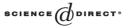


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# The F-signature of an affine semigroup ring

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Dedicated to Professor Kei-ichi Watanabe on the occasion of his 60th birthday

#### Abstract

We prove that the F-signature of an affine semigroup ring of positive characteristic is always a rational number, and describe a method for computing this number. We use this method to determine the F-signature of Segre products of polynomial rings, and of Veronese subrings of polynomial rings. Our technique involves expressing the F-signature of an affine semigroup ring as the difference of the Hilbert-Kunz multiplicities of two monomial ideals, and then using Watanabe's result that these Hilbert-Kunz multiplicities are rational numbers. © 2004 Elsevier B.V. All rights reserved.

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#### 1. Introduction

Let  $(R, \mathfrak{m})$  be a Cohen–Macaulay local or graded ring of characteristic p > 0, such that the residue field  $R/\mathfrak{m}$  is perfect. We assume that R is reduced and F-finite. Throughout q shall denote a power of p, i.e.,  $q = p^e$  for  $e \in \mathbb{N}$ . Let

 $R^{1/q} \approx R^{a_q} \oplus M_q,$ 

where  $M_q$  is an *R*-module with no free summands. The number  $a_q$  is unchanged when we replace *R* by its m-adic completion, and hence is well-defined by the Krull–Schmidt

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theorem. In [7] Huneke and Leuschke define the *F*-signature of *R* as

$$s(R) = \lim_{q \to \infty} \frac{a_q}{q^{\dim R}},$$

provided this limit exists. In this note we study the F-signature of normal monomial rings, and our main result is

**Theorem 1.** Let K be a perfect field of positive characteristic, and R be a normal subring of a polynomial ring  $K[x_1, ..., x_n]$  which is generated, as a K-algebra, by monomials in the variables  $x_1, ..., x_n$ . Then the F-signature s(R) exists and is a positive rational number.

Moreover, s(R) depends only on the semigroup of monomials generating R and not on the characteristic of the perfect field K.

We also develop a general method for computing s(R) for monomial rings, and use it to determine the F-signature of Segre products of polynomial rings, and of Veronese subrings of polynomial rings.

In general, it seems reasonable to conjecture that the limit s(R) exists and is a rational number. Huneke and Leuschke proved that the limit exists if R is a Gorenstein ring, [7, Theorem 11]. They also proved that a ring R is weakly F-regular whenever the limit is positive, and this was extended by Aberbach and Leuschke in [2].

**Theorem 2.** (Huneke and Leuschke [7], Aberbach and Leuschke [2]). Let  $(R, \mathfrak{m})$  be an *F*-finite reduced Cohen–Macaulay ring of characteristic p > 0. Then *R* is strongly *F*-regular if and only if

$$\limsup_{q\to\infty}\,\frac{a_q}{q^{\dim R}}>0.$$

Further results on the existence of the F-signature are obtained by Aberbach and Enescu in the recent preprint [1]. Also, the work of Watanabe and Yoshida [12] and Yao [13] is closely related to the questions studied here.

We mentioned that a graded *R*-module decomposition of  $R^{1/q}$  was used by Peskine–Szpiro, Hartshorne and Hochster, to construct small Cohen–Macaulay modules for *R* in the case where *R* is an  $\mathbb{N}$ -graded ring of dimension three, finitely generated over a field  $R_0$  of characteristic p > 0, see [5, Section 5 F]. The relationship between the *R*-module decomposition of  $R^{1/q}$  and the singularities of *R* was investigated by Smith and Van den Bergh in [9].

