

Math 6130 Notes. Fall 2002.

9. Normal Varieties. Let's get back to our original motivation...to find an analogue in algebraic geometry of the ring of integers of a number field. That is, we want to fill in a finite field extension $\mathbf{C}(x_1, \dots, x_n) \subset K$:

$$\begin{array}{ccc} \mathbf{C}[X] & \subset & K \\ \cup & & \cup \\ \mathbf{C}[x_1, \dots, x_n] & \subset & \mathbf{C}(x_1, \dots, x_n) \end{array}$$

uniquely with the coordinate ring of a affine variety X such that $K = \mathbf{C}(X)$.

In number theory, one fills in with a Dedekind domain. We will fill in with the *integral closure* of $\mathbf{C}[x_1, \dots, x_n]$ in K . We will see that integral closures are coordinate rings of “normal” affine varieties, and that any affine (or quasi-projective) variety Y can be canonically normalized in a finite field extension $\mathbf{C}(Y) \subset K$, i.e. there is a normal variety X with $\mathbf{C}(X) = K$ and a finite map $\Phi : X \rightarrow Y$. In this section, we will explore normal varieties and show how Zariski's main theorem follows from Grothendieck's Theorem (§7).

Definition: For an inclusion $A \subset K$ of a Noetherian domain A in a field K ,

- (a) an element $\alpha \in K$ is *integral* over A if α is a root of some monic polynomial $x^d + a_{d-1}x^{d-1} + \dots + a_0$ with coefficients in A , and
- (b) the set of $\alpha \in K$ that are integral over A is the *integral closure* $\bar{A} \subset K$.
- (c) A is *integrally closed* if $A = \bar{A}$ when K is the field of fractions of A .

Remark: Each $a \in A$ is the root of $x - a$, so $A \subseteq \bar{A}$ (for every K). If $A \subset K \subset L$, then \bar{A} (in K) is contained in \bar{A} (in L), so the integral closure of A in its field of fractions is contained in all other integral closures.

Proposition 9.1 Given $A \subset K$, then $\alpha \in K$ is integral over A if and only if $A[\alpha] \subset K$ is a finitely generated A -module.

Proof: If $\alpha \in K$ is integral over A , then α is a root of a polynomial $x^d + a_{d-1}x^{d-1} + \dots + a_0$, and then $1, \alpha, \dots, \alpha^{d-1}$ generate $A[\alpha]$ as an A -module. Conversely, if $A[\alpha] \subset K$ is finitely generated as an A -module, then the chain $A \subseteq A + \alpha A \subseteq A + \alpha^2 A \subseteq \dots \subseteq A[\alpha]$ must be eventually stationary, so $\alpha^d = -a_0 - a_1\alpha - \dots - a_{d-1}\alpha^{d-1}$ for some d and $a_0, \dots, a_{d-1} \in A$, and then α is a root of the monic polynomial $x^d + a_{d-1}x^{d-1} + \dots + a_0$.

Corollary 9.2: Each integral closure $\overline{A} \subset K$ is a domain.

Proof: We need \overline{A} to be closed under subtraction and multiplication. Once it is a ring, then it is a domain since it is contained in a field.

Given $\alpha, \beta \in K$ integral over A , then by Proposition 9.1, $A[\alpha]$ is a finitely generated A -module and a Noetherian domain and β is then integral over $A[\alpha] \subset K$, so by Proposition 9.1 again, $A[\alpha, \beta]$ is a finitely generated $A[\alpha]$ -module, hence also finitely generated as an A -module.

Since $A[\alpha - \beta]$ and $A[\alpha\beta]$ are submodules of $A[\alpha, \beta]$, they must also be finitely generated A -modules by Proposition 1.2, and then $\alpha - \beta$ and $\alpha\beta$ are integral over A by Proposition 9.1, hence they both belong to \overline{A} .

Examples: (a) The integral closure of the ordinary integers $\mathbf{Z} \subset K$ in a finite extension of \mathbf{Q} is called the ring of integers of K , often denoted \mathcal{O}_K .

