Abstract Algebra. Math 6310. Bertram/Utah 2022-23. Derived Functors

Let \mathcal{A} be an abelian category.

Definition. (a) \mathcal{A} has enough projectives if each object \mathcal{A} admits:

$$P \to A \to 0$$

an epimorphism from a projective object P of \mathcal{A} .

(b) \mathcal{A} has enough injectives if each object A admits:

$$0 \to A \to I$$

a monomorphism to an injective object I of \mathcal{A} .

Fortunately for us, the categories $\mathcal{M}od_R$ of *R*-modules have enough of both. Note that by iterating, we obtain *exact complexes* of projectives and of injectives:

$$\cdots \to P_2 \xrightarrow{d_2} P_1 \xrightarrow{d_1} P_0 \xrightarrow{d_0} A \to 0$$

and

$$0 \to A \xrightarrow{d_0} I_0 \xrightarrow{d_1} I_1 \xrightarrow{d_2} I_2 \to \cdots$$

We will use the first to construct *left derived functors* (of a right-exact functor) and the second to construct *right derived functors* (of a left-exact functor).

Remark. One might instead use superscripts for the terms of the injective resolution (which is a "cochain" since the indices increase as one moves to the right).

Recall that given an R-module M, the Hom (covariant) functor:

 $F_M := \operatorname{Hom}_R(M, \cdot) : \mathcal{M}od_R \to \mathcal{M}od_R$

is left-exact. The opposite Hom functor $F^M = \text{Hom}_R(\cdot, M)$ is also left-exact, but behaves more like a right-exact functor since it is contravariant. The tensor product defines a right-exact covariant functor as follows:

Proposition 1. Tensoring with a fixed R-module M defines the functor:

$$T_M(N) = N \otimes_R M$$
, with

$$T_M(f:N\to N')=(f\otimes 1_M:N\otimes_R M\to N'\otimes_R M)$$

that is (covariant and) right-exact.

Proof. It is clear that this is a functor. Right-exactness is the issue. Let

$$(*) \ N \xrightarrow{f} N' \xrightarrow{g} N'' \to 0$$

be a right-exact sequence of R-modules. Then:

- (i) $g \otimes 1_M$ is surjective (this is obvious).
- (ii) $(g \otimes 1_M) \circ (f \otimes 1_M) = (g \circ f) \otimes 1_M = 0$ (this is also obvious)

(iii) The morphism $g \otimes 1_M$ is the cokernel of $f \otimes 1_M$. Recall the universal properties UC and UT of the cokernel (in an arbitrary abelian category) and tensor product (in the category of *R*-modules) respectively, and consider:

$$N \times M \xrightarrow{(f,1_M)} N' \times M \xrightarrow{(g,1_M)} N'' \times M \to 0$$

the sequence of R-bilinear maps, with the analogue of the cokernel property:

UC: Any bilinear map $b': N' \times M \to L$ such that $b' \circ (f, 1_M) = 0$ is the composition $b'' \circ (g, 1_M)$ for the unique bilinear map $b'' : N'' \times M \to L$ defined by b''(g(n'),m) = b'(n',m). Coupling this with the universal property UT of the tensor product, we obtain the following:

An *R*-module homomorphism $h': N' \otimes_R M \to L$ with $h' \circ (f \otimes 1_M) = 0$ gives:

$$b': N' \times M \to N' \otimes_R M \to L$$
 with $b' \circ (f, 1_M) = 0$

which therefore factors through a unique bilinear map $b'': N'' \times M \to L$ and, by UT, factors uniquely through an *R*-module homomorphism $h'': N'' \otimes_R M \to L$.

