$ , if  $x < y$  and  $y < z$  then  $x < z$

O3:  $\forall x, y, z \in \mathbb{R}$ , if  $x < y$  then  $x + z < y + z$

O4:  $\forall x, y \in \mathbb{R}$ , if  $x < y$  and  $z > 0$  then  $xz < yz$ .

Theorem 25: Let  $x, y, z \in \mathbb{R}$

a) If  $x+z = y+z$  then  $x=y$

b)  $x \cdot 0 = 0$

c)  $(-1) \cdot x = -x$

d)  $xy = 0$  iff  $x=0$  or  $y=0$

e)  $x < y$  iff  $-y < -x$

f) If  $x < y$  and  $z < 0$  then  $xz > yz$ .

Pf: (a) If  $x+z = y+z$  then

$$(x+z) + (-z) = (y+z) + (-z) \quad \text{by A5 and A1}$$

$$\Rightarrow x + (z + (-z)) = y + (z + (-z)) \quad \text{by A3}$$

$$\Rightarrow x + 0 = y + 0 \quad \text{by A5}$$

$$\Rightarrow x = y \quad \text{by A4. } \square$$

(b)  $\forall x \in \mathbb{R}$  we have

$$x \cdot 0 = x(0+0) \quad \text{by A4}$$

$$\Rightarrow x \cdot 0 = x \cdot 0 + x \cdot 0 \quad \text{by DL}$$

$$\Rightarrow 0 + x \cdot 0 = x \cdot 0 + x \cdot 0 \quad \text{by A4 and A2}$$

$$\Rightarrow 0 = x \cdot 0 \quad \text{by part (a)} \quad \square$$

(c)  $\forall x \in \mathbb{R}$  we have

$$\begin{aligned}x + (-1) \cdot x &= x + x(-1) && \text{by M2} \\&= x \cdot 1 + x(-1) && \text{by M4} \\&= x[1 + (-1)] && \text{by DL} \\&= x \cdot 0 && \text{by A5} \\&= 0 && \text{by (b).}\end{aligned}$$

Thus  $(-1) \cdot x = -x$  by the uniqueness of  $-x$  in A5.  $\square$

(d) Exercise

(e) Suppose that  $x < y$ . Then

$$\begin{aligned}x + [(-x) + (-y)] &< y + [(-x) + (-y)] && \text{by O3} \\ \Rightarrow x + [(-x) + (-y)] &< y + [(-y) + (-x)] && \text{by A2} \\ \Rightarrow [x + (-x)] + (-y) &< [y + (-y)] + (-x) && \text{by A3} \\ \Rightarrow 0 + (-y) &< 0 + (-x) && \text{by A5} \\ \Rightarrow -y &< -x && \text{by A2 and A4.}\end{aligned}$$

The converse is similar.  $\square$

(f) Exercise

Any system which satisfies these 15 axioms is an ordered field.

Ex:  $\mathbb{R}$ ,  $\mathbb{Q}$ .

Ex: Let  $F$  be the set of all rational functions, i.e.  $F$  is the set of all quotients of polynomials. A typical element in  $F$  look like:

$$P = \frac{a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0}{b_m x^m + b_{m-1} x^{m-1} + \dots + b_1 x + b_0}$$

with  $a_i, b_i \in \mathbb{R}$  and  $b_m \neq 0$ .

Using regular addition and multiplication we see  $F$  is a field. We can define an order on  $F$ :  $p > 0$  iff  $a_n$  and  $b_m$  have the same sign, i.e.  $a_n b_m > 0$ .

$$\text{Eg: } \frac{3x^2 + 4x - 1}{7x^5 + 5} > 0 \quad \text{since } 3 \cdot 7 > 0.$$

If  $\frac{P}{Q}$  and  $\frac{F}{G}$  are rational functions, we say  $\frac{P}{Q} > \frac{F}{G}$  iff

$$\frac{P}{Q} - \frac{F}{G} > 0.$$

It is an exercise to show this satisfies the order axioms.

So  $F$  is an ordered field.

Theorem 26: Let  $x, y \in \mathbb{R}$  s.t.  $x \leq y + \epsilon \quad \forall \epsilon > 0$ . Then  $x \leq y$ .

pf: (Contrapositive) By axiom 01, the negation of  $x \leq y$  is  $x > y$ . Thus we suppose  $x > y$  and we must show  $\exists \epsilon > 0$  s.t.  $x > y + \epsilon$ . Let  $\epsilon = \frac{x-y}{2}$ . Since  $x > y$ ,  $\epsilon > 0$ . Furthermore

$$y + \epsilon = y + \frac{x-y}{2} = \frac{x+y}{2} < \frac{x+x}{2} = x \quad \text{as required.}$$

□

Theorem 27: Let  $x, y \in \mathbb{R}$  and let  $a \geq 0$ . Then

a)  $|x| \geq 0$

b)  $|x| \leq a$  iff  $-a \leq x \leq a$

c)  $|x \cdot y| = |x| |y|$

d)  $|x+y| \leq |x| + |y|$  Triangle inequality

Pf: a) There are two cases: If  $x \geq 0$  then  $|x| = x \geq 0$ .  
On the other hand if  $x < 0$ ,  $|x| = -x > 0$ . In both cases  $|x| \geq 0$ .

b) Since  $|x| = x$  or  $-|x| = x$  it follows that  $-|x| \leq x \leq |x|$ .  
So if  $|x| \leq a$ , then

$$-a \leq -|x| \leq x \leq |x| \leq a$$

Conversely suppose  $-a \leq x \leq a$ . If  $x \geq 0$  then  $|x| = x \leq a$ , and if  $x < 0$ ,  $|x| = -x \leq a$ , so  $|x| \leq a$ .

c) Exercise

d) As in (b) we have

$-|x| \leq x \leq |x|$  and  $-|y| \leq y \leq |y|$ . Adding these we get

$-(|x| + |y|) \leq x+y \leq |x| + |y|$ , so by part (b),

$$\Rightarrow |x+y| \leq |x| + |y|. \quad \square$$