(b) $\mathbf{C}[t^2, t^3]$ is not integrally closed. The rational function $t = \frac{t^3}{t^2} \in \mathbf{C}(t)$ is a root of the monic polynomial $x^2 - t^2$, so it is integral over $\mathbf{C}[t^2, t^3]$, but not contained in $\mathbf{C}[t^2, t^3]$. In fact, $\overline{\mathbf{C}[t^2, t^3]} = \mathbf{C}[t] \subset \mathbf{C}(t)$ (see Remark (a)).

(c) If $\Phi : X \rightarrow Y$ is a finite map of affine varieties, then $\mathbf{C}[X]$ is a finitely generated $\mathbf{C}[Y]$ -module. By Proposition 9.1, each $\alpha \in \mathbf{C}[X]$ is integral over $\mathbf{C}[Y]$. So if $\mathbf{C}[X]$ is integrally closed, then $\mathbf{C}[X] = \overline{\mathbf{C}[Y]} \subset \mathbf{C}(X)$.

Remarks: (a) Every UFD A is integrally closed (in its field of fractions K).

Suppose $\frac{a}{b} \in K$ is in lowest terms and $\frac{a}{b}$ is a root of a monic polynomial $x^d + a_{d-1}x^{d-1} + \dots + a_0$. Then $a^d = -b(a_{d-1} + \dots + b^{d-1}a_0)$ so b divides a^d , which can only happen if b is a unit, i.e. $\frac{a}{b} \in A$.

(b) If $S \subset A$ is a multiplicative set, then $\overline{(A_S)} = (\overline{A})_S$ in any field K .

Suppose $\alpha \in K$ is a root of $x^d + a_{d-1}x^{d-1} + \dots + a_0$ for $a_i \in A$. Then each $\frac{\alpha}{s} \in K$ is a root of $x^d + \frac{a_{d-1}}{s}x^{d-1} + \dots + \frac{a_0}{s^d}$. So $\overline{(A_S)} \supseteq (\overline{A})_S$.

Conversely, if $\alpha \in K$ is a root of $x^d + \frac{a_{d-1}}{s_{d-1}}x^{d-1} + \dots + \frac{a_0}{s_0}$, let $s = \prod s_i$. Then $s\alpha$ is a root of $x^d + \frac{sa_{d-1}}{s_{d-1}}x^{d-1} + \dots + \frac{s^d a_0}{s_0}$, and the coefficients of this polynomial are all in A , so $\alpha = \frac{s\alpha}{s} \in (\overline{A})_S$, thus $\overline{(A_S)} \subseteq (\overline{A})_S$.

Definition: An affine variety X is *normal* if $\mathbf{C}[X]$ is integrally closed.

Examples: (a) \mathbf{C}^n is normal, since $\mathbf{C}[x_1, \dots, x_n]$ is a UFD.

(b) $\mathbf{C}[X]$ is not normal if $X = V(y^2 - x^3) \subset \mathbf{C}^2$, since $\mathbf{C}[X] \cong \mathbf{C}[t^2, t^3]$.

Proposition 9.3: If X is any affine variety then any finite field extension $\mathbf{C}(X) \subset K$ of the field of rational functions on X fills in with:

$$\begin{array}{ccc} \mathbf{C}[Y] & \subset & K \\ \cup & & \cup \\ \mathbf{C}[X] & \subset & \mathbf{C}(X) \end{array}$$

where Y is a uniquely determined affine variety such that: (i) Y is normal, (ii) $\mathbf{C}(Y) = K$, and (iii) $\mathbf{C}[Y]$ is a finitely generated $\mathbf{C}[X]$ -module

Proof: First, uniqueness. If $\mathbf{C}[Y]$ is a finitely generated $\mathbf{C}[X]$ -module, then $\mathbf{C}[Y] \subseteq \overline{\mathbf{C}[X]}$. But if $\mathbf{C}(Y) = K$ and Y is normal, then $\mathbf{C}[Y] = \overline{\mathbf{C}[Y]}$ (in K) and so $\mathbf{C}[Y] = \overline{\mathbf{C}[X]}$ is the integral closure in K , hence unique.