Thus $g \otimes 1_M$ is the cokernel of $f \otimes 1_M$ in the abelian category $\mathcal{M}od_R$, which is to say that the sequence $(*) \otimes_R M$ is exact at the middle term. \square

Getting back to the projectives:

Proposition 2. In an arbitrary abelian category \mathcal{A} , suppose:

are two exact sequences, the first made up of projectives. Then:

(a) There is an extension of f to a morphism of chain complexes:

$$f_{\bullet}: P_{\bullet} \to E_{\bullet}$$

(b) Any two such extensions f_{\bullet} and g_{\bullet} are homotopic maps of chain complexes.

Proof. (a) The map $f \circ d_0 : P_0 \to A'$ lifts to $f_0 : P_0 \to E_0$ using the facts that ∂_0 is surjective and P_0 is projective. Then f_0 maps $\ker(d_0) = \operatorname{im}(d_1)$ to $\ker(\partial_0) = \operatorname{im}(\partial_1)$ since $f \circ d_0 = \partial_0 \circ f_0$ and so we may once more lift $f_0 \circ d_1$ to $f_1: P_1 \to E_1$ satisfying $f_0 \circ d_1 = \partial_1 \circ f_1$ and continue.

(b) Given two such extensions f_{\bullet} and g_{\bullet} each making the diagram commute:

$$\cdots \rightarrow P_2 \xrightarrow{d_2} P_1 \xrightarrow{d_1} P_0 \xrightarrow{d_0} A \rightarrow 0$$

$$f_2 \downarrow \downarrow g_2 \qquad f_1 \downarrow \downarrow g_1 \qquad f_0 \downarrow \downarrow g_0 \qquad f \downarrow \downarrow f$$

$$\cdots \rightarrow E_2 \xrightarrow{\partial_2} E_1 \xrightarrow{\partial_1} E_0 \xrightarrow{\partial_0} A' \rightarrow 0$$

we also define the homotopy between them inductively. First, we let:

$$0 = h_{-1} : A \to E_0$$
 so that $f - f = \partial_0 \circ h_{-1}$

Then we notice that $f_0 - g_0$ maps P_0 to the kernel of ∂_0 , so we may choose:

$$h_0: P_0 \to E_1$$
 so that $f_0 - g_0 = \partial_1 \circ h_0 = \partial_1 \circ h_0 + h_{-1} \circ d_0$

Then $\partial_1(f_1 - g_1 - h_0 \circ d_1) = (f_0 - g_0) \circ d_1 - (\partial_1 \circ h_0) \circ d_1 = 0$, so we choose:

$$h_1: P_1 \to E_2$$
 so that $f_1 - g_1 - h_0 \circ d_1 = \partial_2 \circ h_1$

and one more step gets us to the general case. We have $\partial_2(f_2 - g_2 - h_1 \circ d_2) =$ $(f_1 - g_1) \circ d_2 - (\partial_2 \circ h_1) \circ d_2 = (f_1 - g_1) \circ d_2 - (f_1 - g_1 - h_0 \circ d_1) \circ d_2 = 0$ and this allows us to choose:

 $h_2: P_2 \to E_3$ so that $f_2 - g_2 - h_1 \circ d_2 = \partial_3 \circ h_2$

and off we go. In the end, we have the desired homotoy $h_i: P_i \to E_{i+1}$ satisfying:

$$f_i - g_i = \partial_{i+1} \circ h_i + h_{i-1} \circ d_i \quad \Box$$

Corollary. Let $F : \mathcal{A} \to \mathcal{B}$ be a right-exact functor of abelian categories and assume \mathcal{A} has enough projectives. Then the sequence of left derived functors:

$$L_iF(A) := H_i(F(P_{\bullet})); \ L_iF(f:A \to B)) = H_i(F(f):F(P_{\bullet}) \to F(Q_{\bullet}))$$

are well-defined (only up to isomorphism, unfortunately) by choosing projective resolutions P_{\bullet} (for A) and Q_{\bullet} (for B) and using Proposition 2.

