

# Simplicial volume and CAT(-1) filling

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Dborvnik, 2011 June

# 1. Simplicial volume

- ▶ Let  $X$  be a space, and  $c = \sum_{i=1}^k a_i \sigma_i$ ,  $a_i \in \mathbb{R}$ , a singular real chain. Define **the  $\ell^1$ -norm** by  $\|c\|_1 = \sum_i |a_i|$ .  
For  $\omega \in H_*(X; \mathbb{R})$ , the **simplicial norm** is defined by

$$\|\omega\| = \inf\{\|z\|_1 \mid \partial z = 0, [z] = \omega\}.$$

This is a semi-norm.

- ▶ If  $M$  is a closed oriented  $n$ -manifold, the **simplicial volume** is defined by

$$\|M\| = \|[M]\|,$$

where  $[M]$  is the fundamental class. If  $M$  is non-orientable, define  $\|M\| = \|M'\|/2$  for the double cover  $M'$ .

## 2. Motivation – minimal volume

Let  $M$  be a closed manifold.

Want to find an extremal Riemannian metric  $g$  on  $M$ , e.g.,

$\text{vol}(M, g)$  is smallest with  $|K_g| \leq 1$ .

$\text{vol}(cM) \rightarrow 0$  as  $c \rightarrow 0$ , but  $K \rightarrow \infty$  unless  $K = 0$ .

Gromov defined the **minimal volume** of  $M$  by

$$\text{Minvol}(M) = \inf_{|K_g| \leq 1} \text{vol}(M, g) \geq 0$$

**Question** When is  $\text{Minvol } M > 0$  ? Is  $\text{Minvol } M$  attained ? What is an extremal metric ?

**Example**

If  $\dim M = 2$ , then by Gauss-Bonnet thm, for any metric  $g$ ,

$$\int_M K dv = 2\pi\chi(M)$$

It follows  $|2\pi\chi(M)| \leq \int_M 1 dv = \text{vol } M$  if  $|K| \leq 1$ , therefore  $\text{Minvol } M = 2\pi|\chi(M)|$ , and extremal metrics satisfy  $K = -1$  if  $\chi(M) < 0$ .

### 3. Lower bound of Minvol $M$

#### Theorem (Gromov)

For an  $n$ -manifold  $M$ ,

$$C_n ||M|| \leq \text{Minvol}(M),$$

where  $C_n > 0$  is a constant which depends only on the dimension  $n$ .

- ▶ Question: When  $||M|| > 0$
- ▶ For a continuous map  $f : M^n \rightarrow N^n$ ,

$$||M|| \geq |\deg(f)| ||N||$$

Therefore if there is  $f : M \rightarrow M$  with  $\deg(f) \neq 0, \pm 1$ , then  $||M|| = 0$ . For example,  $||S^n|| = 0$ ,  $||T^n|| = 0$ .

#### 4. $K < 0$ implies $\|M\| > 0$

By “straightening” of a simplex,

##### Theorem (Gromov-Thurston)

*If  $M^n$  is a closed  $R$ -manifold with  $K \leq -1$ , then*

$$\text{vol } M \leq c_n \|M\|,$$

*where  $c_n$  is a constant which depends only on the dimension  $n$ .*

*In particular  $0 < \|M\|$ .*

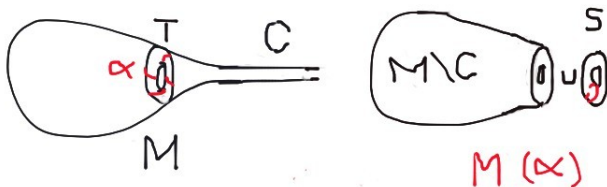
*Moreover, if  $K = -1$ , then  $\text{vol } M = T_n \|M\|$ , where  $0 < T_n < \infty$  is the sup of the volume of a geodesic  $n$ -simplex in  $\mathbb{H}^n$ .*

- ▶ Combined with the previous thm, if  $K = -1$ , then  $C_n \text{vol } M / T_n \leq \text{Minvol } M$ .
- ▶ By now, for a closed hyperbolic manifold  $M$ , we know  $\text{Minvol } M = \text{vol}(M)$  and the extremal metric is hyperbolic (Besson-Courtois-Gallot).