## 2. Semigroup rings

The semigroup of nonnegative integers will be denoted by  $\mathbb{N}$ . Let  $x_1, \ldots, x_n$  be variables over a field *K*. By a *monomial* in the variables  $x_1, \ldots, x_n$ , we will mean an element  $x_1^{h_1} \cdots x_n^{h_n} \in K[x_1, \ldots, x_n]$  where  $h_i \in \mathbb{N}$ . We frequently switch between semigroups of monomials in  $x_1, \ldots, x_n$  and subsemigroups of  $\mathbb{N}^n$ , where we identify a monomial  $x_1^{h_1} \cdots x_n^{h_n}$  with  $(h_1, \ldots, h_n) \in \mathbb{N}^n$ . A semigroup *M* of monomials is *normal* if it is finitely generated, and whenever *a*, *b* and *c* are monomials in *M* such that  $ab^k = c^k$  for some positive

integer k, then there exists a monomial  $\alpha \in M$  with  $\alpha^k = a$ . It is well-known that a semigroup M of monomials is normal if and only if the subring  $K[M] \subseteq K[x_1, \ldots, x_n]$  is a normal ring, see [3, Proposition 1].

A semigroup M of monomials is *full* if whenever a, b and c are monomials such that ab = c and  $b, c \in M$ , then  $a \in M$ . By Hochster [3, Proposition 1], a normal semigroup of monomials is isomorphic (as a semigroup) to a full semigroup of monomials in a possibly different set of variables.

**Lemma 3.** Let  $A = K[x_1, ..., x_n]$  be a polynomial ring over a field K, and  $R \subseteq A$  be a subring generated by a full semigroup of monomials. Let m denote the homogeneous maximal ideal of R, and assume that R contains a monomial  $\mu$  in which each variable  $x_i$  occurs with positive exponent. For positive integers t, let  $\mathfrak{a}_t$  denote the ideal of R generated by the monomials in R which do not divide  $\mu^t$ .

- (1) The ideals  $a_t$  are irreducible and m-primary, and the image of  $\mu^t$  generates the socle of the ring  $R/a_t$ .
- (2) The ideals  $\mathfrak{a}_t$  form a non-increasing sequence  $\mathfrak{a}_1 \supseteq \mathfrak{a}_2 \supseteq \mathfrak{a}_3 \supseteq \ldots$  which is cofinal with the sequence  $\mathfrak{m} \supseteq \mathfrak{m}^2 \supseteq \mathfrak{m}^3 \supseteq \ldots$ .
- (3) Let *M* be a finitely generated *R*-module with no free summands. Then  $\mu^t M \subseteq \mathfrak{a}_t M$  for all  $t \gg 0$ .
- (4) Let K be a perfect field of characteristic p > 0, and  $R^{1/q} \approx R^{a_q} \oplus M_q$  be an R-module decomposition of  $R^{1/q}$  where  $M_q$  has no free summands. Then

$$a_q = \ell \left( \frac{R}{\mathfrak{a}_t^{[q]} :_R \mu^{tq}} \right) \quad \text{for all } t \gg 0.$$

**Proof.** (1) It suffices to consider t = 1 and  $\mathfrak{a} = \mathfrak{a}_1$ . Every non-constant monomial in *R* has a suitably high power which does not divide  $\mu$ , so  $\mathfrak{a}$  is m-primary. If  $\alpha \in R$  is any monomial of positive degree, then  $\alpha \mu \in \mathfrak{a}$ , and so  $\mathfrak{m} \subseteq \mathfrak{a}_{:R}\mu$ . Also  $\mu \notin \mathfrak{a}$ , so we conclude that  $\mathfrak{a}_{:R}\mu = \mathfrak{m}$ . Since  $\mathfrak{a}$  is a monomial ideal, the socle of  $R/\mathfrak{a}$  is spanned by the images of some monomials. If  $\theta \in R$  is a monomial whose image is a nonzero element of the socle of  $R/\mathfrak{a}$ , then  $\mu = \beta \theta$  for a monomial  $\beta \in R$ . If  $\beta \in \mathfrak{m}$  then  $\mu \in \mathfrak{m}\theta \subseteq \mathfrak{a}$ , a contradiction. Consequently we must have  $\beta = 1$ , i.e.,  $\theta = \mu$ .