Proof. We show any two projective resolutions of A give isomorphic homologies:

$$H_i(F(P_{\bullet}))$$
 and $H_i(F(P'_{\bullet}))$

To this end, we apply the Proposition twice to get:

and homotopies $h_i: P_i \to P_{i+1}$ exhibiting $j_{\bullet} \circ i_{\bullet} \sim 1_{P_{\bullet}}$ (from the Proposition since both sides are lifts of 1_A), and $h'_i: P'_i \to P'_{i+1}$ exhibiting $i_{\bullet} \circ j_{\bullet} \sim 1_{P'_{\bullet}}$.

Now we apply F to everything, and get morphisms $(F \circ i)_{\bullet}$ and $(F \circ j_{\bullet})$ and homotopies $(F \circ h)_{\bullet}$ and $(F \circ h')_{\bullet}$ exhibiting $(F \circ j_{\bullet}) \circ (F \circ i_{\bullet}) \sim 1_{F \circ P}$ and $(F \circ i)_{\bullet} \circ (F \circ j)_{\bullet} \sim 1_{F \circ P'}$. Since homotopic maps of complexes induce the same maps on homology, it follows that each $H_i(F \circ i) : H_i(F(P)) \to H_i(F(P'))$ is an isomorphism, with inverse $H_i(F \circ j)$.

The Corollary then follows (except for the troubling isomorphism business) by applying Proposition 2 to P_{\bullet} and Q_{\bullet} and $f: A \to B$.

Theorem 3. Given a right-exact functor $F : \mathcal{A} \to \mathcal{B}$ and a short-exact sequence:

 $(*) \ 0 \to A \to A' \to A'' \to 0$

in an abelian category \mathcal{A} with enough projectives, there is a long exact sequence:

$$\to L_1F(A') \to L_1F(A'') \to F(A) \to F(A') \to F(A'') \to 0$$

of objects of \mathcal{B} .

Proof. Choose projective resolutions: $P_{\bullet} \to A$ and $P''_{\bullet} \to A''$. We will fashion a third projective resolution of A' that fits in a short exact sequence:

$$0 \to P_{\bullet} \to P'_{\bullet} \to P''_{\bullet} \to 0$$

of chain complexes, which *remains* short-exact after applying the functor F to each of the complexes. The Zigzag Lemma then gives the desired long exact sequence among the homology objects of $F(P_{\bullet}), F(P'_{\bullet})$ and $F(P'_{\bullet})$, which is the result.

In fact, the terms of P'_{\bullet} are direct sums $P'_i = P_i \oplus P''_i$ and the horizontal maps are the standard inclusions and projections:

$$0 \to P_i \stackrel{\iota}{\to} P_i \oplus P_i'' \stackrel{q}{\to} P_i'' \to 0$$

which explains why these horizontal sequences remain exact after applying F.

In the diagram below, the map $d_0'': P_0'' \to B''$ lifts (because P_0'' is a projective) to a map $P_0'' \to B'$, and then we obtain a commutative diagram:

with d'_0 defined (and surjective by the five lemma...or rather the first four lemma!) using the universal property of the coproduct. Then we consider:

$$0 \to \ker(d_0) \to \ker(d'_0) \to \ker(d''_0) \to 0$$

which is exact (by the snake lemma) giving a diagram just as the one above:

with surjective vertical maps, etc.

Example. The left derived functors of the tensor functor $T_M(N) = N \otimes_R M$ are:

$$\operatorname{Tor}_{i}^{R}(N,M) := L_{i}T_{M}(N)$$

Thus, for example, to compute $Tor_i(M, k)$, we will use the (free) Koszul resolution:

$$0 \to k[x,y] \stackrel{(-y,x)}{\to} k[x,y] \oplus k[x,y] \stackrel{x+y}{\to} k[x,y] \to k \to 0$$

for k, and then we obtain $\operatorname{Tor}_i(M, k)$ as the homologies of the sequence:

$$M \stackrel{(-y,x)}{\to} M \oplus M \stackrel{x+y}{\to} M$$

(since $M \otimes_R R = M$). Thus, for instance when M = k, all maps are zero(!) and:

$$\operatorname{Tor}_2(k,k) = k$$
, $\operatorname{Tor}_1(k,k) = k^2$ and $\operatorname{Tor}_0(k,k) = k \otimes_R k = k$