To prove the existence of Y , let $A = \overline{\mathbf{C}[X]}$. Letting $S = \mathbf{C}[X] - 0$ in Remark (b) above, we see that A_S is the integral closure of $\mathbf{C}(X)$ in K , which is K itself, since $\mathbf{C}(X) \subset K$ is a finite extension of fields. So K is the field of fractions of A . Thus once we know that $A = \mathbf{C}[Y]$ for some affine variety Y , then Properties (i) and (ii) are immediate. We will prove below that A is a finitely generated $\mathbf{C}[X]$ -module, which will give us Property (iii) **and** the fact that $A = \mathbf{C}[Y]$, since a domain A that is a finitely generated module over a ring of the form $\mathbf{C}[x_1, \dots, x_n]/P$ is itself of the form $\mathbf{C}[x_1, \dots, x_n, y_1, \dots, y_m]/Q$.

To prove finite generatedness, we take another field theory interlude.

Field Theory III: If $K \subset L$ is a finite field extension, then:

$$\mathrm{Tr}_{L/K} : L \times L \rightarrow K; (\alpha, \beta) \mapsto \mathrm{Tr}_{L/K}(\alpha\beta)$$

is a symmetric bilinear form over K . The extension is *separable* if the form is non-degenerate, in which case each basis $\{\alpha_1, \dots, \alpha_n\}$ of L as a K -vector space has a dual basis $\{\beta_1, \dots, \beta_n\}$ defined by the property:

$$\mathrm{Tr}_{L/K}(\alpha_i\beta_j) = \delta_{ij} := \begin{cases} 1 & \text{if } i = j \\ 0 & \text{if } i \neq j \end{cases}$$

If $\mathrm{char}(K) = 0$ (i.e. $\mathbf{Z} \subset K$), then all extensions of K are separable, since $\mathrm{Tr}_{L/K}(\alpha\alpha^{-1}) = n = [L : K]$ is non-zero and then we say K is *perfect*. If $\mathrm{char}(K) = p$ (i.e. $\mathbf{Z}/p\mathbf{Z} \subset K$) then the form may be degenerate for extensions of degree divisible by p . Since all our fields are of characteristic zero, we will not worry about the characteristic p subtleties here.

If A is integrally closed in its field of fractions K , and $K \subset L$ is a finite field extension, then each $\alpha \in L$ that is integral over A has minimal polynomial $\lambda^d + a_{d-1}\lambda^{d-1} + \dots + a_0$ with coefficients in A . That is because each root of the minimal polynomial (in its splitting field) is integral over A , and so the coefficients of the minimal polynomial, being symmetric polynomials in the roots, must be integral over A (by the proof of Corollary 9.2) and being in K , must therefore also be in A . This generalizes the same result in §8, which was more easily proved using Gauss' Lemma when A is a UFD.

Finite Generatedness of Integral Closure: If A is a Noetherian domain that is integrally closed in its field of fractions K , and if $K \subset L$ is a finite separable extension, then $\overline{A} \subset L$ is a finitely generated A -module.

Proof: Start with a basis $\{v_1, \dots, v_n\}$ of L over K . By Remark (b) above, we know that $L = \overline{A}_S$ where $S = A - 0$, so we may multiply the v_i by elements $s_i \in A \subset K$ to obtain a basis $\{\alpha_1, \dots, \alpha_n\}$ of L where each $\alpha_i \in \overline{A}$.

Let $\{\beta_1, \dots, \beta_n\}$ be the dual basis. I claim that $\overline{A} \subset \beta_1 A + \dots + \beta_n A$.