(2) Since each  $x_i$  occurs in  $\mu \in R$  with positive exponent and R is generated by a full semigroup of monomials, we see that

$$\mathfrak{a}_t \subseteq (x_1^{t+1}, \ldots, x_n^{t+1})A \cap R.$$

It follows that  $\{\mathfrak{a}_t\}_{t\in\mathbb{N}}$  is cofinal with the sequence of ideals  $\{\mathfrak{m}^t\}_{t\in\mathbb{N}}$ .

(3) For an arbitrary element  $m \in M$ , consider the homomorphism  $\phi : R \to M$  given by  $r \mapsto rm$ . Since the module *M* has no free summands,  $\phi$  is not a split homomorphism. By Hochster [4, Remark 2], there exists  $t_0 \in \mathbb{N}$  such that  $\mu^{t_0}m \in \mathfrak{a}_{t_0}M$ , equivalently, such that the induced map

$$\phi_{t_0}: R/\mathfrak{a}_{t_0} \to M/\mathfrak{a}_{t_0}M$$

is not injective. If  $\overline{\phi}_t : R/\mathfrak{a}_t \to M/\mathfrak{a}_t M$  is injective for some  $t \ge t_0$ , then it splits since  $R/\mathfrak{a}_t$  is a Gorenstein ring of dimension zero; however this implies that the map

$$\overline{\phi}_{t_0}: R/\mathfrak{a}_t \bigotimes_{R/\mathfrak{a}_t} R/\mathfrak{a}_{t_0} \to M/\mathfrak{a}_t M \bigotimes_{R/\mathfrak{a}_t} R/\mathfrak{a}_{t_0}$$

splits as well, which is a contradiction. Consequently  $\overline{\phi_t}(\overline{\mu^t}) = 0$ , and hence  $\mu^t m \in \mathfrak{a}_t M$  for all  $t \gg t_0$ . The module *M* is finitely generated, and so we must have  $\mu^t M \subseteq \mathfrak{a}_t M$  for all  $t \gg 0$ .

(4) For any ideal  $b \subseteq R$ , we have

$$\frac{R^{1/q}}{\mathfrak{b}R^{1/q}} \cong \left(\frac{R}{\mathfrak{b}R}\right)^{a_q} \oplus \frac{M_q}{\mathfrak{b}M_q}$$

and so

$$\ell\left(\frac{R}{\mathfrak{b}^{[q]}}\right) = \ell\left(\frac{R^{1/q}}{\mathfrak{b}R^{1/q}}\right) = a_q \ell\left(\frac{R}{\mathfrak{b}}\right) + \ell\left(\frac{M_q}{\mathfrak{b}M_q}\right).$$

Using this for the ideals  $a_t$  and  $a_t + \mu^t R$  and taking the difference, we get

$$a_{q}\left[\ell\left(\frac{R}{\mathfrak{a}_{t}}\right)-\ell\left(\frac{R}{\mathfrak{a}_{t}+\mu^{t}R}\right)\right]+\ell\left(\frac{M_{q}}{\mathfrak{a}_{t}M_{q}}\right)-\ell\left(\frac{M_{q}}{\mathfrak{a}_{t}M_{q}+\mu^{t}M_{q}}\right)$$
$$=\ell\left(\frac{R}{\mathfrak{a}_{t}^{[q]}}\right)-\ell\left(\frac{R}{\mathfrak{a}_{t}^{[q]}+\mu^{tq}R}\right)=\ell\left(\frac{R}{\mathfrak{a}_{t}^{[q]}:R\mu^{tq}}\right)$$

By (3)  $\mu^t M_q \subseteq \mathfrak{a}_t M_q$  for all  $t \gg 0$ , and the result follows.  $\Box$ 