When $M = k[y] = k[x, y]/\langle x \rangle$, only the x map is zero, and we get:

$$\operatorname{Tor}_2(k[y], k) = 0$$
, $\operatorname{Tor}_1(k[y], k) = k$ and $\operatorname{Tor}_0(k[y], k) = k \otimes_R k[y] = k$

Or we could resolve k[y] instead: $0 \to k[x, y] \xrightarrow{x} k[x, y] \to k[y] \to 0$ and then:

$$M \xrightarrow{x} M$$

computes $\operatorname{Tor}_i(M, k[y])$, so e.g. $\operatorname{Tor}_i(k, k[y]) = \operatorname{Tor}_i(k[y], k]$. This is no accident.

Finally, given the short-exact sequence:

$$0 \to k[y] \xrightarrow{y} k[y] \to k \to 0$$

we can get a long exact sequence of Tor's by applying the functor $\otimes k$. This gives:

$$0 \to \operatorname{Tor}_2(k,k) \to \operatorname{Tor}_1(k[y],k) \xrightarrow{0} \operatorname{Tor}_1(k[y],k) \to \operatorname{Tor}_1(k,k) \to k \xrightarrow{0} k \to k \to 0$$

Remark. If R is a PID, then every finitely generated module N resolves as:

$$0 \to R^m \to R^n \to N \to 0$$

for some free modules \mathbb{R}^m and \mathbb{R}^n . It follows that $\operatorname{Tor}_i(M \otimes N) = 0$ when i > 1. This is, in particular, the case for finitely generated abelian groups.

Meanwhile, over in Opposite Land...

By reversing all arrows and replacing projectives with injectives, we get:

3 Theorem: Given a left-exact functor $G : \mathcal{A} \to \mathcal{B}$ from an abelian category \mathcal{A} with enough injectives, we obtain **right** derived functors:

$$R^i G(A) = H_i(G \circ I_{\bullet} \text{ and } R^i G(f : A \to A'))$$

where I_{\bullet} is an *injective* resolution of A, via **2** Proposition applied with arrows reversed and injectives in place of projectives. Then every short-exact sequence:

$$0 \to A \to A' \to A'' \to 0$$

induces a long exact sequence of objects of \mathcal{B} :

$$0 \to G(A) \to G(A') \to G(A'') \to R^1 G(A) \to R^1 G(A') \to R^1 G(A'') \to \cdots$$

Example. The right-derived functors of the left-exact $F_M = \operatorname{Hom}_R(M, \cdot)$ are:

 $\operatorname{Ext}_{R}^{i}(M,N) := R^{i}F_{M}(N), \text{ the Ext modules}$

Thus, for example, letting M = N'', we have a long exact sequence:

$$0 \to \operatorname{Hom}(N'', N) \xrightarrow{f_*} \operatorname{Hom}(N'', N') \xrightarrow{g_*} \operatorname{Hom}(N'', N'') \xrightarrow{\delta^1} \operatorname{Ext}^1(N'', N) \to \cdots$$

associated to any short exact sequence of the form

$$(*) \ 0 \to N \to N' \to N'' \to 0$$

and the extension class $\epsilon(*) := \delta(1_{N''}) \in \text{Ext}^1(N'', N)$ of the sequence is zero if and only if $1_{N''}$ is in the image of g_* , if and only if the sequence (*) splits.