To see this, note that any $\alpha \in \overline{A}$ expands as $\alpha = \sum \gamma_j \beta_j$ for $\gamma_j \in K$, since the β_j are a basis, and therefore we can recover the γ_i coefficients as:

$$\mathrm{Tr}_{L/K}(\alpha \alpha_i) = \sum_j \mathrm{Tr}_{L/K}(\gamma_j \beta_j \alpha_i) = \gamma_i$$

But each $\alpha \alpha_i \in \overline{A}$, so $\gamma_i = \mathrm{Tr}_{L/K}(\alpha \alpha_i) \in A$, and the claim is proved.

Back to Proposition 9.3: The proposition now follows if X is normal. Otherwise, let $\mathbf{C}[y_1, \dots, y_d] \subset \mathbf{C}[X]$ come from Noether normalization. Since $\mathbf{C}[X]$ is a finitely generated $\mathbf{C}[y_1, \dots, y_d]$ -module, it follows that the integral closures of $\mathbf{C}[y_1, \dots, y_d]$ and of $\mathbf{C}[X]$ in K are the same.

Example: If X is any affine variety, then the affine variety Y satisfying:

$$\overline{\mathbf{C}[X]} = \mathbf{C}[Y] \subset \mathbf{C}(X)$$

comes with a birational finite map $\Phi : Y \rightarrow X$, the *canonical normalization* of X in its own field of fractions. The canonical normalization is the unique (up to isomorphism) birational finite map from a normal affine variety to X .

Next, we look for a local characterization of normality.

Definition: If X is any variety, then the *stalk* of \mathcal{O}_X at $p \in X$ is the ring:

$$\mathcal{O}_{X,p} := \bigcup_{\{U|p \in U\}} \mathcal{O}_X(U) \subset \mathbf{C}(X)$$

consisting of all *germs* of rational functions defined at p .

Note: The stalk of germs of *analytic* functions at a point $p \in X$ of a complex manifold is the ring of convergent power series at $0 \in \mathbf{C}^n$, and is truly local in nature, saying nothing about the global geometry of X . The stalks $\mathcal{O}_{X,p}$, however, do retain information about the global geometry of a variety. For example, the field of fractions of $\mathcal{O}_{X,p}$ is $\mathbf{C}(X)$, the field of rational functions!

Observations: (a) If $V \subset X$ is an open set containing p , then $\mathcal{O}_{V,p} = \mathcal{O}_{X,p}$. This follows immediately from the fact that $\mathcal{O}_X(U) \subset \mathcal{O}_X(W)$ when $W \subset U$.

(b) Each ring $\mathcal{O}_{X,p}$ is a *local ring* with maximal ideal:

$$m_p := \{\phi \in \mathcal{O}_{X,p} \mid \phi(p) = 0\}$$

(since all other germs of rational functions in $\mathcal{O}_{X,p}$ are invertible)

(c) For any regular map $\Phi : X \rightarrow Y$ there are pull-backs of stalks:

$$\Phi^* : \mathcal{O}_{Y,q} \rightarrow \mathcal{O}_{X,p} \quad \text{with} \quad \Phi^*(m_q) \subseteq m_p$$

whenever $\Phi(p) = q$ (see Proposition 7.4 and the Remark following it).

(d) If $p \in Y$ and Y is affine, let $I(p) \subset \mathbf{C}[Y]$ be the maximal ideal. Then:

$$\mathcal{O}_{Y,p} = \mathbf{C}[Y]_{I(p)} \subset \mathbf{C}(Y)$$

since the regular functions on Y that can be denominators of germs of rational functions at p are precisely those that do not vanish at p . And if $\Phi : X \rightarrow Y$ is a regular map of affine varieties and $\Phi(p) = q$, then:

$$\Phi^*(m_q) = \Phi^*(I(q)\mathbf{C}[Y]_{I(q)}) = \Phi^*(I(q))\mathcal{O}_{X,p} \subset m_p$$

by the fundamental (localization) correspondence of §7.