**Lemma 4.** Let *K* be a perfect field of characteristic p > 0, and *R* be a subring of  $A = K[x_1, \ldots, x_n]$  generated by a full semigroup of monomials with the property that for every *i* with  $1 \le i \le n$ , there exists a monomial  $a_i \in A$  in the variables  $x_1, \ldots, \hat{x_i}, \ldots, x_n$  such that  $a_i/x_i = \mu_i/\eta_i$  for monomials  $\mu_i, \eta_i \in R$ . Let  $\mu_0 \in R$  be a monomial in which each  $x_i$  occurs with positive exponent, and set  $\mu = \mu_0\mu_1\cdots\mu_n$ . For  $t \ge 1$ , let  $\mathfrak{a}_t$  be the ideal of *R* generated by monomials in *R* which do not divide  $\mu^t$ . Then, for every prime power  $q = p^e$  and integer  $t \ge 1$ , we have

$$\mathfrak{a}_t^{[q]}:_R\mu^{tq}=\mathfrak{m}_A^{[q]}\cap R$$

where  $\mathfrak{m}_A = (x_1, \ldots, x_n)A$  is the maximal ideal of A. If  $\mathbb{R}^{1/q} \approx \mathbb{R}^{a_q} \oplus M_q$  is an R-module decomposition of  $\mathbb{R}^{1/q}$  where  $M_q$  has no free summands, then

$$a_q = \ell\left(\frac{R}{\mathfrak{a}_t^{[q]}:_R\mu^{tq}}\right) = \ell\left(\frac{R}{\mathfrak{m}_A^{[q]}\cap R}\right) \quad \text{for all } q = p^e \text{ and } t \ge 1.$$

**Proof.** By Lemma 3(4), it suffices to prove that

$$\mathfrak{a}_t^{[q]}:_R \mu^{tq} = \mathfrak{m}_A^{[q]} \cap R \quad \text{for all } q = p^e \text{ and } t \ge 1.$$

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Given a monomial  $r \in \mathfrak{a}_t^{[q]}:_R \mu^{tq}$ , there exists a monomial  $\eta \in R$  which does not divide  $\mu^t$  for which  $r\mu^{tq} \in \eta^q R$ . Since  $\mu^t / \eta$  is an element of the fraction field of R which is not in R, we must have  $\mu^t / \eta \notin A$  and so  $\eta A:_A \mu^t \subseteq \mathfrak{m}_A$ . Taking Frobenius powers over the regular ring A, we get

$$\eta^q A:_A \mu^{tq} \subseteq \mathfrak{m}_A^{[q]}$$

and hence  $r \in \mathfrak{m}_A^{[q]} \cap R$ . This shows that  $\mathfrak{a}_t^{[q]}:_R \mu^{tq} \subseteq \mathfrak{m}_A^{[q]} \cap R$ . For the reverse inclusion, consider a monomial  $bx_i^q \in R$  where  $b \in A$ . Then

$$bx_i^q \mu^{tq} = ba_i^q \left(\frac{\mu^t \eta_i}{\mu_i}\right)^q,$$

where  $ba_i^q$  and  $\mu^t \eta_i / \mu_i$  are elements of *R*. It remains to verify that  $\mu^t \eta_i / \mu_i \in \mathfrak{a}_t$ , i.e., that it does not divide  $\mu^t$  in *R*. Since

$$\frac{\mu^t}{\mu^t\eta_i/\mu_i}=\frac{a_i}{x_i},$$

this follows immediately.  $\Box$ 

**Lemma 5.** Let R' be a normal monomial subring of a polynomial ring over a field K. Then R' is isomorphic to a subring R of a polynomial ring  $A = K[x_1, ..., x_n]$  where R is generated by a full semigroup of monomials, and for every  $1 \le i \le n$ , there exists a monomial  $a_i \in A$  in the variables  $x_1, ..., \hat{x_i}, ..., x_n$ , for which  $a_i/x_i$  is an element of the fraction field of R.