Interestingly, there is a converse to this. Given $\epsilon \in \text{Ext}^1(N'', N)$, we can fashion a short exact sequence (*) (in particular, constructing the module N' in the middle) with $\epsilon(*) = \epsilon$. Starting with an injective resolution:

$$0 \to N \xrightarrow{d_0} I_0 \xrightarrow{d_1} I_1 \xrightarrow{d_2} I_2 \to \cdots$$
 and

we have, by definition, that ϵ is an element of the middle homology of:

$$\operatorname{Hom}(N'', I_0) \stackrel{d_{1*}}{\to} \operatorname{Hom}(N'', I_1) \stackrel{d_{2*}}{\to} \operatorname{Hom}(N'', I_2)$$

i.e. $\epsilon \in \operatorname{Hom}(N'', \ker(d_2)) = \operatorname{Hom}(N'', \operatorname{im}(d_1))$ (modulo the image of d_{1*}).

Now we add an injective resolution of N'' to the mix:

$$0 \to N'' \stackrel{d_0''}{\to} I_0'' \stackrel{d_1''}{\to} I_1'' \stackrel{d_2''}{\to} I_2'' \to \cdots$$

Then, using the injectivity of I_1 , we obtain $f: I_0'' \to I_1$:

$$\begin{array}{ccc} I_1 \\ \uparrow \epsilon & \nwarrow f \\ N'' & \stackrel{d_0''}{\to} & I_0'' \end{array}$$

which we use to define a homomorphism:

$$\Phi = \begin{bmatrix} d_1 & f \\ 0 & d_1'' \end{bmatrix} : I_0 \oplus I_0'' \to I_1 \oplus I_1''$$

and then we obtain a commuting diagram:

with sequence (from the snake lemma):

$$0 \to N \to \ker(\Phi) \to N'' \xrightarrow{\delta} \operatorname{coker}(d_1) \to \operatorname{coker}(\Phi) \to \operatorname{coker}(d''_1) \to 0$$

But if $i_1, j_1 \in I_1$ and $(i_1, 0) - (j_1, 0) = 0$ as an element of $\operatorname{coker}(\Phi)$, then $(i_1, 0) - (j_1, 0) = (i_1 - j_1, 0) = \Phi(i_0, i_0'') = (d_1(i_0) + f(i_0''), d_1''(i_0''))$ for some (i_0, i_0'')

and then it follows that $d''_1(i''_0) = 0$, so $i''_0 = d''_0(n'')$ for some $n'' \in N''$ and also that $f(i''_0) = \epsilon(n'') \in \ker(d_2) = \operatorname{im}(d_1)$, so $i_1 - j_1 = d_1(i_0) + f(i''_0)$ is in the image of d_1 and $i_1 - j_1 = 0$ as an element of coker (d_1) . All this is to say that the map following δ is injective, and so by exactness δ is the zero map! The truncated sequence:

$$(*) \ 0 \to N \to N' = \ker(\Phi) \to N'' \stackrel{o}{\to} 0$$

is the desired short exact sequence with $\epsilon(*) = \epsilon$.

Problem. It is difficult to work with injective resolutions.

For the Ext functors there is a convenient fix, which we give without proof.

Theorem 4. Instead of computing $\operatorname{Ext}^{i}(M, N)$ as

$$H_i(\operatorname{Hom}(M, I_{\bullet}))$$

for an injective resolution I_{\bullet} of N, we may instead compute it as:

 $H_i(\operatorname{Hom}(P_{\bullet}, N))$

for a *projective* resolution of M (and the contravariant functor $F^N = \text{Hom}(\bullet, N)$).

Remark. The same drill as for the 3 Theorems allow one to conclude that F^N has right derived functors, computed as $H_i(\text{Hom}(P_{\bullet}, N))$. The surprising part of the Theorem is that this yields the *same* modules $\text{Ext}^i(M, N)$.