(e) If $U \subset X$ is open, then (*by definition!*) $\mathcal{O}_X(U) = \bigcap_{\{p|p \in U\}} \mathcal{O}_{X,p}$ and in particular, if X is affine, then by Proposition 3.3,

$$\mathbf{C}[X] = \mathcal{O}_X(X) = \bigcap_{\{p|p \in X\}} \mathcal{O}_{X,p} = \bigcap_{p \in X} \mathbf{C}[X]_{I(p)}$$

and if $U = X - V(g) \subset X$ is a basic open affine, then $\mathbf{C}[X]_{\bar{g}} = \bigcap_{\{p|p \in U\}} \mathbf{C}[X]_{I(p)}$

Proposition 9.4: An affine variety X is normal if and only if each of the stalks $\mathcal{O}_{X,p}$ is integrally closed (in its field of fractions $\mathbf{C}(X)$).

Proof: Using Remark (b), it follows immediately that if X is normal, i.e. if $\mathbf{C}[X] = \overline{\mathbf{C}[X]}$, then every localization $\mathbf{C}[X]_S = \overline{\mathbf{C}[X]}_S$. In particular, each stalk $\mathcal{O}_{X,p} = \mathbf{C}[X]_{I(p)}$ is integrally closed.

For the converse, use Observation (e) and Proposition 3.3. If each $\mathcal{O}_{X,p}$ is integrally closed, then:

$$\overline{\mathbf{C}[X]} \subseteq \bigcap_{p \in X} \overline{\mathcal{O}_{X,p}} = \bigcap_{p \in X} \mathcal{O}_{X,p} = \mathbf{C}[X]$$

Definition: A quasi-projective variety X is *normal at p* if the stalk $\mathcal{O}_{X,p}$ is integrally closed (in $\mathbf{C}(X)$). X is *normal* if X is normal at all of its points.

Note: By Proposition 9.4, the two notions of normal agree for affine varieties.

Example: If X has an open cover by open subsets of \mathbf{C}^n , then X is normal.

Proposition 9.5: In *every* quasi-projective variety X , the subset:

$$\text{Norm}(X) := \{q \in X \mid X \text{ is normal at } q\} \subset X$$

is open and dense (i.e. not empty).

Proof: If $X = \cup U_i$ is a open cover by affine varieties and the Proposition holds for each U_i , then it holds for X . So we may assume that X is affine.

Consider the normalization map $\Phi : Y \rightarrow X$. Since Φ is birational, we know from Proposition 8.5 that there is an open subset $U \subset X$ such that $\Phi : \Phi^{-1}(U) \rightarrow U$ is an isomorphism. But then $\Phi^* : \mathcal{O}_{X,q} \rightarrow \mathcal{O}_{Y,\Phi^{-1}(q)}$ is an isomorphism of stalks at every $q \in U$, so $U \subseteq \text{Norm}(X)$.

A little care shows that $\text{Norm}(X)$ is itself open. If $q \in \text{Norm}(X)$, then $\mathbf{C}[Y] = \overline{\mathbf{C}[X]} \subset \overline{\mathbf{C}[X]_{I(q)}} = \mathbf{C}[X]_{I(q)}$ so any generators $\phi_1, \dots, \phi_m \in \mathbf{C}[Y]$ as a $\mathbf{C}[X]$ -module can be written as $\phi_i = \frac{a_i}{b_i}$ where $a_i, b_i \in \mathbf{C}[X]$ and $b_i(q) \neq 0$. Let $f = \prod b_i$. Then $q \in X - V(f)$ and $\mathbf{C}[Y]_f \subset \mathbf{C}[X]_f$, hence $\overline{\mathbf{C}[X]}_f = \mathbf{C}[X]_f$, and so the basic open set $X - V(f)$ is normal and contained in $\text{Norm}(X)$. Since this is true at every point of $\text{Norm}(X)$, we see that $\text{Norm}(X)$ is open.