**Proof.** Let  $M \subseteq \mathbb{N}^r$  be the subsemigroup corresponding to the inclusion of rings  $R' \subseteq K[y_1, \ldots, y_r]$ . Let  $W \subseteq \mathbb{Q}^r$  denote the  $\mathbb{Q}$ -vector space spanned by M, and  $W^* = \text{Hom}_{\mathbb{Q}}(W, \mathbb{Q})$  be its dual vector space. Then

 $U = \{ w^* \in W^* : w^*(m) \ge 0 \text{ for all } m \in M \}$ 

is a finite intersection of half-spaces in  $W^*$ . Let  $w_1^*, \ldots, w_n^* \in U$  be a minimal  $\mathbb{Q}_+$ generating set for U, where  $\mathbb{Q}_+$  denotes the nonnegative rationals. Replacing each  $w_i^*$  by a suitable positive multiple, we may ensure that  $w_i^*(m) \in \mathbb{N}$  for all  $m \in M$ , and also that  $w_i^*(M) \not\subseteq a\mathbb{Z}$  for any integer  $a \ge 2$ . It is established in [3, Section 2] that the map  $T : W \to \mathbb{Q}^n$  given by

 $T = (w_1^*, \ldots, w_n^*)$ 

takes *M* to an isomorphic copy  $T(M) \subseteq \mathbb{N}^n$ , which is a full subsemigroup of  $\mathbb{N}^n$ . Let  $R \subseteq A = K[x_1, \ldots, x_n]$  be the monomial subring corresponding to  $T(M) \subseteq \mathbb{N}^n$ .

Fix *i* with  $1 \le i \le n$ . Since  $w_i^*(M) \not\subseteq a\mathbb{Z}$  for any integer  $a \ge 2$ , the fraction field of *R* contains an element  $x_1^{h_1} \cdots x_n^{h_n}$  such that  $h_1, \ldots, h_n \in \mathbb{Z}$  and  $h_i = -1$ . Also, there exists  $m \in M$  such that  $w_i^*(m) = 0$  and  $w_j^*(m) \neq 0$  for all  $j \neq i$ . Consequently *R* contains a monomial  $\alpha = x_1^{s_1} \cdots x_n^{s_n}$  with  $s_i = 0$  and  $s_j > 0$  for all  $j \neq i$ . For a suitably large integer  $t \ge 1$ , the element

$$x_1^{h_1}\cdots x_n^{h_n}\alpha^t = a_i/x_i$$

belongs to the fraction field of R where  $a_i \in A$  is a monomial in the variables  $x_1, \ldots, \hat{x_i}, \ldots, x_n$ .  $\Box$ 

**Proof of Theorem 1.** By Lemma 5, we may assume that *R* is a monomial subring of  $A = K[x_1, ..., x_n]$  satisfying the hypotheses of Lemma 4. For the choice of  $\mu$  as in Lemma 4, the ideals  $\mathfrak{a}_{e}^{[q]}:_R \mu^{tq}$  do not depend on  $t \in \mathbb{N}$ . Setting  $\mathfrak{a} = \mathfrak{a}_1$  we get

$$a_q = \ell\left(\frac{R}{\mathfrak{a}^{[q]}_{:R}\mu^q}\right) = \ell\left(\frac{R}{\mathfrak{a}^{[q]}}\right) - \ell\left(\frac{R}{\mathfrak{a}^{[q]}_{:R}+\mu^q R}\right),$$

i.e.,  $a_q$ , as a function of  $q = p^e$ , is a difference of two Hilbert–Kunz functions. Let  $d = \dim R$ . By Monsky [8] the limits

$$e_{\mathrm{HK}}(\mathfrak{a}) = \lim_{q \to \infty} \frac{1}{q^d} \ell\left(\frac{R}{\mathfrak{a}^{[q]}}\right) \quad \text{and} \quad e_{\mathrm{HK}}(\mathfrak{a} + \mu R) = \lim_{q \to \infty} \frac{1}{q^d} \ell\left(\frac{R}{\mathfrak{a}^{[q]} + \mu^q R}\right)$$

exist, and by Watanabe [11] they are rational numbers. Consequently the limit

$$\lim_{q \to \infty} \frac{a_q}{q^d} = e_{\rm HK}(\mathfrak{a}) - e_{\rm HK}(\mathfrak{a} + \mu R)$$

exists and is a rational number. The ring *R* is F-regular, so the positivity of s(R) follows from the main result of [2]; as an alternative proof, we point out that  $\mu \notin \mathfrak{a}^*$ , and consequently  $e_{\text{HK}}(\mathfrak{a}) > e_{\text{HK}}(\mathfrak{a} + \mu R)$  by Hochster and Huneke [6, Theorem 8.17].