## 5. Dehn filling

Let  $M$  be a non-compact hyperbolic 3-manifold of finite volume.  $M$  has finitely many cusps. For simplicity, let's assume it has only one cusp,  $C = T^2 \times [0, \infty)$ .

Let  $\alpha \subset T^2$  be a simple (geodesic) loop. We remove  $C$  from  $M$  and glue a solid torus along  $T^2$  to kill  $[\alpha] \in \pi_1(T) \simeq \mathbb{Z}^2$ . We get a closed manifold  $M(\alpha)$ . This is **Dehn filling**.



## 6. Hyperbolic Dehn filling, $2\pi$ -theorem

### Theorem (Thurston)

*$M(\alpha)$  has a hyperbolic structure except for finitely many  $\alpha$  (in terms of  $\pi_1(\alpha)$ ).*

*If  $M(\alpha)$  is hyperbolic, then  $\text{vol } M(\alpha) < \text{vol } M$ .*

Thurston deforms the representation  $\pi_1(M) \rightarrow PSL(2, \mathbb{C})$  such that the image of  $[\alpha] = 1$ , and obtain a representation  $\pi_1(M(\alpha)) \rightarrow PSL(2, \mathbb{C})$ .

### Theorem (Gromov, $2\pi$ -theorem)

*$M(\alpha)$  has a Riemannian metric of negative curvature if  $\ell(\alpha) > 2\pi$ .*

Gromov extends the hyperbolic metric on  $M \setminus C$  to the solid torus  $S$ , and obtain a metric of negative curvature on  $M(\alpha)$ .

For each  $M$ , there are infinitely many  $\pi_1(M(\alpha))$ . They approximate  $M$ , therefore the diameter  $\rightarrow \infty$  although the volume is bounded from above and the sectional curvature is pinched between  $-1$  and  $-a^2$  for some  $a > 0$ .

## 7. Filling in $\dim \geq 4$

Assume  $d = \dim \geq 4$ . Let  $M$  be a hyperbolic  $d$ -manifold of finite volume with toral cusps (let's assume only one cusp)

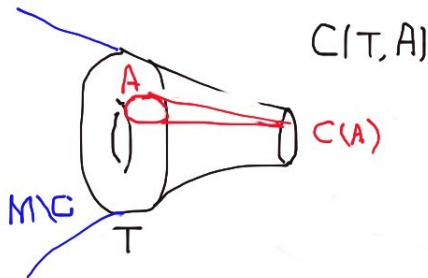
$C = T^{n-1} \times [0, \infty)$ . Let  $A^{n-2} \subset T$  be a flat subtorus.

Topologically  $T = S^1 \times A$ .

Let  $C(A)$  be the cone over  $A$ . We define a **partial cone** by

$$C(T, A) = C(A) \times S^1$$

Remove  $C$  from  $M$  and glue  $C(T, A)$ , and obtain  $M(A)$ , **Dehn**



filling.

- ▶ The Dehn-filling  $M(A)$  is not a manifold, only a pseudo-manifold. The singular set is  $S^1$  (the cone points).
- ▶ For  $1 \leq \dim A < d - 2$ , we can also define the partial cone  $C(T, A)$ , and the Dehn filling  $M(A)$  similarly. The singular set is  $T^{d-1-\dim A}$ .
- ▶ The pair  $(\pi_1(M \setminus C), \pi_1(T))$  is relatively hyperbolic. In  $M(A)$ , we kill  $\mathbb{Z}^{n-2} \simeq \pi_1(A) < \pi_1(T) \simeq \mathbb{Z}^{n-1}$ , therefore  $\pi_1(M(A))$  has a chance to be word-hyperbolic (cf. Grove-Manning-Osin).

## 8. CAT(-1) filling

We generalize  $2\pi$ -theorem.

