

**AMENABILITY  
(RIGIDITY VIA ERGODIC METHODS)**

Hereafter  $\Gamma$  is assumed to be a countable discrete group, but the definitions and proofs can be extended to locally compact secondly countable groups. The left regular representation  $\lambda : \Gamma \rightarrow U(\ell^2\Gamma)$  by

$$(\lambda(g)f)(x) = f(g^{-1}x).$$

We shall use the same formula to define the linear isometric representation of  $\Gamma$  on  $\ell^1(\Gamma)$ , on  $\ell^\infty\Gamma$ , etc.

**Theorem A.** *For a group  $\Gamma$  the following conditions are equivalent:*

- (1) *There exist a sequence  $\{F_n\}$  of finite subsets of  $\Gamma$  so that for every  $g \in \Gamma$*

$$\lim_{n \rightarrow \infty} \frac{|gF_n \Delta F_n|}{|F_n|} = 0.$$

- (2) *There exist  $f_n \in \ell^2\Gamma$  with  $\|f_n\|_2 = 1$  so that for every  $g \in \Gamma$*

$$\lim_{n \rightarrow \infty} \|\lambda(g)f_n - f_n\|_2 = 0.$$

- (3) *There exist  $f_n \in \ell^1\Gamma$  with  $\|f_n\|_1 = 1$  so that for every  $g \in \Gamma$*

$$\lim_{n \rightarrow \infty} \|\lambda(g)f_n - f_n\|_1 = 0.$$

- (4) *There exists a  $\Gamma$ -invariant point in the space  $\text{MEAN}(\Gamma) \subset (\ell^\infty\Gamma)^*$ , i.e. a linear functional  $M$  on  $\ell^\infty\Gamma$  that is positive ( $f \geq 0$  implies  $M(f) \geq 0$ ), normalized ( $M(\mathbf{1}) = 1$ ), and  $\Gamma$ -invariant ( $M(\lambda(g)f - f) = 0$  for  $g \in \Gamma, f \in \ell^\infty\Gamma$ ).*

- (5) *For any convex compact subset  $Q \subset V$  in a locally convex topological vector space  $V$ , and  $\Gamma$ -action on  $Q$  by continuous affine maps, there is a  $\Gamma$ -fixed point:*

$$\forall a : \Gamma \rightarrow \text{Aff}(Q), \quad Q^\Gamma \neq \emptyset.$$

- (6) *For any action  $\Gamma \curvearrowright X$  by homeomorphisms, on a compact metrizable space  $X$ , there is  $\Gamma$ -invariant probability measure  $\mu$  on  $X$ :*

$$\forall \Gamma \rightarrow \text{Homeo}(X), \quad \text{Prob}(X)^\Gamma \neq \emptyset.$$

Groups satisfying these equivalent conditions are called **amenable**.

**Problem 1.** Prove that if condition (1) above (called **Følner condition**) is satisfied, one may replace the sequence  $\{F_n\}$  by a sequence  $\{F'_n\}$  of finite subsets that in addition to the almost invariance satisfies:

$$F'_n \subset F'_2 \subset \dots \subset F'_n \rightarrow \Gamma.$$

**Problem 2.** Prove that in conditions (2) and (3) one may assume  $f_n$  to be positive.

**Problem 3.** Prove: (1)  $\implies$  (2)  $\implies$  (3)  $\implies$  (4)  $\implies$  (5)  $\implies$  (6).

*Suggestions:*

For (2) use  $f_n = |F_n|^{-1/2} \cdot 1_{F_n}$ .

For (3) apply Cauchy-Schwarz

$$|\lambda(g)f_n^2 - f_n^2| = |\lambda(g)f_n - f_n| \cdot |\lambda(g)f_n + f_n|.$$

For (4) embed  $\ell^1\Gamma \subset \ell^\infty(\Gamma)^*$  and use weak-\* compactness of  $\text{MEAN}(\Gamma)$ .

For (5) fix a point  $q_0 \in Q$  and for  $\phi \in V^*$  apply  $M$  to the function  $f_\phi(g) := \phi(a(g).q_0)$  to find  $q \in Q$  with  $\phi(q) = M(f_\phi)$ .

For (6) note that  $\text{Prob}(X)$  is an example of a convex compact with respect to the weak-\* convergence (see below).

Let  $X$  be a metrizable compact space. Recall that any  $\mu \in \text{Prob}(X)$  defines linear functional on  $C(X)$  by

$$\mu(f) := \int_X f d\mu$$

which is positive ( $f \geq 0$  implies  $\mu(f) \geq 0$ ) and normalized ( $\mu(\mathbf{1}) = 1$ ). By Riesz representation theorem every positive, normalized, linear functional comes from a unique  $\mu \in \text{Prob}(X)$ . The weak-\* topology on  $\text{Prob}(X)$  is defined by sets

$$U(\mu, f_1, \dots, f_k, \epsilon) = \left\{ \nu \in \text{Prob}(X) \mid \max_{1 \leq j \leq k} |\mu(f_j) - \nu(f_j)| < \epsilon \right\}$$

as a basis for the topology, where  $\mu \in \text{Prob}(X)$ ,  $f_1, \dots, f_k \in C(X)$ ,  $\epsilon > 0$  are fixed.