Examples. The following sequence of abelian groups is clearly not split:

$$(*) \ 0 \to \mathbb{Z} \xrightarrow{(2,1)} \mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z} \xrightarrow{1+4} \mathbb{Z}/6\mathbb{Z} \to 0$$

and so determines a nonzero class $\epsilon(*) \in \operatorname{Ext}^1(\mathbb{Z}/6\mathbb{Z},\mathbb{Z})$. We may compute this via:

$$0 \to \mathbb{Z} \xrightarrow{6} \mathbb{Z} \to \mathbb{Z}/6\mathbb{Z} \to 0$$

which we hit with the functor $F^{\mathbb{Z}}$ to get:

$$\mathbb{Z} = \operatorname{Hom}(\mathbb{Z}, \mathbb{Z}) \stackrel{6^*}{\leftarrow} \operatorname{Hom}(\mathbb{Z}, \mathbb{Z}) = \mathbb{Z}$$

from which we conclude that $\operatorname{Ext}^1(\mathbb{Z}, \mathbb{Z}/6\mathbb{Z}) = \mathbb{Z}/6\mathbb{Z}$.

We know that the zero extension class gives the split sequence, but:

Question. Which extension class(es) give:

$$(*) \ 0 \to \mathbb{Z} \xrightarrow{(2,1)} \mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z} \xrightarrow{1+4} \mathbb{Z}/6\mathbb{Z} \to 0?$$

and which extension class(es) give: (**) $0 \to \mathbb{Z} \xrightarrow{6} \mathbb{Z} \to \mathbb{Z}/6\mathbb{Z} \to 0$?

and are we missing any other short exact sequences?

Popup Ad. Let X be a topological space, and consider the category \mathcal{X} with:

The objects of \mathcal{X} are the open subsets U of X.

The morphisms of \mathcal{X} are the inclusions $U \subseteq V$.

A contravariant functor $\mathcal{A} : \mathcal{X} \to \mathcal{A}b$ (to the category of abelian groups) is a presheaf of abelian groups on X. In other words, a presheaf \mathcal{F} consists of:

- (i) An abelian group $\mathcal{A}(U)$ attached to each open subset, and
- (ii) Restriction maps $\rho_{V,U} : \mathcal{A}(V) \to \mathcal{A}(U)$ attached to each $U \subseteq V$ such that:
- $\rho_{U,U} = 1_{\mathcal{A}(U)}$ and:
- $\rho_{V,U} \circ \rho_{W,V} = \rho_{W,U} : \mathcal{A}(W) \to \mathcal{A}(U)$ whenever $U \subseteq V \subseteq W$.

Example. The *constant* presheaf A (for a fixed abelian group A) is defined by:

$$A(\emptyset) = 0, \ A(U) = A \text{ and } \rho_{V,U} = 1_A \text{ for all } U \neq \emptyset$$

Rather amazingly, this is an interesting presheaf. It is associated to:

The *locally constant* sheaf A^+ , defined by:

 $A^+(U) = \{ \text{continuous maps } f : U \to A \text{ for the discrete topology on } A \}.$

 $A^+(U \subseteq V)$ is the restriction of continuous functions $f: V \to A$ to $f|_U: U \to A$.

Note that if U is connected, then $A(U) = A^+(U)$, since the continuous maps from a connected set to a set with the discrete topology are the constant maps! But if U has n connected components, then $A^+(U) = A^n$ and the restriction maps to each connected component are the projections.

There is a lot to say about this, but suffice it for the purposes of this teaser to say that there is a category of sheaves of abelian groups on the fixed topological space X with enough injectives, and that the covariant global section functor

$$\Gamma : \mathcal{A} \to \operatorname{Ab}; \ \mathcal{A} \mapsto \mathcal{A}(X)$$

is left-exact, which then defines right derived functors of the global section functor, which are the *cohomology* groups:

$$H^i(X,A) := R^i \Gamma(X,A)$$

These may be computed by taking a "good open cover" of X, and are basically dual to the singular cohomology of X (when $A = \mathbb{Z}$) that we discussed in an earlier popup topological ad.