By Watanabe [11] the Hilbert–Kunz multiplicities  $e_{\text{HK}}(\mathfrak{a})$  and  $e_{\text{HK}}(\mathfrak{a}+\mu R)$  do not depend on the characteristic of the field *K*, and so the same is true for s(R).

**Remark 6.** Let  $(R, \mathfrak{m}, K)$  be a local or graded ring of characteristic p > 0, and let  $\eta \in E_R(K)$  be a generator of the socle of the injective hull of *K*. In [12] Watanabe and Yoshida define the minimal relative Hilbert–Kunz multiplicity of *R* to be

$$m_{\rm HK}(R) = \liminf_{e \to \infty} \frac{\ell(R/\operatorname{ann}_R(F^e(\eta)))}{p^{de}},$$

where  $d = \dim R$ . They compute  $m_{\text{HK}}(R)$  in the case *R* is the Segre product of polynomial rings [12, Theorem 5.8]. Their work is closely related to our computation of s(R) in the example below.

### 3. Examples

**Example 7.** Let *K* be a perfect field of positive characteristic, and consider integers  $r, s \ge 2$ . Let *R* be the Segre product of the polynomial rings  $K[x_1, \ldots, x_r]$  and  $K[y_1, \ldots, y_s]$ , i.e., *R* is subring of  $A = K[x_1, \ldots, x_r, y_1, \ldots, y_s]$  generated over *K* be the monomials  $x_i y_j$  for  $1 \le i \le r$  and  $1 \le j \le s$ . It is well-known that *R* is isomorphic to the determinantal ring obtained by killing the size two minors of an  $r \times s$  matrix of indeterminates, and that the dimension of the ring *R* is d = r + s - 1. Lemma 4 enables us to compute not just the F-signature s(R), but also a closed-form expression for the numbers  $a_q$ . The rings  $R \subseteq A$  satisfy the hypotheses of Lemma 4, and so

$$a_q = \ell\left(\frac{R}{\mathfrak{m}_A^{[q]} \cap R}\right) = \ell\left(\frac{K[x_1, \dots, x_r]}{(x_1^q, \dots, x_r^q)} \# \frac{K[y_1, \dots, y_s]}{(y_1^q, \dots, y_s^q)}\right),$$

where # denotes the Segre product. The Hilbert-Poincaré series of these rings are

$$\operatorname{Hilb}\left(\frac{K[x_1, \dots, x_r]}{(x_1^q, \dots, x_r^q)}, u\right) = \frac{(1 - u^q)^r}{(1 - u)^r}, \quad \operatorname{Hilb}\left(\frac{K[y_1, \dots, y_s]}{(y_1^q, \dots, y_s^q)}, v\right) = \frac{(1 - v^q)^s}{(1 - v)^s}$$

and so  $a_q$  is the sum of the coefficients of  $u^i v^i$  in the polynomial

$$\frac{(1-u^q)^r}{(1-u)^r}\frac{(1-v^q)^s}{(1-v)^s} \in \mathbb{Z}[u,v]$$

Therefore  $a_q$  equals the constant term of the Laurent polynomial

$$\frac{(1-u^q)^r}{(1-u)^r}\frac{(1-u)^{-q})^s}{(1-u^{-1})^s} = \frac{u^s(1-u^q)^{r+s}}{u^{sq}(1-u)^{r+s}} \in \mathbb{Z}[u, u^{-1}],$$

and hence the coefficient of  $u^{s(q-1)}$  in

$$\frac{(1-u^q)^{r+s}}{(1-u)^{r+s}} = \left[\sum_{i=0}^{r+s} (-1)^i \binom{r+s}{i} u^{iq}\right] \left[\sum_{n \ge 0} \binom{d+n}{d} u^n\right].$$