**Problem 4.** Prove that  $\text{Prob}(X)$  with the weak-\* topology is a convex compact metrizable space, the map  $X \rightarrow \text{Prob}(X)$ ,  $x \mapsto \delta_x$ , is a homeomorphic embedding of  $X$  as the set of **extremal points**, i.e. probability measures  $\mu$  that can be written as  $\mu = \frac{1}{2}\mu_1 + \frac{1}{2}\mu_2$  with  $\mu_1, \mu_2 \in \text{Prob}(X)$  only for  $\mu = \mu_1 = \mu_2$ .

*Suggestion:* To show that  $\text{Prob}(X)$  is a metrizable compact, fix a sequence  $\{f_j\}_{j=1}^\infty$  of continuous functions  $f_j : X \rightarrow [0, 1]$  that span a dense subspace in  $C(X)$  (prove that such a sequence exists, first), and show that the map  $\text{Prob}(X) \rightarrow [0, 1]^\mathbb{N}$ ,  $\mu \mapsto \{\mu(f_j)\}_{j=1}^\infty$ , is an embedding with a closed image.

**Problem 5.** Prove that finite groups and the integers  $\mathbb{Z}$  are amenable groups by verifying as many of the properties (1)-(6) in Theorem A as possible.

**Problem 6.** Prove that the free group  $F_2 = \mathbb{Z} * \mathbb{Z}$  is not amenable by observing the failure of as of the properties (1)-(6) in Theorem A as possible.

A finitely generated group is said to have **sub-exponential growth** if

$$\limsup_{n \rightarrow \infty} n^{-1} \log |B_n| = 0,$$

where  $B_n$  denotes the ball of radius  $n$  with respect to a fixed word metric on the group (check that this property is independent of the choice of a metric).

**Problem 7.** Prove that any finitely generated group of sub-exponential growth is amenable.

*Suggestion:* Prove that some sub-sequence of balls  $\{B_{n_j}\}_{j=1}^\infty$  forms a Følner sequence.

**Theorem B.** Prove that the class Amen of amenable groups is closed under the following operations:

- (1) *Forming extensions: if  $1 \rightarrow A \rightarrow B \rightarrow C \rightarrow 1$  is an exact sequence of groups with  $A$  and  $C$  amenable, then  $B$  is amenable.*
- (2) *Taking subgroups: if  $A < B$  and  $B$  is amenable, then also  $A$  is amenable.*
- (3) *Taking quotients: if  $B \rightarrow C$  is a surjective homomorphism and  $B$  is amenable, then  $C$  is amenable.*
- (4) *Forming direct limits: in particular, if  $A_1 < A_2 < \dots$  is an increasing sequence of amenable groups then their union is also amenable.*

**Problem 8.** Prove properties (1), (3), (4) in Theorem B using the fixed point characterization of amenability as in Theorem A.(5).

**Problem 9 (Important).** Prove that a finite extension of a solvable group has the fixed point characterization of amenability as in Theorem A.(5).

**Theorem C.** Let  $G$  be a lcsc group,  $P < G$  a closed amenable subgroup,  $\Gamma <_L G$  a lattice,  $X$  and a compact metrizable space and  $\rho : \Gamma \rightarrow \text{Homeo}(X)$  a homomorphism.

*Prove that there exists a measurable map*

$$\phi : G/P \rightarrow \text{Prob}(X) \quad \text{satisfying a.e.} \quad \phi \circ \gamma = \rho(\gamma) \circ \phi \quad (\gamma \in \Gamma).$$

*Proof.* Consider the collection of equivalence classes (up to agreement on co-null sets) of measurable maps

$$Q = \{f : G \rightarrow \text{Prob}(X) \mid f(\gamma g) = \rho(\gamma)\phi(g) \quad \gamma \in \Gamma, \text{ a.e. } g \in G\} / \sim$$

This set is non-empty, convex, and compact w.r.to convergence in measure on finite measure subsets. One can also view  $Q$  as a subset of the unit ball in

$$L^\infty(\Gamma \backslash G, \text{Prob}(X)) \subset L^\infty(\Gamma \backslash G, C(X)^*) = L^1(\Gamma \backslash G, C(X))^*.$$

$G$  acts by left translations on  $Q$ ; this action is affine and continuous in the above topology. The restriction of this action has a  $P$ -fixed point  $\Phi : G \rightarrow \text{Prob}(X)$  in  $Q$ , that gives a measurable  $\Gamma$ -equivariant map  $\phi : G/P \rightarrow \text{Prob}(X)$ .  $\square$

Let  $\Gamma$  be a group. A function  $\rho : \Gamma \rightarrow \mathbb{R}$  is called a **quasi-morphism** if

$$\sup_{g,h \in \Gamma} |\rho(xy) - \rho(x) - \rho(y)| < +\infty.$$

The set of all quasi-morphisms forms a vector space w.r.to pointwise operations of addition and multiplication by a scalar. It contains all bounded functions  $\ell^\infty \Gamma$  and the space of characters  $H^1(\Gamma, \mathbb{R})$  as subspaces.

**Problem 10.** Prove that if  $\Gamma$  is amenable than any quasi-morphism  $\rho : \Gamma \rightarrow \mathbb{R}$  is a sum of a character and a bounded function.