Next, I want to normalize an arbitrary quasi-projective variety Y in an arbitrary finite extension $\mathbf{C}(Y) \subset K$ of its field of fractions.

The Construction: For each point $q \in Y$, let

$$S_q = \{\text{maximal ideals in } \overline{\mathcal{O}_{Y,q}} \subset K\}$$

and then let

$$\Phi : X = \coprod_{q \in Y} S_q \rightarrow Y; \quad p \mapsto q \Leftrightarrow p \in S_q$$

(this defines the normalization *as a set* mapping to Y).

The Topology: If $q \in U \subset Y$ is an affine neighborhood, let $\Phi_U : V \rightarrow U$ be the normalization in K coming from Proposition 9.3, with $\mathbf{C}[V] = \overline{\mathbf{C}[U]} \subset K$. I claim there is a natural bijection: $\Phi_U^{-1}(q) \leftrightarrow S_q$. Indeed, we have:

$$\begin{aligned} \Phi_U^{-1}(q) &\leftrightarrow \{\text{maximal ideals in } \overline{\mathbf{C}[U]} \text{ containing } I(q)\} \text{ (Nullstellensatz)} \\ &\leftrightarrow \{\text{maximal ideals in } \overline{\mathbf{C}[U]_S} \text{ for } S = \mathbf{C}[U] - I(q)\} \text{ (Going Up)} \\ &\leftrightarrow \{\text{maximal ideals in } \overline{\mathbf{C}[U]_{I(q)}}\} = S_q \text{ (Localizing Integral Closures)} \end{aligned}$$

Notice that this tells us each S_q is a *finite* set (Proposition 7.5) and allows us to identify $V = \Phi^{-1}(U) \subset X$ as a subset of X , which we declare to be open (making the map Φ continuous). We give X the topology generated by the open subsets of all such sets V (so the inclusions $V \subset X$ are continuous).

The Sheaf: If $W \subset X$ is an open set, define:

$$\mathcal{O}_X(W) = \{\phi \in K \mid \phi \in (\overline{\mathcal{O}_{Y,\Phi(w)}})_{m_w} \text{ for all } w \in W\}$$

where $m_w \subset \overline{\mathcal{O}_{Y,\Phi(w)}}$ is the maximal ideal corresponding to $w \in S_{\Phi(w)}$. In other words, the stalks of \mathcal{O}_X are the local rings $\mathcal{O}_{X,x} := (\overline{\mathcal{O}_{Y,\Phi(x)}})_{m_x} \subset K$. And if $x \in V$ for some $U \subset Y$ affine and open and $V = \Phi^{-1}(U)$, then

$$\mathcal{O}_{V,x} = \overline{\mathbf{C}[U]_{I(x)}} = (\overline{\mathbf{C}[U]_{I(\Phi(x))}})_{m_x} \subset K$$

so the stalks are the same, and it follows that all the inclusions $V \subset X$ are regular maps, *if X is isomorphic to a quasi-projective variety*. For now, we only know that X is covered by affine varieties, not that X is quasi-projective. On the other hand, it is easy to see that X is the “universal” normalization, in the sense that a quasi-projective normalization $\Psi : X' \rightarrow Y$, *if it exists*, must be isomorphic to this particular X , hence any two such are isomorphic to each other!

Proposition 9.6: The normalization of a *projective* variety Y in any finite field extension $\mathbf{C}(Y) \subset K$ is a *projective* variety.

Proof: Let $Y \subset \mathbf{CP}^n$, with homogeneous ring $\mathbf{C}[Y] = \mathbf{C}[y_0, \dots, y_n]/P$. Let $y = \sum a_i \bar{y}_i \in \mathbf{C}[Y]_1$ be any non-zero element, and consider:

$$\mathbf{C}[Y] \subset \mathbf{C}(Y)[y] \subset \mathbf{C}(Y)(y) = (\text{the field of fractions of } \mathbf{C}[Y])$$