### Theorem (Manning-F)

*If a shortest non-trivial loop on  $A$  has length  $> 2\pi$ , then we can put a metric on  $M(A)$  which is locally CAT(-1).*

- ▶ We use warped metrics following Gromov. The metric is Riemannian except for the singular set.
- ▶  $\pi_1(M(A))$  is word-hyperbolic, and we obtain a family of interesting examples: torsion-free,  $\dim G = \dim M$ , not Poincare duality groups.
- ▶ If  $T$  satisfies the  $2\pi$ -condition, one can cone off  $T$  and put locally CAT(-1) metric on  $M(T)$  (Mosher-Sageev).
- ▶ Even if  $T$  is small (i.e. does not satisfy the  $2\pi$ -condition), we can always find  $A$  which satisfies the  $2\pi$  condition.
- ▶ If  $\dim A < \dim M - 2$ , we can still put a locally CAT(0) metric on  $M(A)$  (cf. Schroeder when  $M(A)$  is a manifold, i.e.  $\dim A = 1$ )

## 9. Upper bound on $||M(A)||$

- ▶  $M(A)$  is not a manifold, and there is no canonical metric for  $\text{vol } M(A)$ , but we can define  $||M(A)||$  for a pseudo-manifold by  $||[M(A)]||$ .
- ▶ Remember that in  $\dim = 3$ ,  $\text{vol } M(\alpha) < \text{vol } M$ , therefore  $||M(\alpha)|| < ||M||$ .

### Theorem (Manning-F)

*Let  $M$  be a hyperbolic  $d$ -manifold of finite volume with toral cusps,  $d \geq 3$ . If  $A^{d-2} \subset T^{d-1} \subset M^d$  satisfies  $2\pi$ -condition, then*

$$||M(A)|| \leq ||M||$$

We don't know if  $||M(A)|| < ||M||$ .

## 10. Questions on finiteness

Our theorem raises a question. Define for  $d, V$ ,

$$C(d, V) = \{ \pi_1(M) \mid M : \text{a closed Riem.mfd,} \\ \dim = d, \|M\| \leq V, (-1 \leq) K < 0 \}$$

Question:  $\#C(d, V) = \infty$  ?

- ▶ Finite if  $d = 2$ .  $\|M\|$  grows linearly on the genus.
- ▶ If  $d = 3$ , then  $\infty$  by hyperbolic Dehn fillings.  
 $\text{vol } M(\alpha) < \text{vol } M$ .
- ▶ Unknown if  $d \geq 4$ .
  - ▶ If we replace  $\|M\| \leq V$  by  $\text{vol } M \leq V$ , then finite; since it follows  $\text{diam}(M) \leq C(V)$  by Gromov, then a finiteness thm by Cheeger applies to  $M$ .
  - ▶ Or, if we additionally assume  $-1 \leq K \leq -a^2 < 0$  (pinching), and define a subclass  $C(d, V, a)$ , then finite; since we then have  $\text{vol } M \leq V_1(V, d, a)$  from  $\|M\| \leq V$ .

- ▶ If we allow **pseudo-manifolds with locally CAT(-1) metrics**, then  $\infty$  by our theorem for all  $d \geq 4$ , since  $M(A)$  is locally CAT(-1) and  $\|M(A)\| \leq \|M\|$  for all  $A$ .
- ▶ **Approach to  $C(d, V)$ :**
  - ▶ To show finiteness by contradiction, let  $M_i$  be a sequence, and let it converge to  $M_\infty$ , then analyze  $M_\infty$ .  
Don't know how to use  $\|M_i\| \leq V$ .
  - ▶ If we expect  $\infty$ , since pinching  $-1 \leq K \leq -a^2 < 0$  gives finiteness, we need a sequence of manifolds  $M_i$  of negative curvature which does not allow pinching.  
Only one example is known using “branch coverings” (Gromov-Thurston), but in that example  $\|M_i\| \rightarrow \infty$ .  
Need a new example to show  $\infty$ .