Consequently we get

$$a_q = \sum_{i=0}^{s} (-1)^i {\binom{r+s}{i}} {\binom{d+s(q-1)-iq}{d}}$$
$$= \sum_{i=0}^{s} (-1)^i {\binom{d+1}{i}} {\binom{q(s-i)+d-s}{d}},$$

where we follow the convention that  $\binom{m}{n} = 0$  unless  $0 \le n \le m$ . This shows that the F-signature of *R* is

$$s(R) = \lim_{q \to \infty} \frac{a_q}{q^d} = \frac{1}{d!} \sum_{i=0}^s (-1)^i \binom{d+1}{i} (s-i)^d.$$

We point out that s(R) = A(d, s)/d! where the numbers

$$A(d, s) = \sum_{i=0}^{s} (-1)^{i} {d+1 \choose i} (s-i)^{d}$$

are the *Eulerian numbers*, i.e., the number of permutations of *d* objects with s - 1 descents; more precisely, A(d, s) is the number of permutations  $\pi = a_1 a_2 \cdots a_d \in S_d$  whose descent set

$$D(\pi) = \{i : a_i > a_{i+1}\}$$

has cardinality s - 1, see [10, Section 1.3]. These numbers satisfy the recursion

$$A(d, s) = sA(d - 1, s) + (d - s + 1)A(d - 1, s - 1)$$
 where  $A(1, 1) = 1$ .

**Example 8.** Let *K* be a perfect field of positive characteristic. For integers  $n \ge 1$  and  $d \ge 2$ , let *R* be the *n*th Veronese subring of the polynomial ring  $A = K[x_1, ..., x_d]$ , i.e., *R* is subring of *A* which is generated, as a *K*-algebra, by the monomials of degree *n*. In the case d = 2 and  $p \nmid n$ , the *F*-signature of *R* is s(R) = 1/n, as worked out in [7, Example 17].

It is readily seen that the rings  $R \subseteq A$  satisfy the hypotheses of Lemma 4, and therefore

$$a_q = \ell\left(\frac{R}{\mathfrak{m}_A^{[q]} \cap R}\right).$$

Consequently  $a_q$  equals the sum of the coefficients of  $1, t^n, t^{2n}, \ldots$  in

Hilb 
$$\left(\frac{K[x_1, \dots, x_d]}{(x_1^q, \dots, x_d^q)}, t\right) = \frac{(1-t^q)^d}{(1-t)^d} = (1+t+t^2+\dots+t^{q-1})^d.$$

Let f(m) be the sum of the coefficients of powers of  $t^n$  in

$$(1+t+t^2+\cdots+t^{m-1})^d$$
.

A routine computation using, for example, induction on *d*, gives us  $f(n) = n^{d-1}$ , and it follows that

$$f(kn) = k^d f(n) = k^d n^{d-1}.$$

To obtain bounds for  $a_q = f(q)$ , choose integers  $k_i$  with  $k_1 n \leq q \leq k_2 n$  where  $0 \leq |q - k_i n| \leq n - 1$ . Then  $f(k_1 n) \leq f(q) \leq f(k_2 n)$ , and hence

$$\left(\frac{q-n+1}{n}\right)^{d} n^{d-1} \leqslant k_{1}^{d} n^{d-1} \leqslant a_{q} \leqslant k_{2}^{d} n^{d-1} \leqslant \left(\frac{q+n-1}{n}\right)^{d} n^{d-1}.$$

Consequently,

$$a_q = \frac{q^d}{n} + O(q^{d-1}),$$

and s(R) = 1/n.

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