It is easy to see that the integral closure of $\mathbf{C}[Y]$ in $K(y)$:

$$R := \overline{\mathbf{C}[Y]} \subset K[y] \subset K(y)$$

(recall that $K[y]$ is integrally closed in $K(y)$) is a graded ring:

$$R = \bigoplus_{d=0}^{\infty} R_d; \quad R_d = R \cap K[y]_d$$

with constants $R_0 = \mathbf{C}$. We know R is finitely generated as a $\mathbf{C}[Y]$ -module (finite generatedness!), so in particular, there are generators $z_0, \dots, z_m \in K[y]$ with $R = \mathbf{C}[z_0, \dots, z_m]/Q$ and we would like to argue (as in the affine case) that $R = \mathbf{C}[X]$ for the projective normalization $\Phi : X \rightarrow Y$.

This line of reasoning is basically correct, but needs more work because *it may not be possible to choose the z_i generators to all have degree 1*. The Proposition below will tell us that this will be possible, however, if we replace $Y \subset \mathbf{CP}^n$ by a suitable Segre reembedding $Y = Y_{n,d} \subset \mathbf{CP}^{\binom{n}{d}-1}$ (see §5).

Proposition 9.7: Suppose that

$$R = \mathbf{C}[z_0, \dots, z_m]/I = \bigoplus_{d=0}^{\infty} R_d$$

is a weighted homogeneous \mathbf{C} -algebra, with generators z_i of degrees $d_i > 0$. Then there is a degree d such that the sub \mathbf{C} -algebra:

$$R^{(d)} := \bigoplus_{k=0}^{\infty} R_{dk} \subset R$$

is generated by elements of degree 1 in $R^{(d)}$ (= degree d in R).

Proof: Let $n = \text{lcm}(\{d_i\})$ so $n = d_i e_i$ for each d_i and integers e_i . We claim that $d = mn$ will satisfy the Proposition. To see this, suppose $\prod_{i=0}^m z_i^{f_i}$ is a monomial of degree $\sum d_i f_i = dk$ for some $k > 0$. If $k = 1$, there is nothing to do. If $k > 1$, then some $f_i \geq e_i$, and we can pull out a factor of $z_i^{e_i}$ (of degree n), and we can keep doing this until the left-over monomial has degree exactly d . Then we can group together the monomials we have pulled out to express the original $\prod_{i=0}^m z_i^{f_i}$ as a product of monomials of degree d .

Example: Consider the graded domain:

$$R = \mathbf{C}[z_0, z_1, z_2]/\langle z_0^2 - z_1^2 - z_2^3 \rangle \text{ where } d_0 = d_1 = 3, d_2 = 2$$

Then according to the Proposition, we can take $d = 12$. The monomials:

$$z_0^4, z_0^3 z_1, z_0^2 z_1^2, z_0 z_1^3, z_1^4, z_0^2 z_2^3, z_0 z_1 z_2^3, z_1^2 z_2^3, z_2^6$$

are all the monomials of degree 12, and then using the relation, we only need $z_0 z_1^3, z_1^4, z_0 z_1 z_2^3, z_1^2 z_2^3, z_2^6$ to span R_{12} , meaning that we get:

$$R^{(12)} \cong \mathbf{C}[x_0, \dots, x_4]/P = \mathbf{C}[X]$$

from the Proposition, where $X = V(P) \subset \mathbf{CP}^4$. (What are the equations?)

For example, following the proof, we rewrite the following monomial:

$$z_0^3 z_1^3 z_2^3 = (z_0^2)(z_0 z_1^3 z_2^3) = (z_0^2)(z_1^2)(z_0 z_1 z_2^3) = (z_0^2 z_1^2)(z_0 z_1 z_2^3)$$

as a product of two monomials of degree 12.

Back to the Proof of Proposition 9.6: Apply Proposition 9.7 to the ring $R = \mathbf{C}[z_0, \dots, z_m]/Q \subset K[y]$ to get the new ring:

$$R^{(d)} = \mathbf{C}[x_0, \dots, x_l]/Q' = \mathbf{C}[X] \text{ for } X = V(Q') \subset \mathbf{CP}^l$$

This no longer contains $\mathbf{C}[Y]$, of course, but it does contain the homogeneous coordinate ring of the Segre re-embedding $Y = Y_{n,d} \subset \mathbf{CP}^{\binom{n}{d}-1}$:

$$\mathbf{C}[Y]^{(d)} = \mathbf{C}[Y_{n,d}] \subset \mathbf{C}(Y_{n,d})[y^d] \subset (\text{the field of fractions of } \mathbf{C}[Y_{n,d}])$$

by Exercise 5.?. But now a moment's reflection will convince you that $R^{(d)}$ is the integral closure of $\mathbf{C}[Y_{n,d}] = \mathbf{C}[Y]^{(d)}$ in $K[y^d] \subset K(y^d)$, and then Exercise 7.? gives a finite map $\Phi : X \rightarrow Y_{n,d} = Y$.

X is a normal projective variety because $\mathbf{C}[X]$ is integrally closed in its field of fractions $K(y^d)$. To see why this implies normality, consider the affine open sets $U_i = Y - V(y_i)$ of Proposition 4.7. Then $\mathbf{C}[U_0] = \mathbf{C}[1, \frac{x_1}{x_0}, \dots, \frac{x_l}{x_0}]/d_0(P') = \mathbf{C}[X]_{x_0} \cap K[y^d]_0 \subset K = K[y^d]_0$, and so since $\mathbf{C}[X]$ is integrally closed, it follows that $\mathbf{C}[X]_{x_0}$ is integrally closed, and so too is its degree zero part (again after a moment's reflection). Thus $\mathbf{C}[U_0]$ and, likewise, each $\mathbf{C}[U_i]$ is integrally closed in its field of fractions K .

So we have a normal variety X mapping finitely to Y with $\mathbf{C}(X) = K$, the given extension of $\mathbf{C}(Y)$. This tells us precisely that the map $\Phi : X \rightarrow Y$ is the normalization of Y for the field extension $\mathbf{C}(Y) \subset K$, as desired.

Corollary 9.8: The normalization of a quasi-projective variety Y in any finite extension $\mathbf{C}(Y) \subset K$ is again a quasi-projective variety.

Proof: Normalize the closure $Y \subset \bar{Y} \subset \mathbf{CP}^n$ for any embedding in \mathbf{CP}^n by Proposition 9.6 to get $\Phi : \bar{X} \rightarrow \bar{Y}$, and let $X = \Phi^{-1}(Y) \subset \bar{X}$. Then the restricted finite map $\Phi|_X : X \rightarrow Y$ is the normalization of Y by a quasi-projective variety X .

Zariski's Main Theorem: If Y is a normal variety and $\Phi : X \rightarrow Y$ is any birational map with finite fibers, then there is an open subset $U \subset Y$ such that Φ is an isomorphism from X to U . In particular, Φ is injective(!)

Remark: This is completely false when Y is not normal. We've already seen one example of this, with the birational map:

$$\Phi : \mathbf{C}^1 \rightarrow V(y^2 - x^3) \subset \mathbf{C}^2; t \mapsto (t^2, t^3)$$

which is a birational homeomorphism but not an isomorphism.

For another example, consider the map:

$$\Phi : \mathbf{C}^1 \rightarrow V(y^2 - x^2(x+1)) \subset \mathbf{C}^2; t \mapsto (t^2 - 1, t(t^2 - 1))$$

This is birational but $\Phi^{-1}(0, 0) = \{\pm 1\}$ consists of two points. This sort of behavior cannot occur when the target is normal!

Proof of the Main Theorem: This is another great application of Grothendieck's Theorem (§7). By that theorem, Φ extends to a *finite* map $\Phi' : X' \rightarrow Y$ and $X \subset X'$ is open. But a finite birational map to a normal variety is an isomorphism(!), as can be checked on an affine open cover.